

# THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

## ANNUAL FINANCIAL REPORT

(WITH DETAILED SUPPORTIVE SCHEDULES)

UNAUDITED

### FISCAL YEAR ENDED AUGUST 31, 2020



The University of Texas at Arlington ♦ The University of Texas at Austin ♦ The University of Texas at Dallas  
♦ The University of Texas at El Paso ♦ The University of Texas Permian Basin ♦ The University of Texas Rio  
Grande Valley ♦ The University of Texas at San Antonio ♦ The University of Texas at Tyler ♦ The University  
of Texas Southwestern Medical Center ♦ The University of Texas Medical Branch at Galveston ♦ The University  
of Texas Health Science Center at Houston ♦ The University of Texas Health Science Center at San Antonio ♦  
The University of Texas M. D. Anderson Cancer Center ♦ The University of Texas Health Science Center at  
Tyler ♦ The University of Texas System Administration



**THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON**

**FINANCIAL STATEMENTS**

**(WITH DETAILED SUPPORTIVE SCHEDULES)**

Presented herein are the financial statements with detailed supportive schedules for The University of Texas Health Science Center at Houston for the year ended August 31, 2020. These Statements and detailed supportive schedules have been prepared in compliance with applicable State statutes, Governmental Accounting Standards Board pronouncements, and the Texas Comptroller of Public Accounts' Annual Financial Reporting Requirements.

This "detailed internal report" supplements the consolidated published Annual Financial Report of The University of Texas System and is intended to be for limited distribution primarily to financial and academic officers of the University who need access to the details included herein. It also provides an historical record of transactions relating to this particular institution.

The Annual Financial Report for public distribution for The University of Texas System includes primary statements on a consolidated System-wide basis, including footnotes and Managements' Discussion and Analysis.



**THE UNIVERSITY OF TEXAS SYSTEM**  
**BOARD OF REGENTS**  
**As of August 31, 2020**

**Officers**

Kevin P. Eltife, Chairman  
Janiece Longoria, Vice Chairman  
James C. "Rad" Weaver, Vice Chairman  
Francie A. Frederick, General Counsel to the Board of Regents

**Members**

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*Terms scheduled to expire February 1, 2021\**

|                 |           |
|-----------------|-----------|
| David J. Beck   | Houston   |
| R. Steven Hicks | Austin    |
| Nolan Perez     | Harlingen |

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*Terms scheduled to expire February 1, 2023\**

|                       |             |
|-----------------------|-------------|
| Kevin P. Eltife       | Tyler       |
| Janiece Longoria      | Houston     |
| James C. "Rad" Weaver | San Antonio |

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*Terms scheduled to expire February 1, 2025\**

|                        |         |
|------------------------|---------|
| Christina Melton Crain | Dallas  |
| Jodie Lee Jiles        | Houston |
| Kelcy L. Warren        | Dallas  |

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*Term scheduled to expire May 31, 2021\**

|                       |         |
|-----------------------|---------|
| Patrick O. Ojeaga, II | McAllen |
|-----------------------|---------|

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\*Each Regent's term expires when a successor has been appointed, qualified, and taken the oath of office. The Student Regent serves a one-year term.



**THE UNIVERSITY OF TEXAS SYSTEM**  
**SENIOR ADMINISTRATIVE OFFICIALS**  
As of August 31, 2020

\*\*\*\*\*

James B. Milliken, Chancellor

Scott C. Kelley, Executive Vice Chancellor for Business Affairs

Steven W. Leslie, Executive Vice Chancellor for Academic Affairs

John M. Zerwas, Executive Vice Chancellor for Health Affairs

Amy Shaw Thomas, Senior Vice Chancellor for Health Affairs

David L. Lakey, Vice Chancellor for Health Affairs and Chief Medical Officer

Stacey Napier, Vice Chancellor for Governmental Relations

Randa S. Safady, Vice Chancellor for External Relations, Communications, and Advancement Services

Daniel H. Sharphorn, Vice Chancellor and General Counsel

Thomas Britton "Britt" Harris IV, President, CEO and Chief Investment Officer—UTIMCO

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## PRIMARY SCHEDULES

The University of Texas Health Science Center at Houston  
 Exhibit A Statement of Net Position  
 As of August 31, 2020

|  | Educational and<br>General | Designated            | Auxiliary Enterprises | Restricted<br>Expendable | Loan Funds           |
|--|----------------------------|-----------------------|-----------------------|--------------------------|----------------------|
| <b>ASSETS AND DEFERRED OUTFLOWS</b>  |                            |                       |                       |                          |                      |
| <b>Current Assets:</b>   |                            |                       |                       |                          |                      |
| Cash & Cash Equivalents  | \$ 75,713,548.67           | 91,685,046.76         | 16,981,384.12         |                          |                      |
| Restricted Cash & Cash Equivalents   |                            | 1,196,505.17          |                       | 93,757,509.20            | 2,231,070.73         |
| Balance in State Appropriations  | 14,447,711.39              |                       |                       |                          |                      |
| Accounts Receivable, Net:  |                            |                       |                       |                          |                      |
| Federal (allow. \$0.00 in 2020 & \$0.00 in 2019)                             |                            |                       |                       | 21,516,905.53            |                      |
| Other Intergov. (allow. \$784,624.38 in 2020 & \$147,592.05 in 2019)         | 1,928,810.60               | 31,834,472.95         |                       | 18,001,009.83            |                      |
| Student (allow. \$915,046.49 in 2020 & \$851,377.74 in 2019)                 | 20,190,157.78              | 247,150.37            |                       | 483,680.00               |                      |
| Patient & Healthcare(allow. \$6,987,153.37 in 2020 & \$6,378,221.96 in 2019) | 1,283,133.11               | 54,145,946.45         |                       |                          |                      |
| Interest and Dividend Receivables  | 548,192.88                 | 149,442.06            |                       |                          |                      |
| Contributions (allow. \$75,718.14 in 2020 & \$96,812.74 in 2019)             |                            |                       |                       | 3,088,677.54             |                      |
| Other (allow. \$21,993.17 in 2020 & \$20,899.44 in 2019)                     |                            | 11,143,405.07         | 57,014.43             | 4,130,397.53             |                      |
| Due From System Administration   |                            | 3,374,675.24          |                       | 675,693.46               |                      |
| Due From Other Institutions  |                            | 216,223.00            |                       | 5,434,691.37             |                      |
| Due From Other Agencies  |                            |                       |                       | 3,236,455.67             |                      |
| Inventories  | 133,948.21                 | 604,451.56            |                       |                          |                      |
| Loans & Contracts (allow. \$87,862.75 in 2020 & \$260,431.95 in 2019)        |                            |                       |                       |                          | 1,849,149.63         |
| Other Current Assets   | 1,175,587.45               | 3,989,932.74          | 18,960.18             |                          |                      |
| <b>Total Current Assets</b>  | <b>115,421,090.09</b>      | <b>198,587,251.37</b> | <b>17,057,358.73</b>  | <b>150,325,020.13</b>    | <b>4,080,220.36</b>  |
| <b>Noncurrent Assets:</b>  |                            |                       |                       |                          |                      |
| Restricted Investments   |                            |                       |                       | 56,896,087.64            | 4,547,257.91         |
| Loans & Contracts (allow. \$617,255.93 in 2020 & \$488,000.73 in 2019)       |                            |                       |                       |                          | 8,387,503.57         |
| Funds Held by System Administration (Restricted)                             |                            |                       |                       |                          |                      |
| Contributions Rec. (allow. \$93,184.19 in 2020 & \$119,807.20 in 2019)       |                            |                       |                       | 3,801,149.03             |                      |
| Unrestricted Investments   | 67,273,236.63              | 89,160,283.62         | 34,610,616.42         |                          |                      |
| Funds Held by System Administration (Unrestricted)                           |                            | 408,410,962.67        |                       |                          |                      |
| Other Noncurrent Assets  | 14,498,887.00              | 1,792,605.40          |                       |                          |                      |
| Gross Capital/Intangible Assets  |                            |                       |                       |                          |                      |
| Accumulated Depreciation/Amortization  |                            |                       |                       |                          |                      |
| <b>Total Noncurrent Assets</b>   | <b>81,772,123.63</b>       | <b>499,363,851.69</b> | <b>34,610,616.42</b>  | <b>60,697,236.67</b>     | <b>12,934,761.48</b> |
| <b>Total Assets</b>  | <b>197,193,213.72</b>      | <b>697,951,103.06</b> | <b>51,667,975.15</b>  | <b>211,022,256.80</b>    | <b>17,014,981.84</b> |
| <b>Total Assets and Deferred Outflows</b>                                    | <b>197,193,213.72</b>      | <b>697,951,103.06</b> | <b>51,667,975.15</b>  | <b>211,022,256.80</b>    | <b>17,014,981.84</b> |
| <b>LIABILITIES AND DEFERRED INFLOWS</b>                                      |                            |                       |                       |                          |                      |
| <b>Current Liabilities:</b>  |                            |                       |                       |                          |                      |
| Accounts Payable and Accrued Liabilities                                     | 46,358,334.17              | 30,208,308.08         | 755,058.96            | 8,225,337.13             | 369,607.00           |
| Salaries Payable   | 14,906,011.14              | 58,514,329.32         | 99,913.65             | 4,509,828.07             |                      |
| Due To System Administration   | 12,706,205.61              | 12,463.95             |                       | 25,680.33                |                      |
| Due To Other Institutions  |                            | 220,615.60            | 6,126.50              | 1,821,666.27             |                      |
| Due To Other State Agencies  |                            |                       |                       | 57,816,167.71            |                      |
| Unearned Revenue   | 28,581,259.81              | 31,583,446.55         | 1,075,087.79          | 22,287,330.79            |                      |
| Employees' Compensable Leave - Current Portion                               | 6,746,102.96               | 39,610,914.81         | 146,782.45            |                          |                      |
| Notes, Loans, and Leases Payable   |                            |                       |                       |                          |                      |
| Assets Held for Others   |                            |                       |                       |                          |                      |
| Other Current Liabilities  | 1,829,693.69               | 22,452,382.76         | 312,706.40            |                          |                      |
| <b>Total Current Liabilities</b>   | <b>111,127,607.38</b>      | <b>182,602,461.07</b> | <b>2,395,675.75</b>   | <b>94,686,010.30</b>     | <b>369,607.00</b>    |
| <b>Noncurrent Liabilities:</b>   |                            |                       |                       |                          |                      |
| Employees' Compensable Leave   | 5,181,236.09               | 13,039,393.28         | 112,733.90            |                          |                      |
| Liability to Beneficiaries   |                            |                       |                       |                          |                      |
| Notes, Loans, and Leases Payable   |                            |                       |                       |                          |                      |
| Asset Retirement Obligation  |                            | 975,121.25            |                       |                          |                      |
| Other Noncurrent Liabilities   |                            | 113,408,940.90        | 6,600.00              |                          | 2,671,352.99         |
| <b>Total Noncurrent Liabilities</b>  | <b>5,181,236.09</b>        | <b>127,423,455.43</b> | <b>119,333.90</b>     |                          | <b>2,671,352.99</b>  |
| <b>Total Liabilities</b>   | <b>116,308,843.47</b>      | <b>310,025,916.50</b> | <b>2,515,009.65</b>   | <b>94,686,010.30</b>     | <b>3,040,959.99</b>  |
| <b>Total Deferred Inflows of Resources</b>                                   |                            |                       |                       |                          |                      |
| <b>Total Liabilities and Deferred Inflows</b>                                | <b>116,308,843.47</b>      | <b>310,025,916.50</b> | <b>2,515,009.65</b>   | <b>94,686,010.30</b>     | <b>3,040,959.99</b>  |
| <b>NET POSITION</b>  |                            |                       |                       |                          |                      |
| Net Investment in Capital Assets   |                            |                       |                       |                          |                      |
| Restricted for:  |                            |                       |                       |                          |                      |
| Nonexpendable  |                            |                       |                       |                          |                      |
| Permanent Health and True Endowments   |                            |                       |                       |                          |                      |
| Expendable   |                            |                       |                       |                          |                      |
| Capital Projects   |                            |                       |                       |                          |                      |
| Funds Functioning as Endowment (Restricted)                                  |                            |                       |                       |                          |                      |
| Other Expendable   |                            | 1,196,505.17          |                       | 116,336,246.50           | 13,974,021.85        |
| Unrestricted   | 80,884,370.25              | 386,728,681.39        | 49,152,965.50         |                          |                      |
| <b>Total Net Position</b>  | <b>\$ 80,884,370.25</b>    | <b>387,925,186.56</b> | <b>49,152,965.50</b>  | <b>116,336,246.50</b>    | <b>13,974,021.85</b> |

| Endowment and Similar Funds - State Permanent Health Fund | Endowment and Similar Funds - Other Than State | Annuity and Life Income Funds | Unexpended Plant Funds | Investment in Plant | Current Year Totals | Prior Year Totals |
|---|--|-------------------------------|------------------------|---------------------|---------------------|-------------------|
|   |  |                               | 15,899,490.75          |                     | 200,279,470.30      | 178,001,893.16    |
|   |  |                               |                        |                     | 97,185,085.10       | 112,377,273.31    |
|   |  |                               |                        |                     | 14,447,711.39       | 17,625,539.40     |
|   |  |                               |                        |                     | 21,516,905.53       | 19,753,757.20     |
|   |  |                               |                        |                     | 51,764,293.38       | 47,942,188.87     |
|   |  |                               |                        |                     | 20,920,988.15       | 17,697,298.90     |
|   |  |                               |                        |                     | 55,429,079.56       | 54,858,268.74     |
|   |  |                               |                        |                     | 697,634.94          | 1,411,197.52      |
|   |  |                               |                        |                     | 3,088,677.54        | 3,949,164.31      |
|   |  |                               |                        |                     | 15,330,817.03       | 26,844,527.28     |
|   |  |                               | 1,847,774.07           |                     | 5,898,142.77        | 8,883,744.55      |
|   |  |                               |                        |                     | 5,650,914.37        | 1,577,775.11      |
|   |  |                               |                        |                     | 3,236,455.67        | 3,170,546.96      |
|   |  |                               |                        |                     | 738,399.77          | 772,149.13        |
|   |  |                               |                        |                     | 1,849,149.63        | 5,259,267.22      |
|   |  |                               |                        |                     | 5,184,480.37        | 3,997,869.25      |
|   |  |                               | 17,747,264.82          |                     | 503,218,205.50      | 504,122,460.91    |
|   |  |                               |                        |                     | 61,443,345.55       | 66,709,633.54     |
|   |  |                               |                        |                     | 8,387,503.57        | 5,382,704.65      |
| 38,466,925.00   | 396,715,317.88                                 | 406,595.64                    |                        |                     | 435,588,838.52      | 392,508,950.57    |
|   |  |                               | 32,405,554.93          |                     | 3,801,149.03        | 4,887,148.78      |
|   | 184,273,032.63                                 |                               |                        |                     | 223,449,691.60      | 334,634,963.82    |
|   |  | 453,762.00                    |                        |                     | 592,683,995.30      | 327,224,230.86    |
|   |  |                               |                        | 1,426,978,432.92    | 16,745,254.40       | 16,030,744.27     |
|   |  |                               |                        | 1,426,978,432.92    | 1,426,978,432.92    | 1,365,086,080.01  |
|   |  |                               |                        | (824,212,526.58)    | (824,212,526.58)    | (764,270,540.70)  |
| 38,466,925.00   | 580,988,350.51                                 | 860,357.64                    | 32,405,554.93          | 602,765,906.34      | 1,944,865,684.31    | 1,748,193,915.80  |
| 38,466,925.00   | 580,988,350.51                                 | 860,357.64                    | 50,152,819.75          | 602,765,906.34      | 2,448,083,889.81    | 2,252,316,376.71  |
| 38,466,925.00   | 580,988,350.51                                 | 860,357.64                    | 50,152,819.75          | 602,765,906.34      | 2,448,083,889.81    | 2,252,316,376.71  |
|   |  |                               | 5,480,935.02           |                     | 91,397,580.36       | 83,035,763.32     |
|   |  |                               |                        |                     | 78,030,082.18       | 42,498,346.61     |
|   |  |                               |                        |                     | 12,744,349.89       | 11,131,582.92     |
|   |  |                               |                        |                     | 2,048,408.37        | 600,180.20        |
|   |  |                               |                        |                     | 57,816,167.71       | 97,304,249.05     |
|   |  |                               |                        |                     | 83,527,124.94       | 76,957,722.94     |
|   |  |                               |                        |                     | 46,503,800.22       | 39,174,020.26     |
|   |  |                               |                        |                     | 24,594,782.85       | 106,070,288.52    |
|   |  |                               | 5,480,935.02           |                     | 396,662,296.52      | 456,772,153.82    |
|   |  |                               | 212,719.61             |                     | 18,333,363.27       | 33,170,207.43     |
|   |  |                               |                        |                     | 212,719.61          | 190,411.48        |
|   |  |                               |                        |                     | 975,121.25          | 975,121.25        |
|   |  |                               |                        |                     | 116,086,893.89      | 3,101,952.99      |
|   |  |                               | 212,719.61             |                     | 135,608,098.02      | 37,437,693.15     |
|   |  |                               | 212,719.61             | 5,480,935.02        | 532,270,394.54      | 494,209,846.97    |
|   |  |                               | 647,638.03             |                     | 647,638.03          | 704,057.03        |
|   |  |                               | 860,357.64             | 5,480,935.02        | 532,918,032.57      | 494,913,904.00    |
|   |  |                               |                        | 602,765,906.34      | 602,765,906.34      | 600,815,539.31    |
| 25,000,000.00   | 282,126,371.75                                 |                               |                        |                     | 307,126,371.75      | 282,765,217.46    |
|   |  |                               | 5,366,068.89           |                     | 5,366,068.89        | 2,043,714.78      |
|   | 31,346,074.29                                  |                               |                        |                     | 31,346,074.29       | 29,407,122.77     |
| 13,466,925.00   | 83,242,871.84                                  |                               |                        |                     | 228,216,570.36      | 195,017,616.53    |
|   | 184,273,032.63                                 |                               | 39,305,815.84          |                     | 740,344,865.61      | 647,353,261.86    |
| 38,466,925.00   | 580,988,350.51                                 |                               | 44,671,884.73          | 602,765,906.34      | 1,915,165,857.24    | 1,757,402,472.71  |

The University of Texas Health Science Center at Houston  
Exhibit B Statement of Revenues, Expenses, and Changes in Net Position  
For the Year Ended August 31, 2020

|   | Educational and<br>General | Designated         | Auxiliary<br>Enterprises | Restricted<br>Expendable | Loan Funds    | Endowment and<br>Similar Funds -<br>State Permanent<br>Health Fund |
|---|----------------------------|--------------------|--------------------------|--------------------------|---------------|--|
| Operating Revenues:   |                            |                    |                          |                          |               |  |
| Student Tuition and Fees  | \$ 25,270,933.41           | 36,250,468.79      | 2,091,661.99             |                          |               |  |
| Discounts and Allowances  | (725,691.65)               | (1,033,252.82)     | (59,364.73)              |                          |               |  |
| Federal Sponsored Programs  |                            | 38,450,454.59      |                          | 98,975,622.32            |               |  |
| Federal Sponsored Programs Pass-Through from State Agencies                 |                            | 2,463,866.30       |                          | 23,482,976.61            |               |  |
| State Sponsored Programs Pass-Through from State Agencies                   | 7,885,795.54               | 534,469.74         |                          | 15,886,419.58            |               |  |
| Local Sponsored Programs  |                            | 500,745,145.32     |                          | 19,515,017.01            |               |  |
| Private Sponsored Programs  |                            | 79,754,115.80      |                          | 75,286,830.07            |               |  |
| Sales and Services of Educational Activities                                | 5,233,256.53               | 14,131,004.76      |                          | 19,227,291.58            |               |  |
| Sales and Services of Hospitals   | 113,129,279.47             | 29,954,602.93      |                          |                          |               |  |
| Discounts and Allowances Sales and Services of Hospitals                    | (59,880,293.39)            |                    |                          |                          |               |  |
| Professional Fees (Patient Charges)   |                            | 1,989,020,513.57   |                          |                          |               |  |
| Discounts and Allowances Professional Fees (Patient Charges)                |                            | (1,583,410,664.65) |                          |                          |               |  |
| Auxiliary Enterprises   |                            |                    | 25,093,500.53            |                          |               |  |
| Other Operating Revenues  | 1,875,852.87               | 92,028,028.01      |                          | 777,948.54               | 255,783.73    |  |
| Total Operating Revenues  | 92,789,132.78              | 1,198,888,752.34   | 27,125,797.79            | 253,152,105.71           | 255,783.73    |  |
| Operating Expenses:   |                            |                    |                          |                          |               |  |
| Instruction   | 114,642,907.47             | 665,823,759.33     |                          | 9,658,137.08             |               |  |
| Research  | 24,373,462.70              | 25,962,219.87      |                          | 156,068,905.47           |               |  |
| Public Service  | 958,864.28                 | 2,961,160.85       |                          | 31,791,083.28            |               |  |
| Hospitals / Clinics   | 64,228,321.80              | 375,790,861.94     |                          | 65,848,995.84            |               |  |
| Academic Support  | 31,227,372.40              | 29,849,941.39      |                          | 4,090,529.80             |               |  |
| Student Services  | 2,570,555.30               | 6,548,706.14       |                          | 1,760,783.05             | 74,323.34     |  |
| Institutional Support   | 47,798,527.99              | 33,521,996.57      |                          | 1,782,091.95             |               |  |
| Operations and Maintenance of Plant   | 28,678,262.33              | 7,362,428.03       |                          | 1,606,281.58             |               |  |
| Scholarships and Fellowships  | 88,614.91                  | 3,416,994.51       |                          | 6,753,522.21             |               |  |
| Auxiliary Enterprises   |                            |                    | 16,999,904.01            |                          |               |  |
| Depreciation and Amortization   |                            |                    |                          |                          |               |  |
| Total Operating Expenses  | 314,566,889.18             | 1,151,238,068.63   | 16,999,904.01            | 279,360,330.26           | 74,323.34     |  |
| Operating Income (Loss)   | (221,777,756.40)           | 47,650,683.71      | 10,125,893.78            | (26,208,224.55)          | 181,460.39    |  |
| Nonoperating Revenues (Expenses):   |                            |                    |                          |                          |               |  |
| State Appropriations  | 234,938,593.98             |                    |                          |                          |               |  |
| Federal Nonexchange Sponsored Programs                                      | 491,722.85                 | 5,478,522.99       |                          | 3,091,866.00             |               |  |
| Federal Nonexchange Pass-Through  |                            |                    |                          |                          |               |  |
| State Nonexchange Pass-Through  |                            |                    |                          |                          |               |  |
| Gift Contributions for Operations   |                            |                    |                          | 18,153,127.77            |               |  |
| Investment Income   | 2,935,717.38               | 44,975,089.72      | 1,019,309.51             | 21,575,781.69            | 143,103.40    |  |
| Net Increase (Decrease) in Fair Value of Investments                        |                            | 13,306,706.85      |                          | 171.91                   |               | 1,760,800.00   |
| Gain (Loss) on Sale of Capital Assets                                       |                            |                    |                          |                          |               |  |
| Other Nonoperating Revenues   |                            | 2,100,401.71       |                          |                          |               |  |
| Other Nonoperating (Expenses)   |                            |                    |                          |                          | (929,809.00)  |  |
| Net Nonoperating Revenues (Expenses)  | 238,366,034.21             | 65,860,721.27      | 1,019,309.51             | 42,820,947.37            | (786,705.60)  | 1,760,800.00   |
| Income/(Loss) Before Other Revenue, Expenses, Gains/(Losses), and Transfers | 16,588,277.81              | 113,511,404.98     | 11,145,203.29            | 16,612,722.82            | (605,245.21)  | 1,760,800.00   |
| Additions to Permanent Endowments   |                            |                    |                          |                          |               |  |
| Reclass from/(to) Other Institutions  |                            |                    |                          |                          |               |  |
| Capital Asset Purchases   | (267,515.53)               | (10,658,907.43)    | (7,568.65)               | (5,159,555.46)           |               |  |
| Transactions Between Funds  |                            | 662,918.41         |                          |                          |               |  |
| Transfers Between Institutions & System, Debt Service - Mandatory           | (18,749,650.00)            | (9,274,653.47)     | (4,730,146.76)           |                          |               |  |
| Transfers Between Institutions & System Admin. - Non mandatory              |                            | 2,892,905.04       |                          | 7,458,705.32             |               |  |
| Transfers From Other State Agencies   | 2,844,471.00               | 53,248.00          |                          |                          |               |  |
| Transfers to Other State Agencies   |                            | (28,486.40)        |                          |                          |               |  |
| Legislative Appropriations Lapsed   |                            |                    |                          |                          |               |  |
| Transfers Between Funds   | (2,158,748.87)             | (59,285,538.92)    | 4,174,564.43             | (2,292,508.26)           | (328,924.00)  |  |
| Change in Net Position  | (1,743,165.59)             | 37,872,890.21      | 10,582,052.31            | 16,619,364.42            | (934,169.21)  | 1,760,800.00   |
| Beginning Net Position  | 82,627,535.84              | 350,052,296.35     | 38,570,913.19            | 99,716,882.08            | 14,908,191.06 | 36,706,125.00  |
| Ending Net Position   | \$ 80,884,370.25           | 387,925,186.56     | 49,152,965.50            | 116,336,246.50           | 13,974,021.85 | 38,466,925.00  |

| Endowment and<br>Similar Funds -<br>Other Than State | Unexpended Plant<br>Funds | Investment in Plant   | Current Year<br>Totals  | Prior Year<br>Totals    |
|--|---------------------------|-----------------------|-------------------------|-------------------------|
|  |                           |                       | 63,613,064.19           | 61,104,402.84           |
|  |                           |                       | (1,818,309.20)          | (1,411,910.11)          |
|  |                           |                       | 137,426,076.91          | 134,765,581.28          |
|  |                           |                       | 25,946,842.91           | 23,941,860.19           |
|  |                           |                       | 24,306,684.86           | 23,103,659.51           |
|  |                           |                       | 520,260,162.33          | 480,170,478.13          |
|  |                           |                       | 155,040,945.87          | 147,796,003.51          |
|  |                           |                       | 38,591,552.87           | 39,268,166.12           |
|  |                           |                       | 143,083,882.40          | 137,995,649.93          |
|  |                           |                       | (59,880,293.39)         | (63,449,925.70)         |
|  |                           |                       | 1,989,020,513.57        | 1,922,452,456.97        |
|  |                           |                       | (1,583,410,664.65)      | (1,538,965,192.01)      |
|  |                           |                       | 25,093,500.53           | 27,154,291.34           |
|  |                           |                       | 94,937,613.15           | 70,534,713.07           |
|  |                           |                       | <u>1,572,211,572.35</u> | <u>1,464,460,235.07</u> |
|  |                           |                       | 790,124,803.88          | 745,849,630.43          |
|  |                           |                       | 206,404,588.04          | 204,772,051.64          |
|  |                           |                       | 35,711,108.41           | 33,696,444.30           |
|  |                           |                       | 505,868,179.58          | 448,537,362.77          |
|  |                           |                       | 65,167,843.59           | 56,879,366.56           |
|  |                           |                       | 10,954,367.83           | 10,531,008.68           |
|  |                           |                       | 83,102,616.51           | 76,655,259.13           |
|  | 4,591,062.24              |                       | 42,238,034.18           | 43,659,044.15           |
|  |                           |                       | 10,259,131.63           | 10,570,159.08           |
|  |                           |                       | 16,999,904.01           | 17,498,942.41           |
|  |                           | 69,126,734.66         | <u>69,126,734.66</u>    | <u>68,136,690.82</u>    |
|  | 4,591,062.24              | 69,126,734.66         | <u>1,835,957,312.32</u> | <u>1,716,785,959.97</u> |
|  | (4,591,062.24)            | (69,126,734.66)       | <u>(263,745,739.97)</u> | <u>(252,325,724.90)</u> |
|  |                           |                       | 234,938,593.98          | 216,741,048.21          |
|  |                           |                       | 9,062,111.84            | 1,304,635.00            |
|  |                           |                       | 18,153,127.77           | 16,941,361.72           |
| 37,945.42  | 1,177,229.99              |                       | 71,864,177.11           | 67,099,424.90           |
| 23,007,850.24  |                           |                       | 38,075,529.00           | (3,486,189.32)          |
|  |                           | (1,218,700.99)        | (1,218,700.99)          | (488,647.90)            |
|  |                           |                       | 2,100,401.71            | 4,407,335.79            |
|  |                           |                       | (929,809.00)            | (41,500.49)             |
| <u>23,045,795.66</u>                                 | <u>1,177,229.99</u>       | <u>(1,218,700.99)</u> | <u>372,045,431.42</u>   | <u>302,477,467.91</u>   |
| 23,045,795.66  | (3,413,832.25)            | (70,345,435.65)       | 108,299,691.45          | 50,151,743.01           |
| 17,259,895.80  |                           |                       | 17,259,895.80           | 24,176,512.46           |
|  | 19,517,584.66             |                       | 19,517,584.66           | 21,953,421.33           |
|  | (56,781,457.29)           | 72,875,004.36         |                         |                         |
|  |                           | (662,918.41)          |                         |                         |
|  |                           |                       | (32,754,450.23)         | (32,452,538.08)         |
|  | 32,136,103.16             |                       | 42,487,713.52           | 20,675,590.32           |
|  |                           | 83,716.73             | 2,981,435.73            | 54,494.00               |
|  |                           |                       | (28,486.40)             | (58,875.11)             |
|  |                           |                       |                         | (71,505.00)             |
| <u>25,329,122.29</u>                                 | <u>34,562,033.33</u>      |                       |                         |                         |
| <u>65,634,813.75</u>                                 | <u>26,020,431.61</u>      | <u>1,950,367.03</u>   | <u>157,763,384.53</u>   | <u>84,428,842.93</u>    |
| 515,353,536.76                                       | 18,651,453.12             | 600,815,539.31        | 1,757,402,472.71        | 1,672,973,629.78        |
| <u>580,988,350.51</u>                                | <u>44,671,884.73</u>      | <u>602,765,906.34</u> | <u>1,915,165,857.24</u> | <u>1,757,402,472.71</u> |

The University of Texas Health Science Center at Houston  
Exhibit C - Statement of Cash Flows  
For the Year Ended August 31, 2020

|  | <u>Current Year Totals</u> | <u>Prior Year Totals</u>   |
|--|----------------------------|----------------------------|
| <b>Cash Flows from Operating Activities:</b>   |                            |                            |
| Proceeds from Tuition and Fees   | 62,763,300.78              | 60,538,505.51              |
| Proceeds from Patients and Customers   | 506,427,426.53             | 459,348,748.78             |
| Proceeds from Sponsored Programs   | 832,094,035.35             | 775,967,833.81             |
| Proceeds from Auxiliaries  | 25,083,116.14              | 27,248,065.05              |
| Proceeds from Other Revenues   | 142,837,813.48             | 128,356,790.29             |
| Payments to Suppliers  | (362,490,632.07)           | (391,168,278.63)           |
| Payments to Employees  | (1,365,062,363.09)         | (1,245,282,585.31)         |
| Payments for Loans Provided  | (1,557,769.81)             | (1,666,645.41)             |
| Proceeds from Loan Programs  | 1,963,088.48               | 2,283,286.25               |
| Net Cash Provided (Used) by Operating Activities   | <u>(157,941,984.21)</u>    | <u>(184,374,279.66)</u>    |
| <b>Cash Flows from Noncapital Financing Activities:</b>  |                            |                            |
| Proceeds from State Appropriations   | 238,116,421.99             | 213,065,946.54             |
| Proceeds from Operating Gifts  | 20,099,614.29              | 19,476,679.19              |
| Proceeds from Private Gifts for Endowment Purposes   | 17,259,895.80              | 24,176,512.46              |
| Proceeds from Other Noncapital Financing Activities  | 52,433,209.55              | 101,648,731.59             |
| Receipts for Transfers from System or Other Agencies   | 14,235,318.15              | 6,604,958.04               |
| Payments for Transfers to System or Other Agencies   | (385,292.84)               | (247,049.39)               |
| Payments for Other Uses  | (51,750,457.07)            | (41,500.36)                |
| Proceeds from Nonexchange Sponsored Programs   | 9,157,439.84               | 2,571,968.44               |
| Net Cash Provided by Noncapital Financing Activities   | <u>299,166,149.71</u>      | <u>367,256,246.51</u>      |
| <b>Cash Flows from Capital and Related Financing Activities:</b>   |                            |                            |
| Nonmandatory Capital Related Transfers   | 54,666,755.05              | 57,664,050.01              |
| Proceeds from Sale of Capital Assets   | 662,918.41                 | 124,101.51                 |
| Payments for Additions to Capital Assets   | (74,644,836.64)            | (75,138,171.14)            |
| Mandatory Transfers to System for Capital Related Debt   | (32,754,450.23)            | (32,452,538.08)            |
| Net Cash Provided (Used) by Capital and Related Financing Activities   | <u>(52,069,613.41)</u>     | <u>(49,802,557.70)</u>     |
| <b>Cash Flows from Investing Activities</b>  |                            |                            |
| Proceeds from Sales of Investments Invested by System  |                            |                            |
| Proceeds from Interest and Investment Income   | 3,164,427.48               | 3,070,796.79               |
| Proceeds from Interest and Investment Income Invested by System  | 68,756,664.41              | 64,045,534.64              |
| Payments to Acquire Investments  | (42,400.64)                | (108,739.25)               |
| Payments to Acquire Investments Invested by System   | (153,947,854.41)           | (75,411,030.46)            |
| Net Cash Provided (Used) by Investing Activities   | <u>(82,069,163.16)</u>     | <u>(8,403,438.28)</u>      |
| Net Increase (Decrease) in Cash  | 7,085,388.93               | 124,675,970.87             |
| Cash and Cash Equivalents (Beginning of the Year)  | 290,379,166.47             | 165,703,195.60             |
| Cash and Cash Equivalents (End of the Year)  | <u>\$ 297,464,555.40</u>   | <u>\$ 290,379,166.47</u>   |
| <b>Reconciliation of Net Operating Revenues (Expenses) to Net Cash Provided (Used) by Operating Activities</b> |                            |                            |
| Operating Income (Loss)  | (263,745,739.97)           | (252,325,724.90)           |
| Adjustments to Reconcile Operating Results to Net Cash:  |                            |                            |
| Depreciation and Amortization Expense  | 69,126,734.66              | 68,136,690.82              |
| Changes in Assets and Liabilities:   |                            |                            |
| Accounts Receivable  | (1,700,468.63)             | (26,018,685.75)            |
| Inventories  | 33,749.36                  | (222,966.79)               |
| Loans and Contracts  | 405,318.67                 | 616,640.84                 |
| Other Current and Noncurrent Assets  | (1,957,540.25)             | (1,159,679.03)             |
| Accounts Payable   | 7,711,421.95               | 5,473,472.98               |
| Due to System  | 1,612,766.97               | 1,663,575.31               |
| Unearned Revenue   | 6,569,402.00               | 4,923,380.01               |
| Employees' Compensable Leave   | (7,507,064.20)             | 3,897,615.15               |
| Asset Retirement Obligations   |                            | (611,267.79)               |
| Other Current and Noncurrent Liabilities   | 31,509,435.23              | 11,252,669.49              |
| Total Adjustments  | <u>105,803,755.76</u>      | <u>67,951,445.24</u>       |
| Net Cash Provided (Used) by Operating Activities   | <u>\$ (157,941,984.21)</u> | <u>\$ (184,374,279.66)</u> |
| <b>Non Cash Transactions:</b>  |                            |                            |
| Net Increase (Decrease) in Fair Value of Investments   | 38,075,529.00              | (3,486,189.32)             |
| Miscellaneous Noncash Transactions   | (1,134,984.26)             | (499,598.62)               |



The University of Texas Health Science Center at Houston  
Exhibit D Comparison of Budget to Actual Statement of Revenues, Expenses, and Changes in Net Position  
For the Year Ended August 31, 2020

|  | Operating<br>Budget     | Actual                  |
|--|-------------------------|-------------------------|
| <b>OPERATING REVENUES:</b>                           |                         |                         |
| Net Student Tuition and Fees                         | \$ 60,061,366.00        | 61,794,754.99           |
| Federal Sponsored Programs                           | 173,648,338.00          | 163,372,919.82          |
| State Sponsored Programs                             | 33,675,116.00           | 24,306,684.86           |
| Local and Private Sponsored Programs                 | 613,912,182.00          | 675,301,108.20          |
| Net Sales and Services of Educational Activities     | 23,783,726.00           | 38,591,552.87           |
| Net Sales and Services of Hospitals                  | 82,101,855.00           | 83,203,589.01           |
| Net Professional Fees                                | 426,407,181.00          | 405,609,848.92          |
| Net Auxiliary Enterprises                            | 32,886,634.00           | 25,093,500.53           |
| Other Operating Revenues                             | 58,644,954.00           | 94,937,613.15           |
| Total Operating Revenues                             | <u>1,505,121,352.00</u> | <u>1,572,211,572.35</u> |
| <b>OPERATING EXPENSES:</b>                           |                         |                         |
| Instruction  | 791,940,639.00          | 790,124,803.88          |
| Research   | 217,380,667.00          | 206,404,588.04          |
| Public Service                                       | 39,934,034.00           | 35,711,108.41           |
| Hospitals / Clinics                                  | 453,072,239.00          | 505,868,179.58          |
| Academic Support                                     | 66,341,080.00           | 65,167,843.59           |
| Student Services                                     | 11,442,499.00           | 10,954,367.83           |
| Institutional Support                                | 83,274,868.00           | 83,102,616.51           |
| Operations and Maintenance of Plant                  | 39,162,035.00           | 42,238,034.18           |
| Scholarships and Fellowships                         | 8,204,098.00            | 10,259,131.63           |
| Auxiliary Enterprises                                | 19,293,360.00           | 16,999,904.01           |
| Depreciation and Amortization                        | 63,958,891.00           | 69,126,734.66           |
| Total Operating Expenses                             | <u>1,794,004,410.00</u> | <u>1,835,957,312.32</u> |
| Operating Income (Loss)                              | <u>(288,883,058.00)</u> | <u>(263,745,739.97)</u> |
| <b>NONOPERATING REVENUES (EXPENSES):</b>             |                         |                         |
| State Appropriations                                 | 234,376,206.00          | 234,938,593.98          |
| Federal Nonexchange Sponsored Programs               | 727,667.00              | 9,062,111.84            |
| Gift Contributions for Operations                    | 15,010,000.00           | 18,153,127.77           |
| Investment Income                                    | 52,844,967.00           | 71,864,177.11           |
| Net Increase (Decrease) in Fair Value of Investments |                         | 38,075,529.00           |
| Other Nonoperating Revenues (Expenses)               |                         | <u>(48,108.28)</u>      |
| Net Nonoperating Revenues (Expenses)                 | <u>302,958,840.00</u>   | <u>372,045,431.42</u>   |
| <b>TRANSFERS AND OTHERS:</b>                         |                         |                         |
| Additions to Permanent Endowments                    | 30,000,000.00           | 17,259,895.80           |
| Transfers for Debt Service                           | (35,426,549.00)         | (32,754,450.23)         |
| Transfers and Other                                  | 28,300,000.00           | 64,958,247.51           |
| Total Transfers and Other                            | <u>22,873,451.00</u>    | <u>49,463,693.08</u>    |
| Change in Net Position                               | <u>\$ 36,949,233.00</u> | <u>157,763,384.53</u>   |

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## SUPPORTING SCHEDULES

The University of Texas Health Science Center at Houston  
Schedule A-1 Schedule of Cash, Cash Equivalents, and Investments  
As of August 31, 2020

|  | CURRENT ASSETS |               | NONCURRENT ASSETS |            | Prior Year Total |
|--|----------------|---------------|-------------------|------------|------------------|
|  | Unrestricted   | Restricted    | Unrestricted      | Restricted |                  |
| <b>Cash &amp; Cash Equivalents</b>                           |                |               |                   |            |                  |
| Cash on Hand   |                |               |                   |            |                  |
| Petty Cash   | 204,655.23     | -             |                   |            | 298,220.23       |
| Cash in Transit  | 122,717.04     | -             |                   |            | 122,717.04       |
| Subtotal Cash on Hand  | 327,372.27     | -             |                   |            | 298,220.23       |
| Cash in Bank   |                |               |                   |            |                  |
| Demand Cash Held by System                                   |                |               |                   |            |                  |
| Demand Accounts  | 499,703.78     | 7,204.43      |                   |            | 199,090.45       |
| Subtotal Cash in Bank  | 499,703.78     | 7,204.43      |                   |            | 199,090.45       |
| Cash in State Treasury                                       |                |               |                   |            |                  |
| Available University Fund                                    | -              | -             |                   |            | -                |
| Permanent University Fund                                    | -              | -             |                   |            | -                |
| Permanent Health Fund  | -              | 1,196,505.17  |                   |            | 440,220.56       |
| ROI Fund 211   | -              | -             |                   |            | -                |
| Local Revenue Fund   | 42,401,811.28  | -             |                   |            | 25,988,693.02    |
| Direct Deposit of Bills - Holding Account Fund               | -              | -             |                   |            | -                |
| Departmental Suspense Fund                                   | -              | -             |                   |            | -                |
| US Savings Bond Account Fund                                 | -              | -             |                   |            | -                |
| Deferred Compensation 401K Fund                              | -              | -             |                   |            | -                |
| Direct Deposit Hold - Transmit Account Fund                  | -              | -             |                   |            | -                |
| Correction Account for Direct Deposit Fund                   | -              | -             |                   |            | -                |
| Subtotal Cash in State Treasury                              | 42,401,811.28  | 1,196,505.17  |                   |            | 26,428,913.58    |
| Cash Equivalent Investments (Intent)                         |                |               |                   |            |                  |
| US Treasury Bills and Notes                                  | -              | -             |                   |            | -                |
| Time Deposits  | -              | -             |                   |            | -                |
| Repurchase Agreements - Texas Treasury Safekeeping Trust Co. | -              | -             |                   |            | -                |
| Money Market Funds   | 157,050,582.97 | 95,981,375.50 |                   |            | 263,452,942.21   |
| Subtotal Cash Equivalent Investments                         | 157,050,582.97 | 95,981,375.50 |                   |            | 263,452,942.21   |
| Reimbursements due from State Treasury                       |                |               |                   |            |                  |
|  | 200,279,470.30 | 97,185,085.10 |                   |            | 290,379,166.47   |
| <b>Total Cash and Cash Equivalents (Exhibit A)</b>           |                |               |                   |            |                  |

The University of Texas Health Science Center at Houston  
Schedule A-1 Schedule of Cash, Cash Equivalents, and Investments  
As of August 31, 2020

|  | NONCURRENT ASSETS        |                       |                         | Prior Year Total        |
|--|--------------------------|-----------------------|-------------------------|-------------------------|
|  | Unrestricted             | Restricted            | Current Year Total      |                         |
| <b>Investments</b>   |                          |                       |                         |                         |
| Funds Held by System Administration                                    | \$ 592,683,995.30        | 435,588,838.52        | 1,028,272,833.82        | 719,733,181.43          |
| Pooled Operating Funds (Held by System - ITF)                          | 214,672,813.77           | 61,435,815.63         | 276,108,629.40          | 395,142,863.68          |
| Bonds and Preferred Stock  | -                        | -                     | -                       | -                       |
| Stocks   | 8,776,877.83             | -                     | 8,776,877.83            | 6,186,604.45            |
| Real Estate Mortgages and Other Notes                                  | -                        | -                     | -                       | -                       |
| Real Estate  | -                        | -                     | -                       | -                       |
| PUF Investment - Other   | -                        | -                     | -                       | -                       |
| Mineral Rights and Other Royalties                                     | -                        | -                     | -                       | -                       |
| Physical Commodity   | -                        | -                     | -                       | -                       |
| Investment Funds   | -                        | -                     | -                       | -                       |
| Other Investments  | -                        | 7,529.92              | 7,529.92                | 15,129.23               |
| Investment Derivatives - Asset Positions                               | -                        | -                     | -                       | -                       |
| <b>Total Investments (Exhibit A)</b>                                   | <b>816,133,686.90</b>    | <b>497,032,184.07</b> | <b>1,313,165,870.97</b> | <b>1,121,077,778.79</b> |
| Securities Lending Collateral  | -                        | -                     | -                       | -                       |
| <b>Total Investments and Securities Lending Collateral (Exhibit A)</b> | <b>\$ 816,133,686.90</b> | <b>497,032,184.07</b> | <b>1,313,165,870.97</b> | <b>1,121,077,778.79</b> |

Schedule A-3a  
Schedule of Legislative Appropriations  
For the Year Ended August 31, 2020

|                                       | Legislative<br>Appropriation<br>Number   | BALANCES<br>Prior Year's<br>Appropriations | Currently<br>Appropriated | Deduct Estimated<br>Locally Collected<br>Income as Applied | Reported<br>as<br>Income | Transfers              | Expended              | Lapsed           | BALANCES<br>Aug. 31, 2020 |
|---------------------------------------|--|--|---------------------------|--|--------------------------|------------------------|-----------------------|------------------|---------------------------|
| <b>General Revenue Appropriations</b> |  |  |                           |  |                          |                        |                       |                  |                           |
| <b>Current General Funds</b>          |  |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 04-13120   | 127,627.17                                 |                           |  |                          |                        | 127,627.17            |                  |                           |
|                                       | H.B.1 78th Legislature, Regular Session<br>Advanced Research Program   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 06-13020   | 100,000.00                                 |                           |  |                          |                        | 100,000.00            |                  |                           |
|                                       | S.B.1 79th Legislature, Regular Session<br>Advanced Research Program   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 08-13120   | 157,204.00                                 |                           |  |                          |                        | 157,204.00            |                  |                           |
|                                       | H.B.1 80th Legislature, Regular Session<br>Advanced Research Program   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 10-13020   | 66,200.00                                  |                           |  |                          |                        | 66,200.00             |                  |                           |
|                                       | S.B.1 81st Legislature, Regular Session<br>Advanced Research Program   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 19-10744   | 4,887,301.51                               | 217,529,504.00            | 29,248,643.00  | 188,280,861.00           | (18,749,650.00) (1)    | 4,887,301.51          |                  |                           |
|                                       | 20-10744   |  |                           |  |                          |                        | 169,531,211.00        |                  |                           |
|                                       | 19-13009   | 32,590.00                                  |                           |  |                          | 26,321.00 (2)          | 32,590.00             |                  |                           |
|                                       | 20-13009   |  |                           |  |                          |                        | 26,321.00             |                  |                           |
|                                       | Hazelwood Reimbursement - TVC  |  |                           |  |                          |                        |                       |                  |                           |
|                                       | Employee Benefits  |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 19-91142   | 834,418.30                                 | 11,384,134.13             |  | 11,384,134.13            |                        | 10,397,330.57         |                  | 986,803.56                |
|                                       | 20-91142   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 19-95002   | 202,292.34                                 |                           |  |                          |                        | 202,292.34            |                  |                           |
|                                       | 20-95002   |  | 23,553,508.59             |  | 23,553,508.59            |                        | 23,339,696.89         |                  | 213,811.70                |
|                                       | 19-97646   | 49,323.93                                  |                           |  |                          |                        | 49,323.93             |                  |                           |
|                                       | 20-97646   |  | 2,899,891.89              |  | 2,899,891.89             |                        | 2,718,117.14          |                  | 181,774.75                |
|                                       | THECB Programs   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 18-13129   | 124,032.23                                 |                           |  |                          |                        | 124,032.23            |                  |                           |
|                                       | 19-13129   | 319,298.95                                 |                           |  |                          |                        | 8,779.07              |                  | 310,519.88                |
|                                       | 20-26402   |  | 310,795.54                |  |                          |                        |                       |                  | 310,795.54                |
|                                       | 18-13163   | 3,749,793.48                               |                           |  |                          |                        | 3,749,793.48          |                  |                           |
|                                       | 19-13163   | 6,903,581.71                               |                           |  |                          |                        | 6,573,622.37          |                  | 329,959.34                |
|                                       | 20-26402   |  | 7,575,000.00              |  |                          |                        |                       |                  | 7,575,000.00              |
|                                       | Autism Parent Directed Treatment   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 18-13170   | 4,096.78                                   |                           |  |                          |                        | 4,096.78              |                  |                           |
|                                       | 19-13170   | 67,779.00                                  |                           |  |                          |                        | 66,442.27             |                  | 1,336.73                  |
|                                       | Texas Child Mental Health Care Consortium  |  |                           |  |                          |                        |                       |                  |                           |
|                                       | 20-26358   |  | 272,351.60                |  |                          | 2,818,150.00           |                       |                  | 2,818,150.00              |
|                                       | 20-25802   |  | 1,447,208.29              |  |                          |                        |                       |                  | 272,351.60                |
|                                       | 20-26802   |  |                           |  |                          |                        |                       |                  |                           |
|                                       | Matching Portion of Staff Benefits Paid by State<br>Teacher Retirement System (TRS)<br>Unemployment Compensation Insurance |  | 8,784,896.73              |  | 8,784,896.73             |                        | 8,784,896.73          |                  | 1,447,208.29              |
|                                       |  |  | 35,301.64                 |  | 35,301.64                |                        | 35,301.64             |                  |                           |
|                                       | <b>Total General Revenue Appropriations</b>  | <b>17,625,539.40</b>                       | <b>273,792,592.41</b>     | <b>29,248,643.00</b>                                       | <b>234,338,593.98</b>    | <b>(15,905,179.00)</b> | <b>230,982,180.12</b> | <b>Exhibit B</b> | <b>14,447,711.39</b>      |
|                                       |  |  |                           |  | <b>Exhibit B</b>         |                        |                       | <b>Exhibit B</b> |                           |
|                                       | (1) Transfer to System Administration - Tuition Revenue Bonds  |  |                           |  | (18,749,650.00)          |                        |                       |                  |                           |
|                                       | (2) Transfer from Texas Veterans Commission - Hazelwood Reimbursement  |  |                           |  | 26,321.00                |                        |                       |                  |                           |
|                                       | (3) Transfer from Texas Higher Education Coordinating Board - Texas Child Mental Health Care Consortium                    |  |                           |  | 2,818,150.00             |                        |                       |                  |                           |
|                                       |  |  |                           |  | <u>(15,905,179.00)</u>   |                        |                       |                  |                           |

The University of Texas Health Science Center at Houston  
Schedule B-1 E&G Funds - Summary of Operations  
For the Year Ended August 31, 2020

|  | Total                          | Student Activities             | Hospitals                    |
|--|--------------------------------|--------------------------------|------------------------------|
| <b>Operating Revenues:</b>   |                                |                                |                              |
| Gross Student Tuition  | \$ 25,102,496.80               | 25,102,496.80                  | -                            |
| Other Fees   | 168,436.61                     | 168,436.61                     | -                            |
| Discounts & Allowances Tuition and Fees                                | <u>(725,691.65)</u>            | <u>(725,691.65)</u>            | -                            |
| Net Tuition and Fees   | 24,545,241.76                  | 24,545,241.76                  | -                            |
| Gross Sales and Services of Hospitals                                  | 113,129,279.47                 | -                              | 113,129,279.47               |
| Discounts and Allowances Sales and Services of Hospitals               | <u>(59,880,293.39)</u>         | -                              | <u>(59,880,293.39)</u>       |
| Net Sales and Services of Hospitals                                    | 53,248,986.08                  | -                              | 53,248,986.08                |
| State Sponsored Programs Pass-Through from State Agencies              | 7,885,795.54                   | 7,885,795.54                   | -                            |
| Sales and Services of Educational Activities                           | 5,233,256.53                   | 5,233,256.53                   | -                            |
| Other Operating Revenues   | <u>1,875,852.87</u>            | <u>1,821,283.12</u>            | <u>54,569.75</u>             |
| <b>Total Operating Revenues</b>  | <b><u>92,789,132.78</u></b>    | <b><u>39,485,576.95</u></b>    | <b><u>53,303,555.83</u></b>  |
| <b>Operating Expenses:</b>   |                                |                                |                              |
| Salaries and Wages   | 215,713,592.39                 | 173,827,787.19                 | 41,885,805.20                |
| Payroll Related Costs  | 61,845,954.28                  | 49,762,254.81                  | 12,083,699.47                |
| Membership Dues  | 2,322.00                       | 2,322.00                       | -                            |
| Registration Fees, Meetings, Conferences                               | 4,975.00                       | 4,975.00                       | -                            |
| Professional Fees and Services   | 2,185,098.80                   | 862,663.45                     | 1,322,435.35                 |
| Other Contracted Services  | 5,955,597.49                   | 5,014,542.58                   | 941,054.91                   |
| Fees and Other Charges   | 336,891.62                     | 277,460.67                     | 59,430.95                    |
| Travel   | 49,917.77                      | 48,930.07                      | 987.70                       |
| Materials and Supplies   | 5,037,786.11                   | 2,108,107.38                   | 2,929,678.73                 |
| Utilities  | 11,380,733.62                  | 11,024,983.53                  | 355,750.09                   |
| Communications   | 550,473.29                     | 287,252.51                     | 263,220.78                   |
| Repairs and Maintenance  | 9,917,867.23                   | 9,255,812.51                   | 662,054.72                   |
| Rentals and Leases   | 673,945.75                     | 514,127.42                     | 159,818.33                   |
| Printing and Reproduction  | 118,781.99                     | 80,229.48                      | 38,552.51                    |
| Insurance Costs/Premiums   | 145,417.56                     | 82,866.07                      | 62,551.49                    |
| Other Operating Expenses   | <u>647,534.28</u>              | <u>518,117.92</u>              | <u>129,416.36</u>            |
| <b>Total Operating Expenses</b>  | <b><u>314,566,889.18</u></b>   | <b><u>253,672,432.59</u></b>   | <b><u>60,894,456.59</u></b>  |
| <b>Operating Income (Loss)</b>   | <b><u>(221,777,756.40)</u></b> | <b><u>(214,186,855.64)</u></b> | <b><u>(7,590,900.76)</u></b> |
| <b>Nonoperating Revenues (Expenses):</b>                               |                                |                                |                              |
| State Appropriations   | 234,938,593.98                 |                                |                              |
| Federal Nonexchange Sponsored Programs                                 | 491,722.85                     |                                |                              |
| Investment Income  | <u>2,935,717.38</u>            |                                |                              |
| <b>Net Nonoperating Revenues (Expenses)</b>                            | <b><u>238,366,034.21</u></b>   |                                |                              |
| <b>Income (Loss) Before Other Revenues, Expenses, Gains or Losses:</b> | <b><u>16,588,277.81</u></b>    |                                |                              |
| Capital Asset Purchases  | <u>(267,515.53)</u>            |                                |                              |
| Transfers In   | 3,570,162.65                   |                                |                              |
| Transfers Out  | <u>(21,634,090.52)</u>         |                                |                              |
| <b>Change in E&amp;G Funds Net Position</b>                            | <b><u>(1,743,165.59)</u></b>   |                                |                              |
| Net Position - September 1, 2019                                       | <u>82,627,535.84</u>           |                                |                              |
| <b>Net Position - August 31, 2020 (See NOTE)</b>                       | <b><u>\$ 80,884,370.25</u></b> |                                |                              |

NOTE: Ending Net Position August 31, 2020 was composed of the following:

|   |                                |
|---|--------------------------------|
| <b>Unrestricted:</b>                                |                                |
| <b>Reserved</b>                                     |                                |
| Encumbrances  | \$ 4,529,251.47                |
| Accounts Receivable (less related unearned revenue) | 1,826,651.09                   |
| Inventories   | 133,948.21                     |
| <b>Other Specific Purposes:</b>                     |                                |
| Deposits  | 2,052.01                       |
| Prepaid Expenses                                    | 1,175,487.45                   |
| Imprest Funds                                       | 182,018.75                     |
| <b>Unreserved</b>                                   |                                |
| <b>Allocated</b>                                    |                                |
| Capital Projects                                    | 438,910.00                     |
| Debt Service  | 18,749,350.00                  |
| Unallocated   | <u>53,846,701.27</u>           |
| <b>Total Unrestricted Net Position</b>              | <b><u>\$ 80,884,370.25</u></b> |

The University of Texas Health Science Center at Houston  
 Schedule B-2 Designated Funds - Summary of Operations  
 For the Year Ended August 31, 2020

|  | Patient Care Related Activities |                          |                         |                       | Post Elimination of<br>Blended NPHC |                       |
|--|---------------------------------|--------------------------|-------------------------|-----------------------|-------------------------------------|-----------------------|
|  | Total                           | Instruction<br>and Other | Net Service Departments | Hospitals and Clinics |                                     | Physician Plan        |
| <b>Operating Revenues:</b>   |                                 |                          |                         |                       |                                     |                       |
| Gross Designated Tuition   | \$ 25,358,773.82                | 25,358,773.82            |                         |                       |                                     |                       |
| Other Fees   | 10,891,694.97                   | 10,891,661.97            | 33.00                   |                       |                                     |                       |
| Discounts & Allowances Designated Tuition and Fees                         | (1,033,252.82)                  | (1,033,252.82)           |                         |                       |                                     |                       |
| Net Designated Tuition and Fees  | 35,217,215.97                   | 35,217,182.97            | 33.00                   |                       |                                     |                       |
| Gross Sales and Services of Hospitals/Professional Fees                    | 2,018,975,116.50                | 3,300.00                 |                         | 29,954,602.93         | 1,982,081,841.49                    | 6,935,372.08          |
| Discounts and Allowances Sales and Services of Hospitals/Professional Fees | (1,583,410,664.65)              |                          |                         |                       | (1,579,271,987.10)                  | (4,138,677.55)        |
| Net Sales and Services of Hospitals/Professional Fees                      | 435,564,451.85                  | 3,300.00                 |                         | 29,954,602.93         | 402,809,854.39                      | 2,796,694.53          |
| Federal Sponsored Programs   | 38,450,454.59                   |                          |                         |                       |                                     |                       |
| Federal Sponsored Programs Pass-Through from State Agencies                | 2,463,866.30                    |                          |                         |                       |                                     |                       |
| State Sponsored Programs Pass-Through from State Agencies                  | 534,469.74                      |                          |                         |                       |                                     |                       |
| Local Sponsored Programs   | 500,745,145.32                  |                          |                         |                       |                                     |                       |
| Private Sponsored Programs   | 79,754,115.80                   |                          |                         |                       |                                     |                       |
| Sales and Services of Educational Activities                               | 14,131,004.76                   |                          |                         |                       |                                     |                       |
| Other Operating Revenues   | 92,028,028.01                   | 13,625,380.84            | 3,741,222.94            | 62,596.91             | 71,356,417.72                       | 7,510.28              |
| <b>Total Operating Revenues</b>  | <b>1,198,888,752.34</b>         | <b>115,403,074.89</b>    | <b>4,399,564.18</b>     | <b>30,532,264.58</b>  | <b>962,911,382.74</b>               | <b>9,406,555.58</b>   |
| <b>Operating Expenses:</b>   |                                 |                          |                         |                       |                                     |                       |
| Salaries and Wages   | 765,811,812.29                  | 48,505,024.18            | 1,297,036.70            | 2,140,094.30          | 705,867,310.72                      | 8,002,346.39          |
| Payroll Related Costs  | 137,713,882.93                  | 11,387,717.61            | 362,867.62              | 564,224.70            | 123,692,901.47                      | 1,706,171.53          |
| Membership Dues  | 2,052,344.68                    | 584,746.38               | 555.30                  | 13,045.00             | 1,221,538.51                        | 48,842.89             |
| Registration Fees, Meetings, Conferences                                   | 1,619,186.68                    | 610,847.31               | 51,873.33               | 2,725.00              | 839,656.19                          | 28,078.85             |
| Cost of Goods Sold   | 24,947,565.17                   | 91,558.04                | 118,797.10              | 24,737,210.03         |                                     |                       |
| Professional Fees and Services   | 51,948,446.78                   | 12,817,322.74            | 255,470.89              | 374,079.19            | 22,284,709.12                       | 110,616.68            |
| Other Contracted Services  | 28,821,889.85                   | 4,631,702.99             | 141,227.71              | 250.00                | 2,379,277.17                        | 39,113.62             |
| Fees and Other Charges   | 8,129,513.09                    | 2,550,642.98             | 94,290.42               | 14,098.90             | 3,906,758.06                        | 66,109.05             |
| Travel   | 5,053,908.57                    | 1,569,049.15             | 14,046.42               | 18,672.92             | 2,657,122.81                        | 99,562.21             |
| Materials and Supplies   | 48,860,484.64                   | 12,293,628.75            | 273,725.54              | 74,879.58             | 7,816,335.82                        | 348,481.55            |
| Utilities  | 921,282.64                      | 53,175.19                | 109,667.56              |                       | 394,292.59                          | 364,147.30            |
| Communications   | 3,732,111.37                    | 1,170,743.65             | 666,725.02              | 22,527.75             | 1,415,760.74                        | 78,539.19             |
| Repairs and Maintenance  | 10,086,765.11                   | 2,825,896.85             | 28,225.06               | 681.90                | 1,869,412.65                        | 2,947.15              |
| Rentals and Leases   | 38,239,665.58                   | 4,993,097.79             | 1,074,312.12            | 379,740.27            | 5,732,145.97                        | 250,838.62            |
| Printing and Reproduction  | 3,399,063.92                    | 955,926.45               | 9,208.41                | 18,207.01             | 443,139.66                          | 16,776.22             |
| Royalty Payments   | 2,042,887.20                    | 2,042,887.20             |                         |                       |                                     |                       |
| Insurance Costs/Premiums   | 5,520,078.80                    | 2,570,202.01             | 420,373.54              |                       | 1,685,036.60                        | 19,819.54             |
| Scholarships and Fellowships   | 3,971,017.00                    | 3,960,017.00             |                         |                       | 11,000.00                           |                       |
| Other Operating Expenses   | 8,366,162.33                    | 2,507,761.28             | 368,240.15              | 53,072.36             | 1,725,634.58                        | 86,421.87             |
| <b>Total Operating Expenses</b>  | <b>1,151,238,068.63</b>         | <b>116,121,947.55</b>    | <b>5,286,642.89</b>     | <b>28,413,508.91</b>  | <b>883,942,032.66</b>               | <b>10,904,665.36</b>  |
| <b>Operating Income (Loss)</b>   | <b>47,650,683.71</b>            | <b>(7,18,872.66)</b>     | <b>(887,078.71)</b>     | <b>2,118,755.67</b>   | <b>78,969,350.08</b>                | <b>(1,498,109.78)</b> |
| Nonoperating Revenues (Expenses):  |                                 |                          |                         |                       |                                     |                       |
| Federal Nonexchange Sponsored Programs                                     | 5,478,522.99                    |                          |                         |                       |                                     |                       |
| Investment Income  | 44,975,089.72                   |                          |                         |                       |                                     |                       |
| Net Increase (Decrease) in Fair Value of Investments                       | 13,306,706.85                   |                          |                         |                       |                                     |                       |
| Other Nonoperating Revenues  | 2,100,401.71                    |                          |                         |                       |                                     |                       |
| Net Nonoperating Revenues (Expenses)                                       | <u>65,860,721.27</u>            |                          |                         |                       |                                     |                       |
| Income (Loss) Before Other Revenues, Expenses, Gains or Losses:            | 113,511,404.98                  |                          |                         |                       |                                     |                       |
| Reclass from/(to) Other Institutions                                       | (10,658,907.43)                 |                          |                         |                       |                                     |                       |
| Capital Asset Purchases  | 662,918.41                      |                          |                         |                       |                                     |                       |
| Other Transactions Between Funds   | 10,860,049.42                   |                          |                         |                       |                                     |                       |
| Transfers In   | (76,502,575.17)                 |                          |                         |                       |                                     |                       |
| Transfers Out  | 37,872,890.21                   |                          |                         |                       |                                     |                       |
| Change in Designated Funds Net Position                                    | 350,052,296.35                  |                          |                         |                       |                                     |                       |
| Net Position - September 1, 2019   | 387,925,186.56                  |                          |                         |                       |                                     |                       |
| Net Position - August 31, 2020 (See NOTE)                                  |                                 |                          |                         |                       |                                     |                       |



The University of Texas Health Science Center at Houston  
 Schedule B-2 Designated Funds - Summary of Operations  
 For the Year Ended August 31, 2020

NOTE: Ending Net Position August 31, 2020 was composed of the following:

|   |                          |
|---|--------------------------|
| Restricted:   |                          |
| Expendable  |                          |
| Other Expendable                                    | \$ 1,196,505.17          |
| Unrestricted:                                       |                          |
| Reserved  |                          |
| Encumbrances  | 26,569,729.03            |
| Accounts Receivable (less related unearned revenue) | 158,493,575.97           |
| Inventories   | 604,451.56               |
| Other Specific Purposes:                            |                          |
| Prepaid Expenses                                    | 2,997,356.51             |
| Imprest Funds                                       | 22,636.48                |
| Unreserved  |                          |
| Allocated   |                          |
| Capital Projects                                    | 10,635,757.00            |
| Debt Service  | 5,666,596.00             |
| Practice Plan Minimum Operating Reserve of 90 days  | (112,831,904.62)         |
| Unallocated   | 294,570,483.46           |
| Total Unrestricted Net Position                     | <u>386,728,681.39</u>    |
| Total Net Position                                  | <u>\$ 387,925,186.56</u> |

The University of Texas Health Science Center at Houston  
Schedule B-3 Auxiliary Enterprise Funds - Summary of Operations  
For the Year Ended August 31, 2020

|  | Total            | Housing and Food Service | Bookstore  | Parking and Traffic | Student Health Center | Student Service Fees | Student Activities | Other        |
|--|------------------|--------------------------|------------|---------------------|-----------------------|----------------------|--------------------|--------------|
| Operating Revenues:  |                  |                          |            |                     |                       |                      |                    |              |
| Student Fees   | \$ 2,091,661.99  |                          |            | 297,750.39          | 883,437.54            | 147,880.88           | 762,483.18         |              |
| Discounts & Allowances Student Fees                            | (59,364.73)      |                          |            | (8,450.63)          | (25,073.38)           | (4,199.94)           | (21,640.78)        |              |
| Net Student Fees   | 2,032,297.26     |                          |            | 289,299.76          | 858,364.16            | 143,780.94           | 740,852.40         |              |
| Sales and Services   | 22,845,226.98    | 9,828,317.08             | 262,728.04 | 6,794,976.37        | 162,783.61            | 45,139.30            | 319,298.90         | 5,431,983.68 |
| Other Operating Revenues                                       | 2,248,273.55     |                          |            |                     |                       |                      |                    | 2,248,273.55 |
| Net Auxiliary Enterprises                                      | 25,093,500.53    | 9,828,317.08             | 262,728.04 | 6,794,976.37        | 162,783.61            | 45,139.30            | 319,298.90         | 7,680,257.23 |
| Total Operating Revenues                                       | 27,125,797.79    | 9,828,317.08             | 262,728.04 | 7,084,276.13        | 1,021,147.77          | 188,920.24           | 1,060,151.30       | 7,680,257.23 |
| Operating Expenses:  |                  |                          |            |                     |                       |                      |                    |              |
| Salaries and Wages   | 3,681,192.27     | 707,631.29               | 13,208.85  | 626,244.47          |                       | 70,779.26            | 324,762.27         | 1,938,566.13 |
| Payroll Related Costs  | 1,155,313.72     | 242,253.17               | 3,476.41   | 216,479.26          |                       | 30,294.00            | 103,819.96         | 558,990.92   |
| Membership Dues  | 598.00           |                          |            |                     |                       |                      | 83.00              | 515.00       |
| Registration Fees, Meetings, Conferences                       | 1,028.31         |                          |            |                     |                       |                      | 57.51              | 970.80       |
| Professional Fees and Services                                 | 1,586,441.44     | 30,503.00                |            | 6,459.01            | 682,525.21            | 78,010.00            | 78,207.71          | 710,736.51   |
| Other Contracted Services                                      | 2,826,257.59     | 766,235.79               |            | 920,850.91          | 50,630.00             |                      | 27,782.33          | 1,060,658.56 |
| Fees and Other Charges   | 396,667.95       | 17,654.07                |            | 84,395.25           |                       |                      | 18,939.90          | 275,678.73   |
| Travel   | 55,266.62        | 20.00                    | 60.00      | 41,339.13           | 582.56                | 1,800.00             | 894.90             | 10,570.03    |
| Materials and Supplies   | 705,680.57       | 286,735.47               | 74.00      | 122,480.41          |                       | 8,602.92             | 110,323.26         | 243,852.12   |
| Utilities  | 2,181,570.57     | 897,011.34               |            |                     |                       |                      | 130,950.46         | 1,031,128.36 |
| Communications   | 120,323.39       | 21,017.31                | 3,930.00   | 38,650.74           |                       | 1,933.64             | 5,686.30           | 49,105.40    |
| Repairs and Maintenance  | 1,909,985.22     | 367,352.53               |            | 847,935.22          |                       | 118.75               | 143,183.51         | 551,395.21   |
| Rentals and Leases   | 1,215,683.59     | 6,722.96                 |            | 457,609.38          |                       | 20,727.98            | 730,623.27         |              |
| Printing and Reproduction                                      | 834,014.75       | 10,816.40                |            | 5,281.09            |                       | 1,728.00             | 4,984.55           | 811,204.71   |
| Insurance Costs/Premiums                                       | 11,000.50        | 2,154.00                 |            | 1,077.00            |                       |                      | 109.00             | 7,660.50     |
| Other Operating Expenses                                       | 318,879.52       | 21,497.17                |            | 98,161.09           | 77,403.34             | 14,365.51            | 70,359.67          | 37,092.74    |
| Total Operating Expenses                                       | 19,999,904.01    | 3,377,604.50             | 20,749.26  | 3,523,055.76        | 811,141.11            | 228,360.06           | 1,020,144.33       | 8,018,848.99 |
| Operating Income (Loss)  | 10,125,893.78    | 6,450,712.58             | 241,978.78 | 3,561,220.37        | 210,006.66            | (39,439.82)          | 40,006.97          | (338,591.76) |
| Nonoperating Revenues (Expenses):                              |                  |                          |            |                     |                       |                      |                    |              |
| Investment Income  | 1,019,309.51     |                          |            |                     |                       |                      |                    |              |
| Net Nonoperating Revenues (Expenses)                           | 1,019,309.51     |                          |            |                     |                       |                      |                    |              |
| Income (Loss) Before Other Revenues, Expenses, Gains or Losses | 11,145,203.29    |                          |            |                     |                       |                      |                    |              |
| Capital Asset Purchases  | (7,568.65)       |                          |            |                     |                       |                      |                    |              |
| Transfers In   | 5,912,224.02     |                          |            |                     |                       |                      |                    |              |
| Transfers Out  | (6,467,806.35)   |                          |            |                     |                       |                      |                    |              |
| Change in Auxiliary Funds Net Position                         | 10,582,052.31    |                          |            |                     |                       |                      |                    |              |
| Net Position - September 1, 2019                               | 38,570,913.19    |                          |            |                     |                       |                      |                    |              |
| Net Position - August 31, 2020 (See NOTE)                      | \$ 49,152,965.50 |                          |            |                     |                       |                      |                    |              |

NOTE: Ending Net Position August 31, 2020 was composed of the following:

|   |                  |
|---|------------------|
| Unrestricted:                                       |                  |
| Reserved  |                  |
| Encumbrances  | \$ 2,816,856.96  |
| Accounts Receivable (less related unearned revenue) | 57,014.43        |
| Other Specific Purposes:                            |                  |
| Deposits  | 312,716.40       |
| Prepaid Expenses                                    | 18,960.18        |
| Unreserved  |                  |
| Allocated   |                  |
| Capital Projects                                    | 838,636.00       |
| Debt Service  | 2,327,798.00     |
| Self Supporting Enterprises                         | 42,780,983.53    |
| Total Unrestricted Net Position                     | \$ 49,152,965.50 |

The University of Texas Health Science Center at Houston  
Schedule B-4 Restricted Expendable Funds - Summary of Operations  
For the Year Ended August 31, 2020

|   | Total                  | Federal               | Federal Indirect Cost Recoveries | State                | State Indirect Cost Recoveries | Local                | Local Indirect Cost Recoveries | Private Sector         | Private Indirect Cost Recoveries |
|---|------------------------|-----------------------|----------------------------------|----------------------|--------------------------------|----------------------|--------------------------------|------------------------|----------------------------------|
| <b>Operating Revenues:</b>  |                        |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Sponsored Program Revenues  | \$ 194,346,263.89      | 137,802,873.80        | (38,450,454.59)                  |                      |                                | 20,106,925.53        | (591,908.52)                   | 82,231,932.42          | (6,753,104.75)                   |
| Sponsored Program Pass-Through From State Agencies                    | 39,369,396.19          | 25,946,842.91         | (2,463,866.30)                   | 16,420,889.32        | (534,469.74)                   |                      |                                |                        |                                  |
| Net Sales and Services of Educational Activities                      | 19,227,291.58          |                       |                                  | 20,330,647.07        | (1,121,543.49)                 |                      |                                | 18,188.00              |                                  |
| Other Operating Revenues  | 777,948.54             |                       |                                  |                      |                                |                      |                                | 777,948.54             |                                  |
| Sponsored Program Adjustments (Contra Revenue)                        | (568,794.49)           | (376,796.89)          |                                  |                      |                                |                      |                                | (191,997.60)           |                                  |
| <b>Total Operating Revenues</b>                                       | <b>253,152,105.71</b>  | <b>163,372,919.82</b> | <b>(40,914,320.89)</b>           | <b>36,751,536.39</b> | <b>(1,656,013.23)</b>          | <b>20,106,925.53</b> | <b>(591,908.52)</b>            | <b>82,836,071.36</b>   | <b>(6,753,104.75)</b>            |
| <b>Operating Expenses:</b>  |                        |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Salaries and Wages  | 166,158,914.65         | 61,191,106.37         |                                  | 19,502,995.89        |                                | 15,565,934.93        |                                | 69,898,877.46          |                                  |
| Payroll Related Costs   | 40,986,152.49          | 16,429,041.33         |                                  | 5,017,230.91         |                                | 3,208,793.65         |                                | 16,331,086.60          |                                  |
| Membership Dues   | 214,042.14             | 17,813.00             |                                  | 3,497.00             |                                |                      |                                | 192,732.14             |                                  |
| Registration Fees, Meetings, Conferences                              | 731,795.61             | 119,464.26            |                                  | 14,632.77            |                                |                      |                                | 597,698.58             |                                  |
| Cost of Goods Sold  | 22.21                  | 22.21                 |                                  |                      |                                |                      |                                |                        |                                  |
| Professional Fees and Services  | 5,554,545.98           | 1,815,706.97          |                                  | 694,732.58           |                                | 31,343.75            |                                | 3,012,762.68           |                                  |
| Other Contracted Services   | 28,396,728.88          | 21,294,841.56         |                                  | 3,106,339.59         |                                | 18,393.24            |                                | 3,977,154.49           |                                  |
| Fees and Other Charges  | 2,519,900.23           | 722,982.91            |                                  | 208,755.34           |                                |                      |                                | 1,588,161.98           |                                  |
| Travel  | 2,030,492.80           | 701,436.55            |                                  | 92,823.87            |                                | 3,198.91             |                                | 1,233,033.47           |                                  |
| Materials and Supplies  | 17,716,126.71          | 11,325,767.75         |                                  | 1,270,816.20         |                                | 400.00               |                                | 5,119,142.76           |                                  |
| Utilities   | 31,646.96              | 31,646.96             |                                  |                      |                                |                      |                                |                        |                                  |
| Communications  | 433,892.50             | 260,690.25            |                                  | 55,172.45            |                                |                      |                                | 118,029.80             |                                  |
| Repairs and Maintenance   | 604,875.57             | 185,984.79            |                                  | 194,933.43           |                                |                      |                                | 223,957.35             |                                  |
| Rentals and Leases  | 1,335,540.74           | 748,980.45            |                                  | 22,988.83            |                                |                      |                                | 563,571.46             |                                  |
| Printing and Reproduction   | 854,048.78             | 486,271.23            |                                  | 76,438.42            |                                | 2,490.00             |                                | 288,849.13             |                                  |
| Insurance Costs/Premiums  | 62,061.07              | 30,790.15             |                                  | 1,419.68             |                                |                      |                                | 29,851.24              |                                  |
| Scholarships and Fellowships  | 3,887,257.36           | 2,274,625.00          |                                  | 1,007,532.84         |                                |                      |                                | 605,099.52             |                                  |
| Federal Sponsored Passthroughs to State Agencies                      | 2,726,089.65           | 2,726,089.65          |                                  |                      |                                |                      |                                |                        |                                  |
| State Sponsored Passthroughs to State Agencies                        | 93,565.29              |                       |                                  | 93,565.29            |                                |                      |                                |                        |                                  |
| Other Operating Expenses  | 5,022,630.64           | 2,298,074.43          |                                  | 1,067,359.77         |                                | 1,684.99             |                                | 1,655,511.45           |                                  |
| <b>Total Operating Expenses</b>                                       | <b>279,360,330.26</b>  | <b>122,661,335.82</b> |                                  | <b>32,431,234.86</b> |                                | <b>18,832,239.47</b> |                                | <b>105,435,520.11</b>  |                                  |
| <b>Operating Income (Loss)</b>  | <b>(26,208,224.55)</b> | <b>40,711,584.00</b>  | <b>(40,914,320.89)</b>           | <b>4,320,301.53</b>  | <b>(1,656,013.23)</b>          | <b>1,274,686.06</b>  | <b>(591,908.52)</b>            | <b>(22,599,448.75)</b> | <b>(6,753,104.75)</b>            |
| <b>Nonoperating Revenues (Expenses):</b>                              |                        |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Federal Nonexchange Sponsored Programs                                | \$ 3,091,866.00        |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Gift Contributions for Operations                                     | 18,153,127.77          |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Investment Income   | 21,575,781.69          |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Net Increase (Decrease) in Fair Value of Investments                  | 171.91                 |                       |                                  |                      |                                |                      |                                |                        |                                  |
| <b>Net Nonoperating Revenues (Expenses)</b>                           | <b>42,820,947.37</b>   |                       |                                  |                      |                                |                      |                                |                        |                                  |
| <b>Income (Loss) Before Other Revenues, Expenses, Gains or Losses</b> | <b>16,612,722.82</b>   |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Capital Asset Purchases   | (5,159,555.46)         |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Transfers In  | 15,579,499.94          |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Transfers Out   | (10,413,302.88)        |                       |                                  |                      |                                |                      |                                |                        |                                  |
| <b>Change in Restricted Expendable Net Position</b>                   | <b>16,619,364.42</b>   |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Net Position - September 1, 2019                                      | 99,716,882.08          |                       |                                  |                      |                                |                      |                                |                        |                                  |
| Net Position - August 31, 2020  | \$ 116,336,246.50      |                       |                                  |                      |                                |                      |                                |                        |                                  |

NOTE: Indirect Cost Recoveries made up as follows:

|                                       |                      |
|---------------------------------------|----------------------|
| Instruction                           | 295,565.88           |
| Research                              | 45,744,924.61        |
| Public Service                        | 1,859,544.79         |
| Hospitals / Clinics                   | 2,002,313.04         |
| Scholarships and Fellowships          | 12,999.07            |
| <b>Total Indirect Cost Recoveries</b> | <b>49,915,347.39</b> |

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2020

|   | Net Position<br>September 1, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase<br>(Decrease) in Fair<br>Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other<br>Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|---|-----------------------------------|---------------------------------|----------------------|---|---|---------------------------------------|---------------------------------|
| TOTAL FUNDS HELD BY SYSTEM ADMINISTRATION     | 515,353,536.76                    | 17,259,895.80                   |                      | 23,007,850.24   | 37,945.42   | 25,329,122.29                         | 580,988,350.51                  |
| <b>TOTAL ENDOWMENT FUNDS OTHER THAN STATE</b> | <b>515,353,536.76</b>             | <b>17,259,895.80</b>            |                      | <b>23,007,850.24</b>  | <b>37,945.42</b>                                    | <b>25,329,122.29</b>                  | <b>580,988,350.51</b>           |

Analysis of Net Other Additions and Deductions:

|                             |               |
|-----------------------------|---------------|
| Transfers Between Funds     |               |
| Designated Funds            | 25,018,885.56 |
| Restricted Funds            | 310,236.73    |
| Net Transfers Between Funds | 25,329,122.29 |
| Total as Shown Above        | 25,329,122.29 |

The University of Texas Health Science Center at Houston  
 Schedule B-6a. Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2020

|                             | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|-----------------------------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
|                             |                                    |                                 |                      |  |   |                                    |                                 |
| <b>True Endowment Funds</b> |                                    |                                 |                      |  |   |                                    |                                 |
| 57600002                    |                                    |                                 | 0.00                 | 57,122.27  | 0.00  | 0.00                               | 1,509,867.92                    |
| 57600003                    | 1,452,745.65                       |                                 | 0.00                 | 15,497.03  | 0.00  | 0.00                               | 409,620.87                      |
| 57600004                    | 394,123.84                         |                                 | 0.00                 | 24,730.51  | 0.00  | 0.00                               | 653,682.01                      |
| 57600005                    | 628,951.50                         |                                 | 0.00                 | 37,756.84  | 0.00  | 0.00                               | 997,996.98                      |
| 57600006                    | 960,240.14                         |                                 | 0.00                 | 6,772.82   | 0.00  | 0.00                               | 179,020.64                      |
| 57600007                    | 172,247.82                         |                                 | 0.00                 | 60,860.90  | 0.00  | 0.00                               | 1,608,688.27                    |
| 57600008                    | 1,547,827.37                       |                                 | 0.00                 | 1,208.95   | 0.00  | 0.00                               | 31,955.01                       |
| 57600009                    | 30,746.06                          |                                 | 0.00                 | 1,394.55   | 0.00  | 0.00                               | 36,861.17                       |
| 57600010                    | 35,466.62                          |                                 | 0.00                 | 115,680.62   | 0.00  | 0.00                               | 3,057,694.97                    |
| 57600011                    | 2,942,014.35                       |                                 | 0.00                 | 7,469.02   | 0.00  | 0.00                               | 197,422.57                      |
| 57600013                    | 189,953.55                         |                                 | 0.00                 | 2,958.85   | 0.00  | 0.00                               | 78,208.97                       |
| 57600020                    | 75,250.12                          |                                 | 0.00                 | 1,886.37   | 0.00  | 0.00                               | 49,861.00                       |
| 57600022                    | 47,974.63                          |                                 | 0.00                 | 1,172.62   | 0.00  | 0.00                               | 30,994.77                       |
| 57600023                    | 29,822.15                          |                                 | 0.00                 | 23,535.29  | 0.00  | 0.00                               | 622,089.96                      |
| 57600026                    | 598,554.67                         |                                 | 0.00                 | 23,633.46  | 0.00  | 0.00                               | 624,684.76                      |
| 57600029                    | 601,051.30                         |                                 | 0.00                 | 58,963.62  | 0.00  | 0.00                               | 1,588,539.02                    |
| 57600033                    | 1,499,575.40                       |                                 | 0.00                 | 66,518.02  | 0.00  | 0.00                               | 1,758,218.54                    |
| 57600034                    | 1,691,700.52                       |                                 | 0.00                 | 14,749.79  | 0.00  | 0.00                               | 389,869.66                      |
| 57600035                    | 375,119.87                         |                                 | 0.00                 | 1,139.03   | 0.00  | 0.00                               | 30,107.13                       |
| 57600037                    | 28,968.10                          |                                 | 0.00                 | 8,403.19   | 0.00  | 0.00                               | 222,114.80                      |
| 57600040                    | 213,711.61                         |                                 | 0.00                 | 1,825.32   | 0.00  | 0.00                               | 48,247.13                       |
| 57600041                    | 46,421.81                          |                                 | 0.00                 | 1,722.43   | 0.00  | 0.00                               | 45,527.72                       |
| 57600043                    | 43,805.29                          |                                 | 0.00                 | 6,214.17   | 0.00  | 0.00                               | 164,254.48                      |
| 57600044                    | 158,040.31                         |                                 | 0.00                 | 27,483.62  | 0.00  | 0.00                               | 726,452.81                      |
| 57600045                    | 698,969.19                         |                                 | 0.00                 | 16,342.67  | 0.00  | 0.00                               | 431,973.07                      |
| 57600046                    | 415,630.40                         |                                 | 0.00                 | 69,021.58  | 0.00  | 0.00                               | 1,824,393.23                    |
| 57600047                    | 1,755,371.65                       |                                 | 0.00                 | 10,164.11  | 0.00  | 0.00                               | 269,086.30                      |
| 57600048                    | 255,922.19                         | 3,000.00                        | 0.00                 | 27,608.12  | 0.00  | 0.00                               | 729,743.74                      |
| 57600049                    | 702,135.62                         |                                 | 0.00                 | 10,680.50  | 0.00  | 0.00                               | 282,309.26                      |
| 57600050                    | 271,628.76                         |                                 | 0.00                 | 15,674.25  | 0.00  | 17,966.20                          | 416,325.26                      |
| 57600051                    | 382,684.81                         |                                 | 0.00                 | 4,924.02   | 0.00  | 0.00                               | 130,152.80                      |
| 57600053                    | 125,228.78                         |                                 | 0.00                 | 18,793.70  | 0.00  | 0.00                               | 496,758.97                      |
| 57600055                    | 471,965.27                         |                                 | 0.00                 | 20,354.57  | 0.00  | 0.00                               | 538,016.44                      |
| 57600059                    | 517,661.87                         |                                 | 0.00                 | 118,747.55   | 0.00  | 0.00                               | 3,138,760.59                    |
| 57600061                    | 3,020,130.04                       |                                 | 0.00                 | 19,042.80  | 0.00  | 0.00                               | 503,343.32                      |
| 57600062                    | 484,300.52                         |                                 | 0.00                 | 5,871.27   | 0.00  | 0.00                               | 156,686.71                      |
| 57600063                    | 140,315.44                         | 10,500.00                       | 0.00                 | 37,559.34  | 0.00  | 0.00                               | 992,776.56                      |
| 57600064                    | 955,217.22                         |                                 | 0.00                 | 2,174.45   | 0.00  | 0.00                               | 57,475.46                       |
| 57600065                    | 55,301.01                          |                                 | 0.00                 | 10,643.97  | 0.00  | 0.00                               | 281,486.63                      |
| 57600067                    | 289,842.66                         | 1,000.00                        | 0.00                 | 16,923.66  | 0.00  | 0.00                               | 447,329.83                      |
| 57600067                    | 430,406.17                         |                                 | 0.00                 | 2,294.54   | 0.00  | 0.00                               | 60,649.83                       |
| 57600068                    | 58,355.29                          |                                 | 0.00                 | 82,647.63  | 0.00  | 0.00                               | 60,649.83                       |
| 57600069                    | 2,017,824.84                       |                                 | 0.00                 | 21,831.90  | 0.00  | 94,738.55                          | 2,195,211.02                    |
| 57600071                    | 547,515.41                         | 8,300.00                        | 0.00                 | 139,290.37   | 0.00  | 0.00                               | 577,647.31                      |
| 57600073                    | 3,542,462.54                       |                                 | 0.00                 | 17,331.23  | 0.00  | 0.00                               | 3,681,752.91                    |
| 57600076                    | 440,771.50                         |                                 | 0.00                 | 4,318.18   | 0.00  | 0.00                               | 458,102.73                      |
| 57600077                    | 109,820.86                         |                                 | 0.00                 | 4,900.71   | 0.00  | 0.00                               | 114,139.04                      |
| 57600079                    | 124,635.90                         |                                 | 0.00                 | 2,358.35   | 0.00  | 0.00                               | 129,536.61                      |
| 57600080                    | 59,977.96                          |                                 | 0.00                 | 4,140.28   | 0.00  | 0.00                               | 62,336.31                       |
| 57600081                    | 104,866.89                         |                                 | 0.00                 | 2,203.84   | 0.00  | 0.00                               | 109,491.92                      |
| 57600082                    | 56,048.49                          |                                 | 0.00                 | 16,596.03  | 0.00  | 0.00                               | 58,252.33                       |
| 57600083                    | 422,073.69                         |                                 | 0.00                 |  | 0.00  | 0.00                               | 438,669.72                      |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600084 | 77,787.59                          | 0.00                            | 0.00                 | 3,071.21   | 0.00  | 359.57                             | 81,218.37                       |
| 57600085 | 75,309.85                          | 0.00                            | 0.00                 | 2,973.28   | 0.00  | 348.12                             | 78,631.25                       |
| 57600086 | 1,417,026.93                       | 0.00                            | 0.00                 | 55,717.80  | 0.00  | 0.00                               | 1,472,744.73                    |
| 57600087 | 413,198.67                         | 0.00                            | 0.00                 | 16,247.06  | 0.00  | 0.00                               | 429,445.73                      |
| 57600088 | 124,505.78                         | 0.00                            | 0.00                 | 4,895.59   | 0.00  | 0.00                               | 129,401.37                      |
| 57600089 | 228,245.02                         | 0.00                            | 0.00                 | 9,029.95   | 0.00  | 1,583.97                           | 238,858.94                      |
| 57600090 | 24,884.19                          | 0.00                            | 0.00                 | 978.46   | 0.00  | 0.00                               | 25,862.65                       |
| 57600091 | 2,489,725.36                       | 0.00                            | 0.00                 | 97,896.53  | 0.00  | 0.00                               | 2,587,621.89                    |
| 57600093 | 49,822.84                          | 0.00                            | 0.00                 | 1,959.04   | 0.00  | 0.00                               | 51,781.88                       |
| 57600094 | 26,499.47                          | 0.00                            | 0.00                 | 1,046.14   | 0.00  | 122.50                             | 27,668.11                       |
| 57600095 | 60,405.03                          | 0.00                            | 0.00                 | 2,375.14   | 0.00  | 0.00                               | 62,780.17                       |
| 57600096 | 258,210.50                         | 0.00                            | 0.00                 | 10,194.54  | 0.00  | 1,193.58                           | 269,598.62                      |
| 57600097 | 125,928.12                         | 0.00                            | 0.00                 | 4,971.81   | 0.00  | 582.11                             | 131,482.04                      |
| 57600098 | 230,067.23                         | 0.00                            | 0.00                 | 9,046.29   | 0.00  | 0.00                               | 239,113.52                      |
| 57600101 | 78,818.51                          | 0.00                            | 0.00                 | 3,099.16   | 0.00  | 0.00                               | 81,917.67                       |
| 57600102 | 300,070.45                         | 300.00                          | 0.00                 | 11,907.37  | 0.00  | 2,780.43                           | 315,058.25                      |
| 57600103 | 40,551.92                          | 15.00                           | 0.00                 | 1,607.52   | 0.00  | 375.55                             | 42,549.99                       |
| 57600104 | 150,665.36                         | 0.00                            | 0.00                 | 5,924.19   | 0.00  | 0.00                               | 156,589.55                      |
| 57600105 | 1,576,438.27                       | 1,000.00                        | 0.00                 | 62,019.62  | 0.00  | 0.00                               | 1,639,457.89                    |
| 57600106 | 38,852.85                          | 5,000.00                        | 0.00                 | 1,527.70   | 0.00  | 0.00                               | 45,380.55                       |
| 57600107 | 55,029.43                          | 0.00                            | 0.00                 | 2,172.61   | 0.00  | 254.38                             | 57,456.42                       |
| 57600112 | 140,856.20                         | 0.00                            | 0.00                 | 5,538.50   | 0.00  | 0.00                               | 146,394.70                      |
| 57600113 | 261,547.83                         | 0.00                            | 0.00                 | 10,284.12  | 0.00  | 0.00                               | 271,831.95                      |
| 57600115 | 1,882,751.63                       | 0.00                            | 0.00                 | 74,030.19  | 0.00  | 0.00                               | 1,956,781.82                    |
| 57600116 | 44,767.91                          | 0.00                            | 0.00                 | 1,767.55   | 0.00  | 206.94                             | 46,742.40                       |
| 57600117 | 2,327,032.51                       | 9,650.00                        | 0.00                 | 91,763.43  | 0.00  | 0.00                               | 2,428,445.94                    |
| 57600118 | 20,543.92                          | 0.00                            | 0.00                 | 807.80   | 0.00  | 0.00                               | 21,351.72                       |
| 57600119 | 46,301.08                          | 0.00                            | 0.00                 | 1,828.03   | 0.00  | 214.04                             | 48,343.15                       |
| 57600122 | 21,281.64                          | 0.00                            | 0.00                 | 836.80   | 0.00  | 0.00                               | 22,118.44                       |
| 57600124 | 24,892.48                          | 0.00                            | 0.00                 | 978.78   | 0.00  | 0.00                               | 25,871.26                       |
| 57600126 | 39,551.36                          | 0.00                            | 0.00                 | 1,561.67   | 0.00  | 182.83                             | 41,295.86                       |
| 57600127 | 688,261.30                         | 0.00                            | 0.00                 | 27,062.58  | 0.00  | 0.00                               | 715,323.88                      |
| 57600128 | 195,369.31                         | 0.00                            | 0.00                 | 7,681.96   | 0.00  | 0.00                               | 203,051.27                      |
| 57600129 | 26,227.27                          | 0.00                            | 0.00                 | 1,031.26   | 0.00  | 0.00                               | 27,258.53                       |
| 57600130 | 34,550.37                          | 0.00                            | 0.00                 | 1,358.53   | 0.00  | 0.00                               | 35,908.90                       |
| 57600131 | 67,451.59                          | 0.00                            | 0.00                 | 2,652.21   | 0.00  | 0.00                               | 70,103.80                       |
| 57600132 | 97,416.79                          | 0.00                            | 0.00                 | 3,830.45   | 0.00  | 0.00                               | 101,247.24                      |
| 57600134 | 477,375.71                         | 0.00                            | 0.00                 | 18,770.52  | 0.00  | 0.00                               | 496,146.23                      |
| 57600135 | 106,304.67                         | 0.00                            | 0.00                 | 4,179.92   | 0.00  | 0.00                               | 110,484.59                      |
| 57600136 | 447,774.14                         | 0.00                            | 0.00                 | 17,606.58  | 0.00  | 0.00                               | 465,380.72                      |
| 57600137 | 305,948.10                         | 0.00                            | 0.00                 | 12,029.94  | 0.00  | 0.00                               | 317,978.04                      |
| 57600138 | 96,589.61                          | 0.00                            | 0.00                 | 3,797.93   | 0.00  | 0.00                               | 100,387.54                      |
| 57600139 | 54,406.07                          | 0.00                            | 0.00                 | 2,139.26   | 0.00  | 0.00                               | 56,545.33                       |
| 57600140 | 1,129,645.51                       | 0.00                            | 0.00                 | 44,417.90  | 0.00  | 0.00                               | 1,174,063.41                    |
| 57600141 | 712,850.71                         | 0.00                            | 0.00                 | 28,029.45  | 0.00  | 0.00                               | 740,880.16                      |
| 57600143 | 183,980.47                         | 0.00                            | 0.00                 | 7,234.15   | 0.00  | 0.00                               | 191,214.62                      |
| 57600145 | 1,873,842.89                       | 0.00                            | 0.00                 | 73,982.44  | 0.00  | 8,661.85                           | 1,956,487.18                    |
| 57600146 | 627,564.69                         | 0.00                            | 0.00                 | 25,705.08  | 0.00  | 29,503.86                          | 682,773.63                      |
| 57600148 | 184,363.38                         | 0.00                            | 0.00                 | 7,249.21   | 0.00  | 0.00                               | 191,612.59                      |
| 57600149 | 594,111.31                         | 1,000.00                        | 0.00                 | 23,394.31  | 0.00  | 0.00                               | 618,505.62                      |
| 57600150 | 62,219.24                          | 5,944.00                        | 0.00                 | 2,646.91   | 0.00  | 0.00                               | 70,810.15                       |
| 57600151 | 699,770.35                         | 0.00                            | 0.00                 | 27,515.11  | 0.00  | 0.00                               | 727,285.46                      |
| 57600152 | 257,840.72                         | 25,000.00                       | 0.00                 | 10,981.36  | 0.00  | 0.00                               | 293,822.08                      |

The University of Texas Health Sciences Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600153 | 871,781.86                         | 0.00                            | 0.00                 | 34,278.65  | 0.00  | 0.00                               | 906,060.51                      |
| 57600154 | 759,881.29                         | 0.00                            | 0.00                 | 29,878.69  | 0.00  | 0.00                               | 789,759.98                      |
| 57600155 | 187,373.36                         | 0.00                            | 0.00                 | 7,367.56   | 0.00  | 0.00                               | 194,740.92                      |
| 57600156 | 586,236.65                         | 0.00                            | 0.00                 | 23,050.94  | 0.00  | 0.00                               | 609,287.59                      |
| 57600159 | 416,464.27                         | 0.00                            | 0.00                 | 17,057.77  | 19,552.09   | 0.00                               | 453,074.13                      |
| 57600160 | 458,325.97                         | 0.00                            | 0.00                 | 18,021.48  | 0.00  | 0.00                               | 476,347.45                      |
| 57600161 | 375,580.63                         | 0.00                            | 0.00                 | 14,767.91  | 0.00  | 0.00                               | 390,348.54                      |
| 57600162 | 761,488.40                         | 0.00                            | 0.00                 | 29,941.88  | 0.00  | 0.00                               | 791,430.28                      |
| 57600163 | 428,364.87                         | 0.00                            | 0.00                 | 16,843.40  | 0.00  | 0.00                               | 445,208.27                      |
| 57600164 | 588,586.75                         | 0.00                            | 0.00                 | 22,356.95  | 0.00  | 0.00                               | 590,943.70                      |
| 57600165 | 31,726.67                          | 0.00                            | 0.00                 | 1,247.50   | 0.00  | 0.00                               | 32,974.17                       |
| 57600166 | 28,398.14                          | 0.00                            | 0.00                 | 1,116.62   | 0.00  | 0.00                               | 29,514.76                       |
| 57600167 | 154,506.53                         | 0.00                            | 0.00                 | 6,075.23   | 0.00  | 0.00                               | 160,581.76                      |
| 57600170 | 1,766,643.62                       | 125,874.99                      | 0.00                 | 72,278.87  | 0.00  | 0.00                               | 1,964,797.48                    |
| 57600173 | 32,485.22                          | 0.00                            | 0.00                 | 1,277.33   | 0.00  | 0.00                               | 33,762.55                       |
| 57600174 | 337,191.79                         | 0.00                            | 0.00                 | 13,258.45  | 0.00  | 0.00                               | 350,450.24                      |
| 57600175 | 809,459.69                         | 0.00                            | 0.00                 | 31,828.13  | 0.00  | 0.00                               | 841,287.82                      |
| 57600177 | 14,432,830.46                      | 2,017,555.53                    | 0.00                 | 1,206,975.21   | 6,025.62  | (626,093.26)                       | 17,037,293.56                   |
| 57600180 | 700,780.69                         | 0.00                            | 0.00                 | 27,554.85  | 0.00  | 0.00                               | 728,335.54                      |
| 57600181 | 128,732.35                         | 0.00                            | 0.00                 | 5,061.78   | 0.00  | 0.00                               | 133,794.13                      |
| 57600182 | 151,051.91                         | 0.00                            | 0.00                 | 5,939.40   | 0.00  | 0.00                               | 156,991.31                      |
| 57600183 | 15,603.72                          | 0.00                            | 0.00                 | 613.54   | 0.00  | 0.00                               | 16,217.26                       |
| 57600184 | 16,099.87                          | 0.00                            | 0.00                 | 633.05   | 0.00  | 0.00                               | 16,732.92                       |
| 57600185 | 46,323.68                          | 0.00                            | 0.00                 | 2,023.78   | 0.00  | 0.00                               | 48,347.46                       |
| 57600186 | 558,110.51                         | 0.00                            | 0.00                 | 21,945.03  | 6,000.00  | 0.00                               | 580,055.54                      |
| 57600191 | 684,763.69                         | 0.00                            | 0.00                 | 26,925.06  | 0.00  | 0.00                               | 711,688.75                      |
| 57600192 | 188,000.95                         | 0.00                            | 0.00                 | 6,605.84   | 0.00  | 0.00                               | 174,606.79                      |
| 57600194 | 77,011.50                          | 0.00                            | 0.00                 | 3,028.11   | 0.00  | 0.00                               | 80,039.61                       |
| 57600195 | 3,166,778.51                       | 0.00                            | 0.00                 | 124,518.40   | 0.00  | 0.00                               | 3,291,296.91                    |
| 57600196 | 454,211.67                         | 0.00                            | 0.00                 | 18,603.98  | 0.00  | 15,899.56                          | 488,715.21                      |
| 57600198 | 151,073.13                         | 0.00                            | 0.00                 | 2,008.20   | 0.00  | 0.00                               | 153,081.33                      |
| 57600199 | 158,306.89                         | 0.00                            | 0.00                 | 6,224.66   | 0.00  | 0.00                               | 164,531.55                      |
| 57600200 | 402,243.01                         | 10,000.00                       | 0.00                 | 16,300.53  | 0.00  | 0.00                               | 439,654.75                      |
| 57600201 | 32,679.24                          | 0.00                            | 0.00                 | 1,284.95   | 0.00  | 0.00                               | 33,964.19                       |
| 57600202 | 14,939.42                          | 0.00                            | 0.00                 | 587.42   | 0.00  | 0.00                               | 15,526.84                       |
| 57600203 | 36,842.76                          | 0.00                            | 0.00                 | 1,448.67   | 0.00  | 0.00                               | 38,291.43                       |
| 57600206 | 709,730.59                         | 25,000.00                       | 0.00                 | 28,583.61  | 0.00  | 3,367.04                           | 766,681.24                      |
| 57600208 | 3,706,545.19                       | 0.00                            | 0.00                 | 310,907.29   | 49.49   | (161,356.45)                       | 3,856,145.52                    |
| 57600210 | 94,574.03                          | 0.00                            | 0.00                 | 3,718.67   | 0.00  | 0.00                               | 98,292.70                       |
| 57600212 | 240,892.84                         | 0.00                            | 0.00                 | 9,471.96   | 0.00  | 0.00                               | 250,364.80                      |
| 57600213 | 25,951.93                          | 0.00                            | 0.00                 | 1,020.44   | 0.00  | 0.00                               | 26,972.37                       |
| 57600215 | 760,330.66                         | 0.00                            | 0.00                 | 29,896.36  | 0.00  | 0.00                               | 798,998.46                      |
| 57600216 | 151,512.59                         | 375.00                          | 0.00                 | 5,961.87   | 0.00  | 0.00                               | 157,849.46                      |
| 57600218 | 19,928.57                          | 0.00                            | 0.00                 | 783.56   | (1.00)  | 0.00                               | 20,711.13                       |
| 57600220 | 20,606.50                          | 0.00                            | 0.00                 | 810.26   | 0.00  | 0.00                               | 21,416.76                       |
| 57600221 | 411,004.88                         | 0.00                            | 0.00                 | 16,160.80  | 0.00  | 0.00                               | 427,165.68                      |
| 57600222 | 13,175.87                          | 0.00                            | 0.00                 | 518.07   | 0.00  | 0.00                               | 13,693.94                       |
| 57600223 | 667,251.20                         | 0.00                            | 0.00                 | 26,236.46  | 0.00  | 0.00                               | 693,487.66                      |
| 57600224 | 181,779.08                         | 0.00                            | 0.00                 | 7,147.59   | 0.00  | 0.00                               | 188,926.67                      |
| 57600225 | 179,171.46                         | 0.00                            | 0.00                 | 7,045.06   | 0.00  | 0.00                               | 186,216.52                      |
| 57600226 | 160,701.91                         | 0.00                            | 0.00                 | 6,318.83   | 0.00  | 1,853.91                           | 168,874.65                      |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600227 | RESEARCH                           | 144,847.09                      | 0.00                 | 5,732.78   | 0.00  | 1,671.01                           | 152,250.88                      |
| 57600228 | RESEARCH                           | 273,810.48                      | 0.00                 | 10,766.28  | 0.00  | 0.00                               | 284,576.76                      |
| 57600230 | HUFFINGTON DIST CHR                | 2,029,496.81                    | 500,000.00           | 96,660.09  | 0.00  | 0.00                               | 2,626,156.90                    |
| 57600231 | DUNN NURSING PROGRAM               | 313,182.63                      | 0.00                 | 12,314.41  | 0.00  | 0.00                               | 325,497.04                      |
| 57600232 | SCHOLARSHIPS AND FELLOWSHIPS       | 203,641.84                      | 0.00                 | 8,007.24   | 0.00  | 0.00                               | 211,649.08                      |
| 57600234 | MULLER-BERHARD/GIGL                | 915,478.02                      | 0.00                 | 35,996.79  | 0.00  | 0.00                               | 951,474.81                      |
| 57600235 | WEATHERHEAD DIST CHR               | 1,678,113.89                    | 0.00                 | 140,763.41   | 0.00  | (73,061.10)                        | 1,745,816.20                    |
| 57600236 | DUPONT TEACHING AWARD              | 148,964.77                      | 0.00                 | 5,857.32   | 0.00  | 0.00                               | 154,822.09                      |
| 57600238 | YELLEN SCHOLARSHIP FUND            | 50,551.26                       | 2,727.00             | 2,126.19   | 0.00  | 0.00                               | 55,404.45                       |
| 57600239 | TAYLOR LECTURESHP                  | 216,077.58                      | 0.00                 | 8,496.22   | 0.00  | 0.00                               | 224,573.80                      |
| 57600240 | MURPHY SCHOLARSHIP                 | 102,045.87                      | 1,700.00             | 4,091.38   | 0.00  | 0.00                               | 107,837.25                      |
| 57600241 | OSBORNE SCHL NEUROSC               | 106,330.78                      | 10,000.00            | 4,180.94   | 0.00  | 0.00                               | 120,511.72                      |
| 57600242 | MARTEL ENDOWMENT                   | 141,315.49                      | 0.00                 | 5,556.55   | 0.00  | 0.00                               | 146,872.04                      |
| 57600243 | ELLIOTT/KNUTSON/HALL               | 43,820.01                       | 0.00                 | 1,723.01   | 0.00  | 0.00                               | 45,543.02                       |
| 57600244 | WILLERSON CHAIR                    | 357,339.39                      | 0.00                 | 14,050.66  | 0.00  | 0.00                               | 371,390.05                      |
| 57600245 | MCGOVERN GSBS DIST P               | 353,292.12                      | 0.00                 | 13,891.52  | 0.00  | 0.00                               | 367,183.64                      |
| 57600246 | OTTO AWARD FUND                    | 19,119.37                       | 780.00               | 808.05   | 0.00  | 0.00                               | 20,707.42                       |
| 57600250 | SCHOLARSHIPS AND FELLOWSHIPS       | 83,449.55                       | 0.00                 | 3,281.23   | 0.00  | 0.00                               | 86,730.78                       |
| 57600247 | BUTCHER ENDOWMENT                  | 64,530.06                       | 0.00                 | 2,537.34   | 0.00  | 0.00                               | 67,067.40                       |
| 57600248 | WORSHAM ENDOW FELLOW               | 400,731.48                      | 35,000.00            | 16,539.29  | 0.00  | 0.00                               | 452,270.77                      |
| 57600249 | FAILLACE PROF                      | 38,512.14                       | 0.00                 | 1,514.31   | 0.00  | 0.00                               | 40,026.45                       |
| 57600250 | SMYTHE AWARD RESIDEN               | 38,539.09                       | 0.00                 | 1,515.37   | 0.00  | 0.00                               | 40,054.46                       |
| 57600251 | LUDINGTON ENDOW FUND               | 734,386.55                      | 0.00                 | 28,876.24  | 0.00  | 0.00                               | 763,262.79                      |
| 57600252 | HEARST NURSE ENDOW                 | 623,517.01                      | 0.00                 | 24,516.82  | 0.00  | 0.00                               | 648,033.83                      |
| 57600253 | FUCHSWALTRAP SCHOL                 | 30,070.23                       | 0.00                 | 1,182.37   | 0.00  | 0.00                               | 31,252.60                       |
| 57600256 | REED-GILSTRAP SCHL F               | 1,164,071.42                    | 0.00                 | 45,771.53  | 0.00  | 0.00                               | 1,209,842.95                    |
| 57600257 | RUSSELL CLINICAL RSCH ENDOW        | 2,529,261.64                    | 0.00                 | 99,451.10  | 0.00  | 0.00                               | 2,628,712.74                    |
| 57600258 | DUNN DIST UNIV CHAIR               | 3,356,211.68                    | 0.00                 | 131,966.95   | 0.00  | 0.00                               | 3,488,178.63                    |
| 57600260 | RUIZ DIST UNIV CHAIR               | 405,438.25                      | 0.00                 | 15,941.92  | 0.00  | 0.00                               | 421,380.17                      |
| 57600261 | MCGOVERN DP OPHTHAL                | 729,570.42                      | 0.00                 | 28,686.87  | 0.00  | 0.00                               | 758,257.29                      |
| 57600262 | GREEN CHAIR OPHTHAL                | 17,087.45                       | 0.00                 | 671.88   | 0.00  | 0.00                               | 17,759.33                       |
| 57600265 | DENTAL HYGIENE FUND                | 22,208.58                       | 0.00                 | 873.24   | 0.00  | 0.00                               | 23,081.82                       |
| 57600269 | SMITH MEMORIAL SCHOL               | 81,087.67                       | 0.00                 | 3,188.38   | 0.00  | 0.00                               | 84,276.05                       |
| 57600271 | LUNSFORD EXTERNSHIP                | 68,856.16                       | 0.00                 | 2,707.44   | 0.00  | 0.00                               | 71,563.60                       |
| 57600272 | STERLING FLSPH AWARD               | 32,829.34                       | 0.00                 | 1,290.85   | 0.00  | 0.00                               | 34,120.19                       |
| 57600273 | ROSS SCHOLARSHIP                   | 157,859.92                      | 0.00                 | 6,207.09   | 0.00  | 0.00                               | 164,067.01                      |
| 57600274 | MATNEY PROF ENV/GEN                | 100,241.50                      | 0.00                 | 3,941.52   | 0.00  | 0.00                               | 104,183.02                      |
| 57600275 | HILL MEM SCHL CARDIO               | 56,566.10                       | 0.00                 | 2,224.19   | 0.00  | 0.00                               | 58,790.29                       |
| 57600276 | CONLON SCHOLARSHIP                 | 741,038.89                      | 0.00                 | 29,137.80  | 0.00  | 0.00                               | 770,176.69                      |
| 57600278 | LEVIT CHAIR NEURO                  | 188,193.10                      | 22,858.57            | 8,939.38   | 197.57  | 24,710.73                          | 244,899.35                      |
| 57600279 | DOLAN-MULLEN SCHOLAR               | 57,897.79                       | 0.00                 | 2,276.55   | 0.00  | 0.00                               | 60,174.34                       |
| 57600281 | FRUITIGER M. SCHOLAR               | 2,642,384.69                    | 407,000.00           | 103,695.81   | 0.00  | 168,166.26                         | 3,321,246.76                    |
| 57600282 | KILROY WM S DUC                    | 70,686.55                       | 0.00                 | 2,779.41   | 0.00  | 0.00                               | 73,465.96                       |
| 57600284 | TAUBBORTON END FELL                | 1,989,336.51                    | 0.00                 | 78,221.14  | 0.00  | 0.00                               | 2,067,557.65                    |
| 57600285 | MITCHELL DISTG CHAIR               | 3,573,930.68                    | 0.00                 | 140,527.70   | 0.00  | 0.00                               | 3,714,458.38                    |
| 57600286 | WELCH DIST UNIV CHAI               | 108,870.77                      | 0.00                 | 4,280.82   | 0.00  | 0.00                               | 113,151.59                      |
| 57600287 | BOELSCH SCHOLARSHIP                | 1,685,055.85                    | 0.00                 | 66,256.76  | 0.00  | 0.00                               | 1,751,312.61                    |
| 57600288 | KINDER DISTG CHAIR                 | 334,648.69                      | 10,000.00            | 13,382.04  | 0.00  | 0.00                               | 358,030.73                      |
| 57600289 | MCGOVERN PROF OSLER!               | 170,532.44                      | 0.00                 | 6,705.37   | 0.00  | 0.00                               | 177,237.81                      |
| 57600290 | HSU T C MEM SCHOLSHP               | 1,781,407.38                    | 0.00                 | 70,045.32  | 0.00  | 0.00                               | 1,851,452.70                    |
| 57600291 | WILLERSON DIST CHAIR               | 496,367.14                      | 0.00                 | 19,517.26  | 0.00  | 0.00                               | 515,884.40                      |
| 57600292 | HAMMAN FND DIST PROF               | 171,256.21                      | 0.00                 | 6,733.84   | 0.00  | 0.00                               | 177,990.05                      |
| 57600293 | KEENAN PROF CARDIO                 | 136,443.36                      | 100.00               | 5,368.34   | 0.00  | 0.00                               | 141,911.70                      |
| 57600294 | ROSS PJ PROF OBGYN                 |                                 |                      |  |   |                                    |                                 |



The University of Texas Health Science Center at Houston  
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As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600296 | 349,142.92                         | 0.00                            | 0.00                 | 13,728.38  | 0.00  | 0.00                               | 362,871.30                      |
| 57600297 | 765,634.50                         | 40,000.00                       | 0.00                 | 32,042.00  | 0.00  | 44,444.98                          | 882,121.48                      |
| 57600298 | 643,348.07                         | 0.00                            | 0.00                 | 25,296.59  | 0.00  | 0.00                               | 668,644.66                      |
| 57600299 | 388,468.31                         | 0.00                            | 0.00                 | 14,488.25  | 0.00  | 0.00                               | 382,956.56                      |
| 57600300 | 309,172.77                         | 0.00                            | 0.00                 | 12,156.74  | 0.00  | 0.00                               | 321,329.51                      |
| 57600301 | 349,142.92                         | 0.00                            | 0.00                 | 13,728.38  | 0.00  | 0.00                               | 362,871.30                      |
| 57600302 | 13,902.98                          | 0.00                            | 0.00                 | 546.66   | 0.00  | 0.00                               | 14,449.64                       |
| 57600303 | 2,422,986.24                       | 0.00                            | 0.00                 | 93,598.79  | 3,664.37  | 89,813.72                          | 2,610,063.12                    |
| 57600304 | 30,629.97                          | 0.00                            | 0.00                 | 1,204.37   | 0.00  | 0.00                               | 31,834.34                       |
| 57600306 | 350,911.67                         | 0.00                            | 0.00                 | 13,797.92  | 0.00  | 0.00                               | 364,709.59                      |
| 57600307 | 244,721.09                         | 0.00                            | 0.00                 | 9,622.48   | 0.00  | 0.00                               | 254,343.57                      |
| 57600308 | 183,988.21                         | 0.00                            | 0.00                 | 7,234.46   | 0.00  | 0.00                               | 191,222.67                      |
| 57600309 | 640,029.54                         | 0.00                            | 0.00                 | 25,166.09  | 0.00  | 0.00                               | 665,195.63                      |
| 57600310 | 1,353,412.03                       | 0.00                            | 0.00                 | 53,216.45  | 0.00  | 0.00                               | 1,406,628.48                    |
| 57600312 | 26,216.58                          | 0.00                            | 0.00                 | 1,030.84   | 0.00  | 0.00                               | 27,247.42                       |
| 57600313 | 264,551.22                         | 0.00                            | 0.00                 | 10,402.21  | 0.00  | 0.00                               | 274,953.43                      |
| 57600314 | 63,231.52                          | 0.00                            | 0.00                 | 2,486.28   | 0.00  | 0.00                               | 65,717.80                       |
| 57600315 | 3,747,929.43                       | 0.00                            | 0.00                 | 147,369.37   | 0.00  | 0.00                               | 3,895,298.80                    |
| 57600316 | 252,951.41                         | 0.00                            | 0.00                 | 9,946.10   | 0.00  | 0.00                               | 262,897.51                      |
| 57600317 | 11,616.94                          | 0.00                            | 0.00                 | 456.78   | 0.00  | 0.00                               | 12,073.72                       |
| 57600318 | 319,192.51                         | 0.00                            | 0.00                 | 12,550.72  | 0.00  | 0.00                               | 331,743.23                      |
| 57600319 | 1,534,337.37                       | 0.00                            | 0.00                 | 60,330.47  | 0.00  | 0.00                               | 1,594,667.84                    |
| 57600320 | 3,081,490.76                       | 150,000.00                      | 0.00                 | 126,222.82   | 0.00  | 0.00                               | 3,357,713.58                    |
| 57600321 | 15,978.19                          | 0.00                            | 0.00                 | 628.27   | 0.00  | 0.00                               | 16,606.46                       |
| 57600322 | 15,978.19                          | 0.00                            | 0.00                 | 628.27   | 0.00  | 0.00                               | 16,606.46                       |
| 57600323 | 1,105,686.31                       | 0.00                            | 0.00                 | 43,475.82  | 0.00  | 0.00                               | 1,149,162.13                    |
| 57600326 | 3,273,434.88                       | 0.00                            | 0.00                 | 128,712.15   | 0.00  | 0.00                               | 3,402,147.03                    |
| 57600328 | 114,863.92                         | 0.00                            | 0.00                 | 4,516.47   | 0.00  | 0.00                               | 119,380.39                      |
| 57600329 | 3,590,923.40                       | 0.00                            | 0.00                 | 141,195.86   | 0.00  | 0.00                               | 3,732,119.26                    |
| 57600330 | 122,519.63                         | 0.00                            | 0.00                 | 4,817.50   | 0.00  | 0.00                               | 127,337.13                      |
| 57600331 | 1,425,530.65                       | 0.00                            | 0.00                 | 56,052.17  | 0.00  | 0.00                               | 1,481,582.82                    |
| 57600332 | 121,861.14                         | 0.00                            | 0.00                 | 4,791.61   | 0.00  | 0.00                               | 126,652.75                      |
| 57600336 | 587,783.42                         | 0.00                            | 0.00                 | 23,111.77  | 0.00  | 0.00                               | 610,895.19                      |
| 57600337 | 349,415.24                         | 0.00                            | 0.00                 | 13,739.08  | 0.00  | 0.00                               | 363,154.32                      |
| 57600338 | 191,775.59                         | 0.00                            | 0.00                 | 7,540.65   | 0.00  | 0.00                               | 199,316.24                      |
| 57600339 | 124,937.64                         | 0.00                            | 0.00                 | 4,912.58   | 0.00  | 0.00                               | 129,850.22                      |
| 57600340 | 1,417,354.63                       | 0.00                            | 0.00                 | 55,730.68  | 0.00  | 0.00                               | 1,473,085.31                    |
| 57600341 | 62,609.28                          | 0.00                            | 0.00                 | 2,461.81   | 0.00  | 0.00                               | 65,071.09                       |
| 57600342 | 674,069.20                         | 0.00                            | 0.00                 | 26,504.54  | 0.00  | 0.00                               | 700,573.74                      |
| 57600344 | 63,684.84                          | 0.00                            | 0.00                 | 2,504.10   | 0.00  | 0.00                               | 66,188.94                       |
| 57600345 | 15,674.73                          | 500.00                          | 0.00                 | 659.32   | 0.00  | 747.44                             | 17,021.49                       |
| 57600347 | 129,677.87                         | 0.00                            | 0.00                 | 5,098.96   | 0.00  | 1,496.01                           | 136,272.84                      |
| 57600348 | 568,142.03                         | 0.00                            | 0.00                 | 22,339.46  | 0.00  | 0.00                               | 590,481.49                      |
| 57600349 | 2,171,123.68                       | 0.00                            | 0.00                 | 85,604.97  | 0.00  | 0.00                               | 2,256,728.65                    |
| 57600350 | 114,546.14                         | 0.00                            | 0.00                 | 4,503.98   | 0.00  | 0.00                               | 119,050.12                      |
| 57600351 | 356,367.31                         | 0.00                            | 0.00                 | 14,012.44  | 0.00  | 0.00                               | 370,379.75                      |
| 57600353 | 11,505.10                          | 0.00                            | 0.00                 | 452.38   | 0.00  | 0.00                               | 11,957.48                       |
| 57600354 | 131,166.55                         | 0.00                            | 0.00                 | 5,157.50   | 0.00  | 0.00                               | 136,324.05                      |
| 57600356 | 110,294.86                         | 0.00                            | 0.00                 | 4,336.81   | 0.00  | 0.00                               | 114,631.67                      |
| 57600357 | 271,715.40                         | 22,415.00                       | 0.00                 | 11,448.26  | 0.00  | 0.00                               | 305,578.66                      |
| 57600358 | 292,561.41                         | 7,000.00                        | 0.00                 | 11,977.90  | 0.00  | 500.00                             | 312,039.31                      |
| 57600361 | 2,264,550.01                       | 0.00                            | 0.00                 | 89,042.59  | 0.00  | 0.00                               | 2,353,592.60                    |
| 57600362 | 557,466.59                         | 0.00                            | 0.00                 | 21,919.70  | 0.00  | 0.00                               | 579,386.29                      |

The University of Texas Health Science Center at Houston  
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 As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600363 | 306,486.46                         | 0.00                            | 0.00                 | 12,051.11  | 0.00  | 0.00                               | 318,537.57                      |
| 57600364 | 25,446.18                          | 0.00                            | 0.00                 | 1,000.55   | 0.00  | 0.00                               | 26,446.73                       |
| 57600365 | 31,133.32                          | 0.00                            | 0.00                 | 1,224.17   | 0.00  | 0.00                               | 32,357.49                       |
| 57600366 | 10,486.07                          | 0.00                            | 0.00                 | 412.32   | 0.00  | 0.00                               | 10,898.39                       |
| 57600368 | 10,881.77                          | 0.00                            | 0.00                 | 427.88   | 0.00  | 0.00                               | 11,309.65                       |
| 57600370 | 78,630.61                          | 0.00                            | 0.00                 | 3,091.78   | 0.00  | 0.00                               | 81,722.39                       |
| 57600371 | 24,830.68                          | 0.00                            | 0.00                 | 976.34   | 0.00  | 0.00                               | 25,807.02                       |
| 57600381 | 10,598.15                          | 0.00                            | 0.00                 | 416.72   | 0.00  | 0.00                               | 11,014.87                       |
| 57600383 | 39,899.44                          | 0.00                            | 0.00                 | 1,568.86   | 0.00  | 0.00                               | 41,468.30                       |
| 57600384 | 30,076.11                          | 0.00                            | 0.00                 | 1,182.60   | 0.00  | 0.00                               | 31,258.71                       |
| 57600385 | 90,815.96                          | 300.00                          | 0.00                 | 3,570.90   | 0.00  | 0.00                               | 94,686.86                       |
| 57600386 | 21,658.05                          | 200.00                          | 0.00                 | 851.60   | 0.00  | 0.00                               | 22,709.65                       |
| 57600387 | 1,205,399.91                       | 0.00                            | 0.00                 | 47,396.58  | 0.00  | 0.00                               | 1,252,796.49                    |
| 57600388 | 2,295,839.40                       | 25.00                           | 0.00                 | 90,273.48  | 0.00  | 0.00                               | 2,386,137.88                    |
| 57600389 | 517,646.53                         | 0.00                            | 0.00                 | 20,353.97  | 0.00  | 0.00                               | 538,000.50                      |
| 57600390 | 25,521.00                          | 0.00                            | 0.00                 | 1,003.49   | 0.00  | 0.00                               | 26,524.49                       |
| 57600391 | 10,358.05                          | 0.00                            | 0.00                 | 407.28   | 0.00  | 0.00                               | 10,765.33                       |
| 57600392 | 25,531.45                          | 0.00                            | 0.00                 | 1,003.90   | 0.00  | 0.00                               | 26,535.35                       |
| 57600393 | 4,064,213.57                       | 1.00                            | 0.00                 | 160,280.00   | 0.00  | 158.45                             | 4,224,653.02                    |
| 57600394 | 312,676.96                         | 0.00                            | 0.00                 | 12,294.52  | 0.00  | 0.00                               | 324,971.48                      |
| 57600395 | 61,095.11                          | 2,070.00                        | 0.00                 | 2,427.03   | 0.00  | 0.00                               | 65,592.14                       |
| 57600396 | 35,341.38                          | 0.00                            | 0.00                 | 1,389.63   | 0.00  | 0.00                               | 36,731.01                       |
| 57600397 | 148,969.34                         | 0.00                            | 0.00                 | 5,857.50   | 0.00  | 0.00                               | 154,826.84                      |
| 57600400 | 462,619.77                         | 0.00                            | 0.00                 | 18,190.31  | 0.00  | 0.00                               | 480,810.08                      |
| 57600403 | 10,709.91                          | 0.00                            | 0.00                 | 421.11   | 0.00  | 0.00                               | 11,131.02                       |
| 57600404 | 40,303.89                          | 0.00                            | 0.00                 | 1,584.76   | 0.00  | 0.00                               | 41,888.65                       |
| 57600405 | 30,844.81                          | 2,300.00                        | 0.00                 | 1,267.67   | 0.00  | 0.00                               | 34,412.48                       |
| 57600406 | 19,358.15                          | 0.00                            | 0.00                 | 761.17   | 0.00  | 0.00                               | 20,119.32                       |
| 57600407 | 3,847,167.78                       | 0.00                            | 0.00                 | 151,271.45   | 0.00  | 0.00                               | 3,998,439.23                    |
| 57600409 | 132,040.28                         | 0.00                            | 0.00                 | 5,191.85   | 0.00  | 0.00                               | 137,232.13                      |
| 57600410 | 56,312.75                          | 0.00                            | 0.00                 | 2,214.23   | 0.00  | 0.00                               | 58,526.98                       |
| 57600412 | 1,460,714.73                       | 0.00                            | 0.00                 | 57,435.61  | 0.00  | 16,851.31                          | 1,535,001.65                    |
| 57600416 | 113,445.48                         | 0.00                            | 0.00                 | 4,460.70   | 0.00  | 0.00                               | 117,906.18                      |
| 57600418 | 58,184.96                          | 0.00                            | 0.00                 | 2,287.86   | 0.00  | 0.00                               | 60,472.82                       |
| 57600419 | 44,226.47                          | 0.00                            | 0.00                 | 1,738.99   | 0.00  | 0.00                               | 45,965.46                       |
| 57600420 | 50,780.28                          | 0.00                            | 0.00                 | 1,996.69   | 0.00  | 0.00                               | 52,776.97                       |
| 57600421 | 141,346.70                         | 0.00                            | 0.00                 | 5,557.78   | 0.00  | 0.00                               | 146,904.48                      |
| 57600422 | 370,602.16                         | 0.00                            | 0.00                 | 14,572.16  | 0.00  | 0.00                               | 385,174.32                      |
| 57600423 | 22,825.39                          | 0.00                            | 0.00                 | 897.50   | 0.00  | 0.00                               | 23,722.89                       |
| 57600424 | 327,818.29                         | 0.00                            | 0.00                 | 12,889.89  | 0.00  | 0.00                               | 340,708.18                      |
| 57600426 | 39,354.42                          | 0.00                            | 0.00                 | 1,547.42   | 0.00  | 0.00                               | 40,901.84                       |
| 57600427 | 1,220,554.03                       | 0.00                            | 0.00                 | 47,992.44  | 0.00  | 0.00                               | 1,268,546.47                    |
| 57600428 | 255,496.15                         | 18,333.37                       | 0.00                 | 11,615.67  | 0.00  | 23,484.74                          | 308,929.93                      |
| 57600430 | 367,134.14                         | 1,000.00                        | 0.00                 | 14,469.53  | 0.00  | 0.00                               | 382,603.67                      |
| 57600432 | 119,007.85                         | 0.00                            | 0.00                 | 4,679.41   | 0.00  | 0.00                               | 123,687.26                      |
| 57600436 | 32,119.19                          | 10,000.00                       | 0.00                 | 2,099.42   | 0.00  | 0.00                               | 44,218.61                       |
| 57600437 | 39,490.27                          | 0.00                            | 0.00                 | 1,552.76   | 0.00  | 0.00                               | 41,043.03                       |
| 57600438 | 571,516.19                         | 0.00                            | 0.00                 | 22,472.12  | 0.00  | 0.00                               | 593,988.31                      |
| 57600439 | 122,939.64                         | 5,000.00                        | 0.00                 | 5,252.22   | 0.00  | 0.00                               | 133,191.86                      |
| 57600441 | 136,935.02                         | 0.00                            | 0.00                 | 5,384.31   | 0.00  | 1,579.73                           | 143,899.06                      |
| 57600442 | 54,933.43                          | 1,000.00                        | 0.00                 | 2,193.73   | 0.00  | 0.00                               | 58,127.16                       |
| 57600444 | 411,198.35                         | 0.00                            | 0.00                 | 16,168.41  | 0.00  | 0.00                               | 427,366.76                      |

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As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600445 | 31,957.09                          | 0.00                            | 0.00                 | 1,256.56   | 0.00  | 0.00                               | 33,213.65                       |
| 57600448 | 128,571.64                         | 0.00                            | 0.00                 | 5,055.46   | 0.00  | 0.00                               | 133,627.10                      |
| 57600452 | 332,072.75                         | 0.00                            | 0.00                 | 13,057.17  | 0.00  | 0.00                               | 345,129.92                      |
| 57600454 | 188,107.29                         | 0.00                            | 0.00                 | 6,610.02   | 0.00  | 0.00                               | 174,717.31                      |
| 57600455 | 350,181.69                         | 0.00                            | 0.00                 | 13,769.22  | 0.00  | 0.00                               | 363,950.91                      |
| 57600456 | 12,077.15                          | 0.00                            | 0.00                 | 474.87   | 0.00  | 0.00                               | 12,552.02                       |
| 57600457 | 225,977.66                         | 20,000.00                       | 0.00                 | 9,854.08   | 22,222.49   | 0.00                               | 278,054.23                      |
| 57600458 | 381,461.55                         | 0.00                            | 0.00                 | 14,989.15  | 0.00  | 0.00                               | 396,460.70                      |
| 57600459 | 71,721.54                          | 10,000.00                       | 0.00                 | 3,191.37   | 10,887.01   | 0.00                               | 95,799.92                       |
| 57600460 | 95,957.38                          | 9,252.00                        | 0.00                 | 4,086.57   | 45.35   | 0.00                               | 109,341.30                      |
| 57600463 | 315,528.77                         | 0.00                            | 0.00                 | 12,406.66  | 0.00  | 0.00                               | 327,935.43                      |
| 57600464 | 614,283.54                         | 0.00                            | 0.00                 | 24,154.15  | 0.00  | 0.00                               | 638,447.69                      |
| 57600465 | 138,937.43                         | 250.00                          | 0.00                 | 5,471.45   | 0.00  | 0.00                               | 144,658.88                      |
| 57600468 | 1,213,267.21                       | 0.00                            | 0.00                 | 47,705.93  | 0.00  | 0.00                               | 1,260,973.14                    |
| 57600469 | 606,633.57                         | 0.00                            | 0.00                 | 23,852.96  | 0.00  | 0.00                               | 630,486.53                      |
| 57600470 | 176,179.23                         | 0.00                            | 0.00                 | 6,927.40   | 0.00  | 0.00                               | 183,106.63                      |
| 57600471 | 35,842.49                          | 0.00                            | 0.00                 | 1,409.33   | 0.00  | 0.00                               | 37,251.82                       |
| 57600472 | 570,817.96                         | 0.00                            | 0.00                 | 22,444.68  | 0.00  | 0.00                               | 593,262.64                      |
| 57600473 | 642,110.14                         | 0.00                            | 0.00                 | 26,299.95  | 0.00  | 0.00                               | 668,555.75                      |
| 57600474 | 295,610.66                         | 20,000.00                       | 0.00                 | 12,408.69  | 0.00  | 0.00                               | 338,829.59                      |
| 57600475 | 126,303.34                         | 0.00                            | 0.00                 | 4,966.27   | 0.00  | 0.00                               | 131,269.61                      |
| 57600476 | 129,923.08                         | 0.00                            | 0.00                 | 5,108.60   | 0.00  | 0.00                               | 135,031.68                      |
| 57600477 | 70,980.25                          | 0.00                            | 0.00                 | 2,790.96   | 0.00  | 0.00                               | 73,771.21                       |
| 57600479 | 4,336,006.77                       | 0.00                            | 0.00                 | 170,492.70   | 0.00  | 0.00                               | 4,506,499.47                    |
| 57600480 | 119,365.52                         | 0.00                            | 0.00                 | 4,693.48   | 0.00  | 0.00                               | 124,059.00                      |
| 57600481 | 30,429.83                          | 0.00                            | 0.00                 | 1,196.51   | 0.00  | 0.00                               | 31,626.34                       |
| 57600482 | 586,481.25                         | 0.00                            | 0.00                 | 22,274.16  | 0.00  | 0.00                               | 588,755.41                      |
| 57600483 | 78,440.50                          | 0.00                            | 0.00                 | 3,084.30   | 0.00  | 0.00                               | 81,524.85                       |
| 57600484 | 12,171.95                          | 0.00                            | 0.00                 | 478.60   | 0.00  | 0.00                               | 12,650.55                       |
| 57600485 | 121,719.25                         | 0.00                            | 0.00                 | 4,786.03   | 0.00  | 0.00                               | 126,505.28                      |
| 57600487 | 59,373.92                          | 0.00                            | 0.00                 | 2,334.60   | 0.00  | 0.00                               | 61,708.52                       |
| 57600488 | 29,780.72                          | 0.00                            | 0.00                 | 1,170.98   | 0.00  | 0.00                               | 30,951.70                       |
| 57600489 | 243,753.22                         | 6,390.00                        | 0.00                 | 9,785.21   | 168.00  | 0.00                               | 260,096.43                      |
| 57600490 | 38,973.78                          | 2,916.69                        | 0.00                 | 1,637.32   | 0.00  | 0.00                               | 43,527.79                       |
| 57600492 | 30,563.74                          | 0.00                            | 0.00                 | 1,201.78   | 0.00  | 0.00                               | 31,765.52                       |
| 57600493 | 469,693.05                         | 0.00                            | 0.00                 | 18,468.43  | 0.00  | 0.00                               | 488,161.48                      |
| 57600494 | 400,907.48                         | 2,425.00                        | 0.00                 | 15,866.72  | 0.00  | 0.00                               | 419,199.20                      |
| 57600496 | 141,388.60                         | 0.00                            | 0.00                 | 5,559.43   | 0.00  | 0.00                               | 146,948.03                      |
| 57600497 | 18,942.13                          | 0.00                            | 0.00                 | 744.84   | 0.00  | 0.00                               | 19,686.97                       |
| 57600498 | 263,159.12                         | 500.00                          | 0.00                 | 10,364.34  | 0.00  | 0.00                               | 274,023.46                      |
| 57600499 | 58,005.37                          | 0.00                            | 0.00                 | 2,280.78   | 0.00  | 0.00                               | 60,286.15                       |
| 57600500 | 914,426.55                         | 50,000.00                       | 0.00                 | 38,376.88  | 67,303.86   | 0.00                               | 1,070,107.29                    |
| 57600501 | 52,123.75                          | 0.00                            | 0.00                 | 2,049.51   | 0.00  | 0.00                               | 54,173.26                       |
| 57600502 | 120,511.09                         | 0.00                            | 0.00                 | 4,738.53   | 0.00  | 0.00                               | 125,249.62                      |
| 57600503 | 1,112,678.50                       | 0.00                            | 0.00                 | 43,750.75  | 0.00  | 0.00                               | 1,156,429.25                    |
| 57600504 | 581,812.42                         | 0.00                            | 0.00                 | 22,876.99  | 0.00  | 0.00                               | 604,689.41                      |
| 57600506 | 118,853.49                         | 0.00                            | 0.00                 | 4,673.34   | 0.00  | 0.00                               | 123,526.83                      |
| 57600507 | 68,002.03                          | 0.00                            | 0.00                 | 2,673.85   | 0.00  | 0.00                               | 70,675.88                       |
| 57600509 | 1,146,650.47                       | 0.00                            | 0.00                 | 45,086.54  | 0.00  | 0.00                               | 1,191,737.01                    |
| 57600511 | 17,379.44                          | 1,000.00                        | 0.00                 | 717.09   | 0.00  | 0.00                               | 19,096.53                       |
| 57600512 | 27,984.40                          | 0.00                            | 0.00                 | 1,100.35   | 0.00  | 0.00                               | 29,084.75                       |
| 57600513 | 42,301.81                          | 15,680.00                       | 0.00                 | 2,880.22   | 0.00  | 0.00                               | 60,862.03                       |
| 57600514 | 636,092.55                         | 0.00                            | 0.00                 | 25,011.30  | 0.00  | 0.00                               | 661,103.85                      |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600516 | 109,753.10                         | 275.00                          | 0.00                 | 4,325.44   | 0.00  | 0.00                               | 114,353.54                      |
| 57600518 | 119,726.59                         | 0.00                            | 0.00                 | 4,707.68   | 0.00  | 0.00                               | 124,434.27                      |
| 57600519 | 39,657.79                          | 0.00                            | 0.00                 | 1,559.36   | 0.00  | 0.00                               | 41,217.15                       |
| 57600520 | 27,272.65                          | 0.00                            | 0.00                 | 1,090.26   | 0.00  | 0.00                               | 28,871.91                       |
| 57600521 | 116,413.57                         | 0.00                            | 0.00                 | 5,697.51   | 27,025.72   | 0.00                               | 149,136.80                      |
| 57600522 | 1,109,106.47                       | 0.00                            | 0.00                 | 43,610.30  | 0.00  | 0.00                               | 1,152,716.77                    |
| 57600523 | 28,529.26                          | 0.00                            | 0.00                 | 1,121.78   | 0.00  | 0.00                               | 29,651.04                       |
| 57600524 | 108,476.23                         | 0.00                            | 0.00                 | 4,265.31   | 0.00  | 0.00                               | 112,741.54                      |
| 57600525 | 107,907.43                         | 0.00                            | 0.00                 | 4,242.95   | 0.00  | 0.00                               | 112,150.38                      |
| 57600526 | 538,903.62                         | 0.00                            | 0.00                 | 21,189.81  | 0.00  | 0.00                               | 560,093.43                      |
| 57600527 | 79,299.94                          | 0.00                            | 0.00                 | 3,118.09   | 0.00  | 0.00                               | 82,418.03                       |
| 57600528 | 107,315.55                         | 0.00                            | 0.00                 | 4,219.68   | 0.00  | 0.00                               | 111,535.23                      |
| 57600529 | 1,159,280.46                       | 0.00                            | 0.00                 | 45,583.16  | 0.00  | 0.00                               | 1,204,863.62                    |
| 57600530 | 1,152,085.36                       | 0.00                            | 0.00                 | 45,300.23  | 0.00  | 0.00                               | 1,197,385.59                    |
| 57600532 | 106,780.06                         | 0.00                            | 0.00                 | 4,198.61   | 0.00  | 0.00                               | 110,978.67                      |
| 57600533 | 106,784.71                         | 0.00                            | 0.00                 | 4,198.79   | 0.00  | 0.00                               | 110,983.50                      |
| 57600534 | 19,909.29                          | 125.00                          | 0.00                 | 787.04   | 0.00  | 0.00                               | 20,821.33                       |
| 57600535 | 47,811.41                          | 35,000.00                       | 0.00                 | 3,012.78   | 0.00  | 25,108.20                          | 110,932.39                      |
| 57600539 | 2,120,420.44                       | 31,500.00                       | 0.00                 | 85,968.49  | 0.00  | 32,743.17                          | 2,270,632.10                    |
| 57600540 | 1,078,063.46                       | 0.00                            | 0.00                 | 42,389.68  | 0.00  | 0.00                               | 1,120,453.14                    |
| 57600541 | 69,389.87                          | 4,973.49                        | 0.00                 | 2,895.67   | (12.88)   | 0.00                               | 77,246.15                       |
| 57600542 | 367,413.76                         | 0.00                            | 0.00                 | 14,446.77  | 0.00  | 0.00                               | 381,860.53                      |
| 57600544 | 1,197,002.30                       | 0.00                            | 0.00                 | 47,066.38  | 0.00  | 0.00                               | 1,244,068.68                    |
| 57600545 | 27,724.47                          | 0.00                            | 0.00                 | 1,090.13   | 0.00  | 0.00                               | 28,814.60                       |
| 57600546 | 33,309.22                          | 0.00                            | 0.00                 | 1,309.73   | 0.00  | 0.00                               | 34,618.95                       |
| 57600549 | 100,744.39                         | 4,500.00                        | 0.00                 | 4,061.89   | 0.00  | 0.00                               | 109,306.28                      |
| 57600551 | 17,446.98                          | 54.00                           | 0.00                 | 686.01   | 0.00  | 0.00                               | 18,186.99                       |
| 57600552 | 57,086.41                          | 0.00                            | 0.00                 | 2,444.65   | 0.00  | 0.00                               | 59,331.06                       |
| 57600553 | 107,606.93                         | 0.00                            | 0.00                 | 4,231.12   | 0.00  | 0.00                               | 111,838.05                      |
| 57600554 | 148,035.75                         | 10,000.00                       | 0.00                 | 5,820.79   | 0.00  | 0.00                               | 163,856.54                      |
| 57600555 | 848,995.27                         | 0.00                            | 0.00                 | 33,382.67  | 0.00  | 0.00                               | 882,377.94                      |
| 57600556 | 215,974.81                         | 0.00                            | 0.00                 | 8,492.17   | 0.00  | 0.00                               | 224,466.98                      |
| 57600557 | 82,238.97                          | 0.00                            | 0.00                 | 3,233.66   | 0.00  | 0.00                               | 85,472.63                       |
| 57600558 | 2,182,994.31                       | 0.00                            | 0.00                 | 85,835.80  | 0.00  | 0.00                               | 2,268,830.11                    |
| 57600559 | 141,186.45                         | 0.00                            | 0.00                 | 5,551.48   | 0.00  | 0.00                               | 146,737.93                      |
| 57600562 | 212,505.78                         | 0.00                            | 0.00                 | 8,355.77   | 0.00  | 0.00                               | 220,861.55                      |
| 57600563 | 134,549.53                         | 0.00                            | 0.00                 | 5,290.52   | 0.00  | 0.00                               | 139,840.05                      |
| 57600564 | 318,561.05                         | 0.00                            | 0.00                 | 12,525.89  | 0.00  | 0.00                               | 331,086.94                      |
| 57600565 | 140,482.97                         | 0.00                            | 0.00                 | 5,523.82   | 0.00  | 0.00                               | 146,006.79                      |
| 57600567 | 1,062,529.06                       | 0.00                            | 0.00                 | 41,778.86  | 0.00  | 0.00                               | 1,104,307.92                    |
| 57600569 | 52,446.79                          | 0.00                            | 0.00                 | 2,062.22   | 0.00  | 0.00                               | 54,509.01                       |
| 57600570 | 29,707.76                          | 0.00                            | 0.00                 | 1,168.11   | 0.00  | 0.00                               | 30,875.87                       |
| 57600571 | 23,760.38                          | 0.00                            | 0.00                 | 934.26   | 0.00  | 0.00                               | 24,694.64                       |
| 57600572 | 623,134.47                         | 5,300.00                        | 0.00                 | 20,656.14  | 0.00  | 0.00                               | 649,090.61                      |
| 57600574 | 282,656.99                         | 0.00                            | 0.00                 | 11,114.14  | 0.00  | 0.00                               | 293,771.13                      |
| 57600575 | 35,733.75                          | 6,700.00                        | 0.00                 | 1,562.22   | 0.00  | 0.00                               | 43,995.97                       |
| 57600576 | 14,891.95                          | 500.00                          | 0.00                 | 596.70   | 0.00  | 0.00                               | 15,988.65                       |
| 57600577 | 110,407.39                         | 0.00                            | 0.00                 | 4,341.25   | 0.00  | 0.00                               | 114,748.64                      |
| 57600578 | 96,986.78                          | 5,000.00                        | 0.00                 | 4,055.67   | 0.00  | 5,555.64                           | 111,598.09                      |
| 57600579 | 108,345.81                         | 0.00                            | 0.00                 | 4,260.18   | 0.00  | 0.00                               | 112,605.99                      |
| 57600580 | 2,175,474.61                       | 0.00                            | 0.00                 | 85,540.12  | 0.00  | 0.00                               | 2,261,014.73                    |
| 57600581 | 108,345.81                         | 40,000.00                       | 0.00                 | 4,260.18   | 0.00  | 0.00                               | 152,605.99                      |
| 57600581 | 48,693.52                          | 0.00                            | 0.00                 | 1,914.64   | 0.00  | 0.00                               | 50,608.16                       |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600582 | 171,268.30                         | 20,000.00                       | 0.00                 | 7,476.87   | 0.00  | 21,774.09                          | 220,519.26                      |
| 57600583 | 1,131,208.16                       | 0.00                            | 0.00                 | 44,479.34  | 0.00  | 0.00                               | 1,175,687.50                    |
| 57600584 | 180,267.62                         | 2,500.00                        | 0.00                 | 7,133.05   | 0.00  | 0.00                               | 189,900.67                      |
| 57600585 | 2,175,522.78                       | 0.00                            | 0.00                 | 85,542.02  | 0.00  | 0.00                               | 2,261,064.80                    |
| 57600586 | 86,865.81                          | 10,000.00                       | 0.00                 | 4,087.22   | 0.00  | 10,810.24                          | 111,763.27                      |
| 57600587 | 285,471.87                         | 2,000.00                        | 0.00                 | 11,269.50  | 0.00  | 0.00                               | 298,741.37                      |
| 57600588 | 81,145.44                          | 0.00                            | 0.00                 | 3,190.66   | 0.00  | 0.00                               | 84,336.10                       |
| 57600589 | 1,119,333.93                       | 0.00                            | 0.00                 | 44,012.45  | 0.00  | 0.00                               | 1,163,346.38                    |
| 57600590 | 29,324.22                          | 0.00                            | 0.00                 | 1,153.04   | 0.00  | 0.00                               | 30,477.26                       |
| 57600591 | 112,262.08                         | 0.00                            | 0.00                 | 4,862.25   | 0.00  | 0.00                               | 127,934.57                      |
| 57600592 | 1,714,733.17                       | 0.00                            | 0.00                 | 67,423.67  | 0.00  | 0.00                               | 1,782,156.84                    |
| 57600593 | 1,813,491.10                       | 200,000.00                      | 0.00                 | 84,738.49  | (22.66)   | 216,205.56                         | 2,314,412.49                    |
| 57600594 | 108,362.15                         | 0.00                            | 0.00                 | 4,260.82   | 0.00  | 0.00                               | 112,622.97                      |
| 57600595 | 86,877.89                          | 10,000.00                       | 0.00                 | 3,900.31   | 0.00  | 11,111.21                          | 111,889.41                      |
| 57600596 | 372,679.01                         | 50,150.00                       | 0.00                 | 17,080.28  | 0.00  | 55,556.18                          | 495,465.47                      |
| 57600597 | 172,030.80                         | 10,000.00                       | 0.00                 | 7,248.54   | 0.00  | 11,111.21                          | 200,390.55                      |
| 57600598 | 867,052.94                         | 0.00                            | 0.00                 | 34,092.71  | 0.00  | 0.00                               | 901,145.65                      |
| 57600599 | 27,843.24                          | 1,000.00                        | 0.00                 | 1,177.55   | 0.00  | 2,063.33                           | 32,084.12                       |
| 57600600 | 26,647.16                          | 1,680.00                        | 0.00                 | 1,097.03   | 0.00  | 309.04                             | 29,733.23                       |
| 57600601 | 128,262.22                         | 0.00                            | 0.00                 | 5,043.30   | 0.00  | 0.00                               | 133,305.52                      |
| 57600602 | 1,714,733.17                       | 0.00                            | 0.00                 | 67,423.67  | (1,908.20)  | 0.00                               | 1,782,156.84                    |
| 57600603 | 4,277,469.08                       | 1,000,000.00                    | 0.00                 | 190,504.16   | (2,862.29)  | 0.00                               | 5,466,065.04                    |
| 57600604 | 2,747,579.41                       | 1,500,000.00                    | 0.00                 | 141,505.23   | (477.05)  | 0.00                               | 4,386,222.35                    |
| 57600605 | 1,916,812.90                       | 250,000.00                      | 0.00                 | 80,947.82  | 0.00  | 0.00                               | 2,247,283.67                    |
| 57600606 | 2,183,474.20                       | 0.00                            | 0.00                 | 85,854.66  | 0.00  | 0.00                               | 2,269,328.86                    |
| 57600607 | 2,258,995.16                       | 0.00                            | 0.00                 | 88,824.17  | 0.00  | 0.00                               | 2,347,819.33                    |
| 57600608 | 2,258,995.16                       | 0.00                            | 0.00                 | 88,824.17  | 0.00  | 0.00                               | 2,347,819.33                    |
| 57600609 | 2,278,371.67                       | 0.00                            | 0.00                 | 89,586.05  | 0.00  | 0.00                               | 2,367,957.72                    |
| 57600610 | 2,278,371.67                       | 0.00                            | 0.00                 | 89,586.05  | 0.00  | 0.00                               | 2,367,957.72                    |
| 57600611 | 2,278,371.67                       | 0.00                            | 0.00                 | 89,586.05  | 0.00  | 0.00                               | 2,367,957.72                    |
| 57600612 | 2,278,371.67                       | 0.00                            | 0.00                 | 89,586.05  | 0.00  | 0.00                               | 2,367,957.72                    |
| 57600613 | 1,128,248.68                       | 0.00                            | 0.00                 | 44,362.98  | 0.00  | 0.00                               | 1,172,611.66                    |
| 57600614 | 112,824.87                         | 0.00                            | 0.00                 | 4,436.30   | 0.00  | 0.00                               | 117,261.17                      |
| 57600615 | 397,492.49                         | 20,000.00                       | 0.00                 | 16,598.07  | 0.00  | 22,222.49                          | 456,313.05                      |
| 57600616 | 125,128.02                         | 0.00                            | 0.00                 | 4,920.06   | 0.00  | 0.00                               | 130,048.08                      |
| 57600617 | 5,775,514.12                       | 0.00                            | 0.00                 | 228,491.61   | (863.24)  | 54,178.90                          | 6,057,321.39                    |
| 57600618 | 2,220,838.75                       | 0.00                            | 0.00                 | 87,323.85  | 0.00  | 0.00                               | 2,308,162.60                    |
| 57600619 | 163,804.90                         | 0.00                            | 0.00                 | 6,440.84   | 0.00  | 0.00                               | 170,245.74                      |
| 57600620 | 226,834.19                         | 0.00                            | 0.00                 | 8,919.17   | 0.00  | 0.00                               | 235,753.36                      |
| 57600621 | 976,559.74                         | 45,000.13                       | 0.00                 | 40,577.79  | 0.00  | 50,000.70                          | 1,112,138.36                    |
| 57600622 | 220,822.53                         | 0.00                            | 0.00                 | 8,682.79   | 0.00  | 0.00                               | 229,505.32                      |
| 57600623 | 2,186,222.78                       | 1,000,000.00                    | 0.00                 | 127,786.89   | 0.00  | 0.00                               | 3,314,009.67                    |
| 57600624 | 111,180.20                         | 0.00                            | 0.00                 | 4,371.63   | 0.00  | 0.00                               | 115,551.83                      |
| 57600625 | 444,419.59                         | 50,000.00                       | 0.00                 | 25,344.80  | 0.00  | 52,603.91                          | 572,368.30                      |
| 57600626 | 48,726.98                          | 0.00                            | 0.00                 | 1,915.96   | 0.00  | 0.00                               | 50,642.94                       |
| 57600627 | 2,234,645.61                       | 0.00                            | 0.00                 | 87,866.74  | 0.00  | 0.00                               | 2,322,512.35                    |
| 57600628 | 543,438.53                         | 0.00                            | 0.00                 | 21,368.12  | 0.00  | 0.00                               | 564,806.65                      |
| 57600629 | 321,997.33                         | 5,100.00                        | 0.00                 | 12,847.41  | 0.00  | 1,551.80                           | 341,496.54                      |
| 57600630 | 40,067.66                          | 11,175.21                       | 0.00                 | 2,510.28   | 0.00  | 0.00                               | 53,753.15                       |
| 57600631 | 27,655.23                          | 0.00                            | 0.00                 | 1,087.41   | 0.00  | 0.00                               | 28,742.64                       |
| 57600632 | 547,361.88                         | 0.00                            | 0.00                 | 21,522.38  | 0.00  | 0.00                               | 568,884.26                      |
| 57600633 | 67,187.25                          | 9,484.11                        | 0.00                 | 2,886.43   | 0.00  | 0.00                               | 79,557.79                       |
| 57600634 | 2,214,020.13                       | 0.00                            | 0.00                 | 87,055.74  | 0.00  | 0.00                               | 2,301,075.87                    |
| 57600635 | 114,045.80                         | 6,000.00                        | 0.00                 | 4,686.63   | 0.00  | 0.00                               | 124,732.43                      |

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600639 | 56,716.58                          | 6,000.00                        | 0.00                 | 2,432.43   | 0.00  | 0.00                               | 65,149.01                       |
| 57600640 | 54,665.99                          | 2,000.00                        | 0.00                 | 2,216.95   | 0.00  | 0.00                               | 58,882.94                       |
| 57600641 | 539,053.96                         | 0.00                            | 0.00                 | 21,195.71  | 0.00  | 0.00                               | 560,249.67                      |
| 57600642 | 109,332.07                         | 0.00                            | 0.00                 | 4,298.96   | 0.00  | 0.00                               | 113,631.03                      |
| 57600643 | 780,087.68                         | 254,149.90                      | 0.00                 | 36,344.15  | (484.96)  | 0.00                               | 1,070,096.77                    |
| 57600644 | 126,900.87                         | 20,000.00                       | 0.00                 | 5,958.36   | 0.00  | 22,222.49                          | 175,081.72                      |
| 57600645 | 424,100.96                         | 0.00                            | 0.00                 | 16,675.75  | 0.00  | 0.00                               | 440,776.71                      |
| 57600646 | 1,083,436.77                       | 0.00                            | 0.00                 | 42,600.96  | 0.00  | 0.00                               | 1,126,037.73                    |
| 57600647 | 227,635.78                         | 33,566.61                       | 0.00                 | 10,423.94  | 0.00  | 22,222.49                          | 293,848.82                      |
| 57600648 | 64,194.39                          | 20,000.00                       | 0.00                 | 3,867.33   | 0.00  | 21,620.56                          | 109,682.28                      |
| 57600649 | 211,826.92                         | 0.00                            | 0.00                 | 8,329.08   | 0.00  | 0.00                               | 220,156.00                      |
| 57600650 | 92,944.79                          | 7,000.00                        | 0.00                 | 4,192.47   | 0.00  | 8,720.60                           | 112,857.86                      |
| 57600651 | 324,841.78                         | 80,000.00                       | 0.00                 | 15,470.44  | 0.00  | 0.00                               | 420,312.22                      |
| 57600652 | 552,030.46                         | 0.00                            | 0.00                 | 21,705.96  | 0.00  | 0.00                               | 573,736.42                      |
| 57600653 | 1,170,740.17                       | 125,000.00                      | 0.00                 | 52,087.24  | 0.00  | 138,890.50                         | 1,486,717.91                    |
| 57600654 | 87,952.67                          | 10,000.00                       | 0.00                 | 5,032.35   | 0.00  | 10,520.75                          | 113,505.77                      |
| 57600655 | 423,653.85                         | 0.00                            | 0.00                 | 16,658.15  | 0.00  | 0.00                               | 440,312.00                      |
| 57600656 | 15,923.65                          | 5,000.00                        | 0.00                 | 826.11   | 0.00  | 869.19                             | 22,618.95                       |
| 57600657 | 32,753.38                          | 10,000.00                       | 0.00                 | 1,579.06   | 0.00  | 1,899.56                           | 46,232.00                       |
| 57600658 | 182,730.33                         | 20,000.00                       | 0.00                 | 8,153.57   | 0.00  | 22,222.42                          | 233,106.32                      |
| 57600659 | 106,214.44                         | 0.00                            | 0.00                 | 4,176.37   | 0.00  | 0.00                               | 110,390.81                      |
| 57600660 | 78,224.26                          | 9,166.74                        | 0.00                 | 3,860.55   | 0.00  | 11,742.42                          | 102,993.97                      |
| 57600661 | 269,721.38                         | 0.00                            | 0.00                 | 10,605.50  | 0.00  | 0.00                               | 280,326.88                      |
| 57600662 | 533,318.88                         | 0.00                            | 0.00                 | 20,970.20  | 0.00  | 0.00                               | 554,289.08                      |
| 57600663 | 52,769.84                          | 0.00                            | 0.00                 | 2,074.92   | 0.00  | 0.00                               | 54,844.76                       |
| 57600664 | 158,147.73                         | 25,950.00                       | 0.00                 | 7,458.28   | 0.00  | 27,778.13                          | 219,334.14                      |
| 57600665 | 16,165.85                          | 5,000.00                        | 0.00                 | 1,080.43   | 0.00  | 819.09                             | 23,065.37                       |
| 57600666 | 2,133,561.52                       | 0.00                            | 0.00                 | 83,892.09  | 0.00  | 2,217,453.61                       | 2,217,453.61                    |
| 57600667 | 106,931.86                         | 0.00                            | 0.00                 | 4,204.59   | 0.00  | 0.00                               | 111,136.45                      |
| 57600668 | 421,740.27                         | 450,000.00                      | 0.00                 | 38,375.52  | 0.00  | 500,005.74                         | 1,410,121.53                    |
| 57600669 | 316,582.61                         | 50,000.00                       | 0.00                 | 14,304.47  | 0.00  | 54,435.19                          | 435,322.27                      |
| 57600670 | 42,366.79                          | 0.00                            | 0.00                 | 1,665.88   | 0.00  | 0.00                               | 44,032.67                       |
| 57600671 | 34,606.29                          | 0.00                            | 0.00                 | 1,360.73   | 0.00  | 0.00                               | 35,967.02                       |
| 57600672 | 194,648.02                         | 5,000.00                        | 0.00                 | 7,839.23   | 0.00  | 5,443.54                           | 212,930.79                      |
| 57600673 | 84,536.86                          | 20,000.00                       | 0.00                 | 4,292.60   | 0.00  | 22,222.49                          | 131,051.95                      |
| 57600674 | 30,182.61                          | 400.00                          | 0.00                 | 1,200.29   | 0.00  | 0.00                               | 31,782.90                       |
| 57600675 | 707,744.07                         | 0.00                            | 0.00                 | 27,828.64  | 0.00  | 0.00                               | 735,572.71                      |
| 57600676 | 157,200.82                         | 20,000.00                       | 0.00                 | 6,181.17   | 0.00  | 21,842.97                          | 130,709.52                      |
| 57600677 | 210,797.99                         | 0.00                            | 0.00                 | 8,288.62   | 0.00  | 0.00                               | 163,381.99                      |
| 57600678 | 104,956.40                         | 24,445.19                       | 0.00                 | 6,449.46   | (977.81)  | 27,161.64                          | 130,709.52                      |
| 57600679 | 124,636.86                         | 12,000.00                       | 0.00                 | 5,571.28   | 0.00  | 15,348.96                          | 152,557.10                      |
| 57600680 | 209,181.36                         | 0.00                            | 0.00                 | 8,225.05   | 0.00  | 0.00                               | 217,406.41                      |
| 57600681 | 25,681.78                          | 0.00                            | 0.00                 | 1,009.82   | 0.00  | 0.00                               | 26,691.60                       |
| 57600682 | 93,768.16                          | 20,000.00                       | 0.00                 | 5,237.51   | 0.00  | 21,664.75                          | 140,670.42                      |
| 57600683 | 209,427.26                         | 50,000.00                       | 0.00                 | 10,656.16  | 0.00  | 55,556.18                          | 325,639.60                      |
| 57600684 | 44,780.53                          | 0.00                            | 0.00                 | 1,760.80   | 0.00  | 0.00                               | 46,541.33                       |
| 57600685 | 124,753.17                         | 0.00                            | 0.00                 | 4,905.31   | 0.00  | 0.00                               | 129,658.48                      |
| 57600686 | 220,520.80                         | 50,000.00                       | 0.00                 | 10,581.03  | 0.00  | 58,100.18                          | 339,202.01                      |
| 57600687 | 91,455.26                          | 40,608.00                       | 0.00                 | 6,846.91   | 0.00  | 21,620.56                          | 160,530.73                      |
| 57600688 | 522,104.45                         | 0.00                            | 0.00                 | 20,529.26  | 0.00  | 0.00                               | 542,633.71                      |
| 57600689 | 1,067,847.95                       | 0.00                            | 0.00                 | 41,987.97  | 0.00  | 0.00                               | 1,109,835.92                    |
| 57600690 | 523,408.88                         | 0.00                            | 0.00                 | 20,580.55  | 0.00  | 0.00                               | 543,989.43                      |

The University of Texas Health Science Center at Houston  
Schedule B-6a, Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600699 | 842,263.86                         | 200,000.00                      | 0.00                 | 42,803.62  | 0.00  | 222,224.82                         | 1,307,292.30                    |
| 57600700 | 519,691.93                         | 0.00                            | 0.00                 | 20,434.40  | 0.00  | 0.00                               | 540,126.33                      |
| 57600701 | 655,190.31                         | 187,500.00                      | 0.00                 | 34,842.50  | 0.00  | 208,335.75                         | 1,085,868.56                    |
| 57600702 | 262,356.11                         | 0.00                            | 0.00                 | 10,315.90  | 0.00  | 272,672.01                         | 310,678.64                      |
| 57600703 | 220,426.91                         | 46,250.00                       | 0.00                 | 10,688.03  | 0.00  | 33,333.70                          | 36,213.58                       |
| 57600704 | 34,843.53                          | 0.00                            | 0.00                 | 1,370.05   | 0.00  | 0.00                               | 258,668.31                      |
| 57600705 | 248,882.21                         | 0.00                            | 0.00                 | 9,786.10   | 0.00  | 0.00                               | 1,199,862.23                    |
| 57600706 | 438,790.49                         | 600,000.00                      | 0.00                 | 23,069.91  | 0.00  | 1,38,001.83                        | 1,143,539.57                    |
| 57600707 | 207,876.77                         | 100,000.00                      | 0.00                 | 11,545.70  | 0.00  | 0.00                               | 431,612.69                      |
| 57600708 | 1,051,136.43                       | 0.00                            | 0.00                 | 43,053.21  | 0.00  | 49,349.93                          | 2,151,300.25                    |
| 57600709 | 415,283.65                         | 0.00                            | 0.00                 | 16,329.04  | 0.00  | 0.00                               | 2,252,100.01                    |
| 57600710 | 2,069,910.94                       | 0.00                            | 0.00                 | 81,389.31  | 0.00  | 0.00                               | 2,175,957.46                    |
| 57600711 | 2,142,578.72                       | 0.00                            | 0.00                 | 84,799.33  | 0.00  | 24,721.96                          | 541,671.54                      |
| 57600712 | 2,093,635.28                       | 0.00                            | 0.00                 | 82,322.18  | 0.00  | 0.00                               | 16,807.15                       |
| 57600713 | 521,178.68                         | 0.00                            | 0.00                 | 20,492.86  | 0.00  | 0.00                               | 33,323.68                       |
| 57600714 | 10,581.46                          | 5,000.00                        | 0.00                 | 607.31   | 0.00  | 618.38                             | 2,683,524.42                    |
| 57600715 | 29,319.78                          | 2,700.00                        | 0.00                 | 1,303.90   | 0.00  | 0.00                               | 525,062.58                      |
| 57600716 | 2,581,999.65                       | 0.00                            | 0.00                 | 101,524.77   | 0.00  | 0.00                               | 2,070,143.91                    |
| 57600717 | 505,198.08                         | 0.00                            | 0.00                 | 19,864.50  | 0.00  | 0.00                               | 131,581.13                      |
| 57600718 | 12,989.98                          | 5,000.00                        | 0.00                 | 78,318.98  | 0.00  | 730.63                             | 271,546.83                      |
| 57600719 | 1,991,824.93                       | 20,000.00                       | 0.00                 | 800.48   | 0.00  | 0.00                               | 78,392.82                       |
| 57600720 | 85,046.03                          | 0.00                            | 0.00                 | 4,312.61   | 0.00  | 22,222.49                          | 30,747.08                       |
| 57600721 | 261,273.48                         | 0.00                            | 0.00                 | 10,273.35  | 0.00  | 0.00                               | 32,535.37                       |
| 57600722 | 75,427.01                          | 0.00                            | 0.00                 | 2,965.81   | 0.00  | 0.00                               | 43,145.27                       |
| 57600723 | 29,583.84                          | 0.00                            | 0.00                 | 1,163.24   | 0.00  | 0.00                               | 532,654.39                      |
| 57600724 | 15,577.70                          | 15,000.00                       | 0.00                 | 930.49   | 0.00  | 1,027.18                           | 65,513.34                       |
| 57600725 | 41,512.98                          | 0.00                            | 0.00                 | 1,632.29   | 0.00  | 0.00                               | 11,111.21                       |
| 57600726 | 416,285.93                         | 100,000.00                      | 0.00                 | 16,368.46  | 0.00  | 0.00                               | 65,513.34                       |
| 57600727 | 42,256.35                          | 10,000.00                       | 0.00                 | 2,145.78   | 0.00  | 0.00                               | 11,111.21                       |
| 57600728 | 42,256.35                          | 10,000.00                       | 0.00                 | 2,145.78   | 0.00  | 0.00                               | 162,542.57                      |
| 57600729 | 104,446.85                         | 25,000.00                       | 0.00                 | 5,317.59   | 0.00  | 27,778.13                          | 46,553.00                       |
| 57600730 | 39,696.37                          | 5,180.00                        | 0.00                 | 1,676.63   | 0.00  | 0.00                               | 215,851.58                      |
| 57600731 | 104,603.84                         | 50,000.00                       | 0.00                 | 6,251.98   | 0.00  | 54,995.76                          | 25,785.77                       |
| 57600732 | 24,810.23                          | 0.00                            | 0.00                 | 975.54   | 0.00  | 0.00                               | 51,696.38                       |
| 57600733 | 38,763.98                          | 11,000.00                       | 0.00                 | 1,932.40   | 0.00  | 0.00                               | 51,696.38                       |
| 57600734 | 569,408.51                         | 78,625.00                       | 0.00                 | 27,288.73  | 0.00  | 82,213.32                          | 757,535.56                      |
| 57600735 | 35,910.74                          | 24,935.63                       | 0.00                 | 1,882.52   | 0.00  | 11,112.45                          | 431,681.50                      |
| 57600736 | 5,454.75                           | 0.00                            | 0.00                 | 223.37   | 0.00  | 2,236.62                           | 64,965.51                       |
| 57600737 | 556,418.52                         | 30,375.00                       | 0.00                 | 23,794.58  | 0.00  | 35,462.65                          | 5,934.21                        |
| 57600738 | 1,006,634.58                       | 0.00                            | 0.00                 | 39,581.12  | 0.00  | 0.00                               | 646,050.75                      |
| 57600739 | 103,775.66                         | 0.00                            | 0.00                 | 4,080.48   | 0.00  | 0.00                               | 1,046,215.70                    |
| 57600740 | 51,263.19                          | 0.00                            | 0.00                 | 2,015.68   | 0.00  | 0.00                               | 107,856.14                      |
| 57600741 | 1,114,720.65                       | 0.00                            | 0.00                 | 43,831.05  | 0.00  | 0.00                               | 53,278.87                       |
| 57600742 | 210,977.67                         | 0.00                            | 0.00                 | 8,295.69   | 0.00  | 0.00                               | 1,158,551.70                    |
| 57600743 | 8,384.91                           | 11,000.00                       | 0.00                 | 635.82   | 0.00  | 551.61                             | 20,572.34                       |
| 57600744 | 1,025,263.64                       | 0.00                            | 0.00                 | 40,313.58  | 0.00  | 0.00                               | 1,065,577.22                    |
| 57600745 | 10,245.23                          | 15,000.00                       | 0.00                 | 740.95   | 0.00  | 123.51                             | 26,109.69                       |
| 57600746 | 102,526.38                         | 0.00                            | 0.00                 | 4,031.36   | 0.00  | 0.00                               | 106,557.74                      |
| 57600747 | 10,849.88                          | 0.00                            | 0.00                 | 444.45   | 0.00  | 509.38                             | 11,803.71                       |
| 57600748 | 2,110,681.14                       | 1,021,159.95                    | 0.00                 | 130,714.62   | 2,680.18  | 1,101,720.64                       | 4,366,956.53                    |
| 57600749 | 50,850.14                          | 0.00                            | 0.00                 | 1,999.44   | 0.00  | 0.00                               | 52,849.58                       |
| 57600750 | 254,250.72                         | 0.00                            | 0.00                 | 9,997.19   | 0.00  | 0.00                               | 284,247.91                      |
| 57600751 |                                    |                                 |                      |  |   |                                    |                                 |
| 57600752 |                                    |                                 |                      |  |   |                                    |                                 |
| 57600753 |                                    |                                 |                      |  |   |                                    |                                 |
| 57600754 |                                    |                                 |                      |  |   |                                    |                                 |

The University of Texas Health Sciences Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2020

|                                   | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|-----------------------------------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600755                          | 25,425.03                          | 18,600.00                       | 0.00                 | 0.00   | 0.00  | 6,400.00                           | 53,016.61                       |
| 57600756                          | 101,700.29                         | 0.00                            | 0.00                 | 2,591.58   | 0.00  | 0.00                               | 105,699.17                      |
| 57600757                          | 39,246.14                          | 1,750.00                        | 0.00                 | 1,564.12   | 0.00  | 0.00                               | 42,560.26                       |
| 57600758                          | 34,025.52                          | 1,700.00                        | 0.00                 | 1,392.52   | 0.00  | 0.00                               | 37,118.04                       |
| 57600763                          | 5,009.85                           | 5,000.00                        | 0.00                 | 205.39   | 0.00  | 244.39                             | 10,459.63                       |
| 57600761                          | 1,041,619.52                       | 0.00                            | 0.00                 | 40,956.66  | 0.00  | 0.00                               | 1,082,576.18                    |
| 57600762                          | 1,041,619.52                       | 0.00                            | 0.00                 | 40,956.66  | 0.00  | 0.00                               | 1,082,576.18                    |
| 57600763                          | 1,041,619.52                       | 0.00                            | 0.00                 | 40,956.66  | 0.00  | 0.00                               | 1,082,576.18                    |
| 57600764                          | 2,541,619.52                       | 0.00                            | 0.00                 | 99,937.04  | 0.00  | 0.00                               | 2,641,556.56                    |
| 57600765                          | 35,000.00                          | 0.00                            | 0.00                 | 1,376.18   | 0.00  | 0.00                               | 36,376.18                       |
| 57600766                          | 88,625.00                          | 11,325.00                       | 0.00                 | 3,794.80   | 0.00  | 0.00                               | 103,744.80                      |
| 57600767                          | 500,000.00                         | 0.00                            | 0.00                 | 19,660.07  | 0.00  | 0.00                               | 519,660.07                      |
| 57600768                          | 500,000.00                         | 0.00                            | 0.00                 | 19,660.07  | 0.00  | 0.00                               | 519,660.07                      |
| 57600769                          | 250,000.00                         | 0.00                            | 0.00                 | 21,031.20  | 0.00  | 270,256.93                         | 541,288.13                      |
| 57600770                          | 2,083,239.11                       | 0.00                            | 0.00                 | 126,718.09   | 0.00  | 1,081,027.63                       | 3,290,984.83                    |
| 57600772                          | 0.00                               | 500,000.00                      | 0.00                 | 11,859.56  | (954.10)  | 18,288.69                          | 529,194.15                      |
| 57600773                          | 0.00                               | 500,000.00                      | 0.00                 | 11,859.56  | (954.10)  | 18,288.69                          | 529,194.15                      |
| 57600774                          | 0.00                               | 500,000.00                      | 0.00                 | 11,859.56  | (954.10)  | 18,288.69                          | 529,194.15                      |
| 57600775                          | 0.00                               | 500,000.00                      | 0.00                 | 11,859.56  | (954.10)  | 18,288.69                          | 529,194.15                      |
| 57600776                          | 0.00                               | 30,000.00                       | 0.00                 | 1,291.98   | 0.00  | 33,307.37                          | 64,599.35                       |
| 57600777                          | 0.00                               | 20,000.00                       | 0.00                 | 237.18   | 0.00  | 348.45                             | 20,585.63                       |
| 57600778                          | 0.00                               | 25,000.00                       | 0.00                 | 3,886.88   | 0.00  | 134,884.42                         | 163,771.30                      |
| 57600779                          | 0.00                               | 100,000.00                      | 0.00                 | 5,097.65   | 0.00  | 117,354.58                         | 222,452.23                      |
| 57600780                          | 0.00                               | 5,000.00                        | 0.00                 | 173.86   | 0.00  | 121.60                             | 5,295.46                        |
| 57600781                          | 0.00                               | 200,000.00                      | 0.00                 | 14,169.62  | 0.00  | 217,740.83                         | 431,910.45                      |
| 57600782                          | 0.00                               | 5,000.00                        | 0.00                 | 173.43   | 0.00  | 116.49                             | 5,289.92                        |
| 57600783                          | 0.00                               | 500,000.00                      | 0.00                 | 25,488.23  | 0.00  | 586,772.68                         | 1,112,260.91                    |
| 57600784                          | 0.00                               | 25,000.00                       | 0.00                 | 1,274.40   | 0.00  | 29,338.66                          | 55,613.06                       |
| 57600785                          | 0.00                               | 25,000.00                       | 0.00                 | 843.00   | 0.00  | 0.00                               | 25,843.00                       |
| 57600786                          | 0.00                               | 200,000.00                      | 0.00                 | 10,177.52  | 0.00  | 234,478.95                         | 444,656.47                      |
| 57600787                          | 0.00                               | 10,000.00                       | 0.00                 | 337.17   | 0.00  | 0.00                               | 10,337.17                       |
| 57600788                          | 0.00                               | 10,000.00                       | 0.00                 | 337.17   | 0.00  | 0.00                               | 10,337.17                       |
| 57600789                          | 0.00                               | 20,000.00                       | 0.00                 | 1,011.59   | 0.00  | 10,000.00                          | 31,011.59                       |
| 57600790                          | 0.00                               | 101,168.51                      | 0.00                 | 4,855.01   | (1,316.98)  | 112,410.73                         | 217,117.27                      |
| 57600791                          | 0.00                               | 20,300.00                       | 0.00                 | 1,672.98   | 0.00  | 243.10                             | 22,216.08                       |
| 57600793                          | 0.00                               | 15,000.00                       | 0.00                 | 1,770.10   | 0.00  | 16,399.76                          | 33,169.86                       |
| 57600794                          | 0.00                               | 25,000.00                       | 0.00                 | 2,091.18   | 0.00  | 0.00                               | 27,091.18                       |
| 57600795                          | 0.00                               | 30,000.00                       | 0.00                 | 0.00   | 0.00  | 0.00                               | 30,000.00                       |
| 57600796                          | 0.00                               | 25,195.00                       | 0.00                 | 0.00   | 0.00  | 0.00                               | 25,195.00                       |
| 57600797                          | 0.00                               | 127,338.50                      | 0.00                 | 4,727.86   | (3,342.33)  | 138,633.98                         | 267,358.01                      |
| 57600798                          | 0.00                               | 600,000.00                      | 0.00                 | 0.00   | 0.00  | 0.00                               | 600,000.00                      |
| 57600799                          | 0.00                               | 15,000.01                       | 0.00                 | 0.00   | 0.00  | 6,666.68                           | 21,666.69                       |
| 57600800                          | 0.00                               | 666,666.67                      | 0.00                 | 24,752.19  | 0.00  | 725,802.73                         | 1,417,221.59                    |
| 57600801                          | 0.00                               | 333,333.33                      | 0.00                 | 12,376.10  | 0.00  | 362,901.36                         | 708,610.79                      |
| <b>TOTAL TRUE ENDOWMENT FUNDS</b> | <b>326,011,415.29</b>              | <b>17,207,644.13</b>            | <b>0.00</b>          | <b>14,270,814.72</b>                                       | <b>(3,423.22)</b>                                   | <b>7,882,792.67</b>                | <b>385,365,243.59</b>           |

Funds Functioning as Endowments - Restricted

|          |            |      |      |           |      |      |            |
|----------|------------|------|------|-----------|------|------|------------|
| 57600001 |            |      |      |           |      |      |            |
| 57600012 | 101,094.16 | 0.00 | 0.00 | 3,975.04  | 0.00 | 0.00 | 105,069.20 |
| 57600025 | 424,427.04 | 0.00 | 0.00 | 16,688.56 | 0.00 | 0.00 | 441,115.60 |
| 57600036 | 404,975.17 | 0.00 | 0.00 | 15,923.71 | 0.00 | 0.00 | 420,898.88 |
|          | 618,751.61 | 0.00 | 0.00 | 24,329.44 | 0.00 | 0.00 | 643,081.05 |



The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|          | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|----------|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600038 | 348,779.83                         | 0.00                            | 0.00                 | 13,714.10  | 0.00  | 0.00                               | 362,493.93                      |
| 57600058 | 672,261.57                         | 0.00                            | 0.00                 | 26,433.47  | 0.00  | 0.00                               | 698,695.04                      |
| 57600060 | 387,036.70                         | 0.00                            | 0.00                 | 15,218.36  | 0.00  | 0.00                               | 402,255.06                      |
| 57600072 | 33,220.08                          | 425.00                          | 0.00                 | 1,316.30   | 0.00  | 0.00                               | 34,961.38                       |
| 57600075 | 307,796.89                         | 0.00                            | 0.00                 | 12,102.62  | 0.00  | 0.00                               | 319,899.51                      |
| 57600110 | 23,526.09                          | 0.00                            | 0.00                 | 925.05   | 0.00  | 0.00                               | 24,451.14                       |
| 57600111 | 23,500.92                          | 0.00                            | 0.00                 | 924.06   | 0.00  | 0.00                               | 24,424.98                       |
| 57600114 | 296,873.96                         | 0.00                            | 0.00                 | 11,673.14  | 0.00  | 0.00                               | 308,547.10                      |
| 57600121 | 1,045,160.15                       | 0.00                            | 0.00                 | 41,095.92  | 0.00  | 0.00                               | 1,086,256.07                    |
| 57600123 | 852,380.03                         | 0.00                            | 0.00                 | 33,515.76  | 0.00  | 0.00                               | 885,895.79                      |
| 57600133 | 243,889.11                         | 0.00                            | 0.00                 | 9,589.77   | 0.00  | 0.00                               | 253,478.88                      |
| 57600144 | 202,756.81                         | 0.00                            | 0.00                 | 7,972.44   | 0.00  | 0.00                               | 210,729.25                      |
| 57600178 | 162,335.65                         | 0.00                            | 0.00                 | 6,383.03   | 0.00  | 0.00                               | 168,718.68                      |
| 57600179 | 92,967.93                          | 0.00                            | 0.00                 | 3,655.52   | 0.00  | 0.00                               | 96,623.45                       |
| 57600187 | 29,284.64                          | 0.00                            | 0.00                 | 1,151.48   | 0.00  | 0.00                               | 30,436.12                       |
| 57600207 | 143,848.05                         | 0.00                            | 0.00                 | 5,656.14   | 0.00  | 0.00                               | 149,504.19                      |
| 57600209 | 11,547,517.21                      | 0.00                            | 0.00                 | 1,878,108.07   | 54.97   | 20.83                              | 13,425,701.08                   |
| 57600237 | 45,622.29                          | 0.00                            | 0.00                 | 1,793.88   | 0.00  | 0.00                               | 47,416.17                       |
| 57600264 | 521,358.91                         | 0.00                            | 0.00                 | 20,499.94  | 0.00  | 0.00                               | 541,858.85                      |
| 57600346 | 17,268.84                          | 0.00                            | 0.00                 | 679.01   | 0.00  | 0.00                               | 17,947.85                       |
| 57600355 | 118,112.13                         | 0.00                            | 0.00                 | 4,644.19   | 0.00  | 0.00                               | 122,756.32                      |
| 57600360 | 138,217.45                         | 0.00                            | 0.00                 | 5,434.74   | 0.00  | 0.00                               | 143,652.19                      |
| 57600369 | 225,952.34                         | 0.00                            | 0.00                 | 8,884.49   | 0.00  | 0.00                               | 234,836.83                      |
| 57600380 | 19,685.69                          | 800.00                          | 0.00                 | 795.35   | 0.00  | 0.00                               | 21,281.04                       |
| 57600382 | 103,461.37                         | 0.00                            | 0.00                 | 4,068.12   | 0.00  | 0.00                               | 107,529.49                      |
| 57600399 | 16,827.83                          | 0.00                            | 0.00                 | 661.67   | 0.00  | 0.00                               | 17,489.50                       |
| 57600401 | 44,833.45                          | 0.00                            | 0.00                 | 1,762.86   | 0.00  | 0.00                               | 46,596.31                       |
| 57600402 | 326,803.76                         | 0.00                            | 0.00                 | 12,850.00  | 0.00  | 0.00                               | 339,653.76                      |
| 57600408 | 127,424.05                         | 0.00                            | 0.00                 | 5,010.34   | 0.00  | 0.00                               | 132,434.39                      |
| 57600411 | 66,575.54                          | 50.00                           | 0.00                 | 2,619.44   | 0.00  | 0.00                               | 69,244.98                       |
| 57600417 | 36,602.98                          | 0.00                            | 0.00                 | 1,439.23   | 0.00  | 0.00                               | 38,042.21                       |
| 57600425 | 25,175.25                          | 1,000.00                        | 0.00                 | 1,023.63   | 0.00  | 0.00                               | 27,198.88                       |
| 57600429 | 15,323.50                          | 0.00                            | 0.00                 | 602.53   | 0.00  | 0.00                               | 15,926.03                       |
| 57600431 | 118,065.58                         | 0.00                            | 0.00                 | 4,642.37   | 0.00  | 0.00                               | 122,707.95                      |
| 57600433 | 123,323.72                         | 0.00                            | 0.00                 | 4,916.06   | 0.00  | 800.00                             | 129,039.78                      |
| 57600434 | 272,053.35                         | 0.00                            | 0.00                 | 10,697.19  | 0.00  | 0.00                               | 282,750.54                      |
| 57600435 | 855,145.95                         | 0.00                            | 0.00                 | 33,624.52  | 0.00  | 0.00                               | 888,770.47                      |
| 57600440 | 12,847.71                          | 0.00                            | 0.00                 | 505.17   | 0.00  | 0.00                               | 13,352.88                       |
| 57600443 | 12,857.16                          | 0.00                            | 0.00                 | 505.54   | 0.00  | 0.00                               | 13,362.70                       |
| 57600446 | 892,614.60                         | 0.00                            | 0.00                 | 35,097.79  | 0.00  | 0.00                               | 927,712.39                      |
| 57600447 | 69,870.76                          | 2,000.00                        | 0.00                 | 2,814.80   | 0.00  | 0.00                               | 74,685.56                       |
| 57600449 | 69,506.67                          | 0.00                            | 0.00                 | 2,733.01   | 0.00  | 0.00                               | 72,239.68                       |
| 57600450 | 69,506.67                          | 0.00                            | 0.00                 | 2,733.01   | 0.00  | 0.00                               | 72,239.68                       |
| 57600451 | 70,591.44                          | 0.00                            | 0.00                 | 2,775.68   | 0.00  | 0.00                               | 73,367.12                       |
| 57600453 | 12,836.55                          | 0.00                            | 0.00                 | 504.74   | 0.00  | 0.00                               | 13,341.29                       |
| 57600461 | 14,473.56                          | 1,900.00                        | 0.00                 | 633.13   | 0.00  | 0.00                               | 17,006.69                       |
| 57600462 | 114,470.00                         | 500.00                          | 0.00                 | 4,829.55   | 0.00  | 3,794.42                           | 123,593.97                      |
| 57600466 | 29,087.61                          | 0.00                            | 0.00                 | 1,143.73   | 0.00  | 0.00                               | 30,231.34                       |
| 57600467 | 116,350.20                         | 0.00                            | 0.00                 | 4,574.91   | 0.00  | 0.00                               | 120,925.11                      |
| 57600478 | 34,234.14                          | 0.00                            | 0.00                 | 1,346.10   | 0.00  | 0.00                               | 35,580.24                       |
| 57600486 | 18,967.64                          | 0.00                            | 0.00                 | 745.82   | 0.00  | 0.00                               | 19,713.46                       |
| 57600491 | 14,264.36                          | 0.00                            | 0.00                 | 560.88   | 0.00  | 0.00                               | 14,825.24                       |
| 57600495 | 58,605.77                          | 0.00                            | 0.00                 | 2,304.39   | 0.00  | 0.00                               | 60,910.16                       |

The University of Texas Health Science Center at Houston  
Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
As of August 31, 2020

|   | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|---|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600505  | 113,336.59                         | 0.00                            | 0.00                 | 4,456.41   | 0.00  | 0.00                               | 117,793.00                      |
| 57600510  | 74,953.32                          | 0.00                            | 0.00                 | 2,947.18   | 0.00  | 0.00                               | 77,900.50                       |
| 57600515  | 28,667.59                          | 225.00                          | 0.00                 | 1,127.22   | 0.00  | 0.00                               | 30,019.81                       |
| 57600517  | 45,808.87                          | 0.00                            | 0.00                 | 1,801.21   | 0.00  | 0.00                               | 47,610.08                       |
| 57600531  | 29,312.60                          | 0.00                            | 0.00                 | 1,152.58   | 0.00  | 0.00                               | 30,465.18                       |
| 57600537  | 14,354.20                          | 0.00                            | 0.00                 | 564.41   | 0.00  | 0.00                               | 14,918.61                       |
| 57600538  | 116,984.14                         | 0.00                            | 0.00                 | 4,599.84   | 0.00  | 0.00                               | 121,583.98                      |
| 57600543  | 104,463.90                         | 15,000.00                       | 0.00                 | 4,107.53   | 0.00  | 0.00                               | 123,571.43                      |
| 57600561  | 2,653,110.25                       | 0.00                            | 0.00                 | 104,320.85   | 0.00  | 0.00                               | 2,757,431.10                    |
| 57600566  | 20,978.66                          | 0.00                            | 0.00                 | 824.88   | 0.00  | 0.00                               | 21,803.54                       |
| 57600568  | 110,462.23                         | 0.00                            | 0.00                 | 4,343.40   | 0.00  | 0.00                               | 114,805.63                      |
| 57600602  | 117,296.88                         | 0.00                            | 0.00                 | 4,612.14   | 0.00  | 0.00                               | 121,909.02                      |
| 57600624  | 938,187.16                         | 0.00                            | 0.00                 | 36,889.72  | 0.00  | 0.00                               | 975,076.88                      |
| 57600660  | 1,541,888.60                       | 0.00                            | 0.00                 | 60,627.38  | 0.00  | 0.00                               | 1,602,515.98                    |
| 57600674  | 26,338.02                          | 60.00                           | 0.00                 | 1,037.68   | 0.00  | 0.00                               | 27,435.70                       |
| 57600750  | 645,916.11                         | 0.00                            | 0.00                 | (15,916.11)  | 41,313.67   | (671,313.67)                       | 0.00                            |
| 57600759  | 33,761.23                          | 2,600.00                        | 0.00                 | 1,415.19   | 0.00  | 0.00                               | 37,776.42                       |
| <b>TOTAL FUNDS FUNCTIONING AS ENDOWMENTS - RESTRICTED</b> | <b>29,407,122.77</b>               | <b>24,560.00</b>                | <b>0.00</b>          | <b>2,559,721.30</b>  | <b>41,368.64</b>                                    | <b>(666,698.42)</b>                | <b>31,346,074.29</b>            |

**Funds Functioning as Endowments - Unrestricted**

|          |              |           |      |            |      |           |              |
|----------|--------------|-----------|------|------------|------|-----------|--------------|
| 57600004 | 528,698.77   | 0.00      | 0.00 | 20,788.55  | 0.00 | 0.00      | 549,487.32   |
| 57600014 | 470,461.75   | 0.00      | 0.00 | 18,498.66  | 0.00 | 0.00      | 488,960.41   |
| 57600016 | 102,684.69   | 0.00      | 0.00 | 4,037.58   | 0.00 | 0.00      | 106,722.27   |
| 57600017 | 1,522,945.43 | 0.00      | 0.00 | 59,882.53  | 0.00 | 0.00      | 1,582,827.96 |
| 57600019 | 300,674.39   | 0.00      | 0.00 | 11,822.58  | 0.00 | 0.00      | 312,496.97   |
| 57600024 | 323,302.60   | 0.00      | 0.00 | 12,712.32  | 0.00 | 0.00      | 336,014.92   |
| 57600056 | 1,912,965.53 | 0.00      | 0.00 | 75,218.21  | 0.00 | 0.00      | 1,988,183.74 |
| 57600099 | 2,892,357.18 | 0.00      | 0.00 | 113,728.10 | 0.00 | 0.00      | 3,006,085.28 |
| 57600100 | 2,445,952.60 | 0.00      | 0.00 | 96,175.38  | 0.00 | 0.00      | 2,542,127.98 |
| 57600125 | 205,509.25   | 0.00      | 0.00 | 8,080.67   | 0.00 | 0.00      | 213,589.92   |
| 57600142 | 20,142.03    | 0.00      | 0.00 | 791.99     | 0.00 | 0.00      | 20,934.02    |
| 57600147 | 772,674.16   | 0.00      | 0.00 | 30,381.71  | 0.00 | 0.00      | 803,055.87   |
| 57600157 | 41,398.19    | 0.00      | 0.00 | 1,627.79   | 0.00 | 0.00      | 43,025.98    |
| 57600158 | 1,623,774.32 | 0.00      | 0.00 | 63,847.14  | 0.00 | 0.00      | 1,687,621.46 |
| 57600168 | 16,424.47    | 0.00      | 0.00 | 645.81     | 0.00 | 0.00      | 17,070.28    |
| 57600171 | 1,243,659.95 | 66.67     | 0.00 | 48,906.58  | 0.00 | 0.00      | 1,292,633.20 |
| 57600172 | 16,544.05    | 0.00      | 0.00 | 650.52     | 0.00 | 0.00      | 17,194.57    |
| 57600188 | 215,307.41   | 0.00      | 0.00 | 8,465.93   | 0.00 | 0.00      | 223,773.34   |
| 57600204 | 320,148.10   | 0.00      | 0.00 | 12,588.29  | 0.00 | 0.00      | 332,736.39   |
| 57600214 | 348,575.67   | 0.00      | 0.00 | 13,706.07  | 0.00 | 0.00      | 362,281.74   |
| 57600217 | 236,331.61   | 0.00      | 0.00 | 9,797.03   | 0.00 | 14,291.14 | 260,419.78   |
| 57600229 | 657,993.65   | 0.00      | 0.00 | 25,872.45  | 0.00 | 7,590.84  | 691,456.94   |
| 57600254 | 379,951.26   | 0.00      | 0.00 | 14,939.76  | 0.00 | 0.00      | 394,891.02   |
| 57600255 | 891,137.15   | 25,000.00 | 0.00 | 38,974.73  | 0.00 | 26,301.99 | 981,413.87   |
| 57600277 | 120,141.96   | 0.00      | 0.00 | 4,724.01   | 0.00 | 0.00      | 124,865.97   |
| 57600280 | 304,575.44   | 0.00      | 0.00 | 11,975.97  | 0.00 | 0.00      | 316,551.41   |
| 57600283 | 140,745.68   | 0.00      | 0.00 | 5,534.15   | 0.00 | 0.00      | 146,279.83   |
| 57600295 | 95,646.65    | 0.00      | 0.00 | 3,760.85   | 0.00 | 0.00      | 99,407.50    |
| 57600305 | 805,440.61   | 0.00      | 0.00 | 31,670.10  | 0.00 | 0.00      | 837,110.71   |
| 57600324 | 127,825.01   | 0.00      | 0.00 | 5,235.55   | 0.00 | 6,001.10  | 139,061.66   |
| 57600325 | 13,933.80    | 0.00      | 0.00 | 547.88     | 0.00 | 0.00      | 14,481.68    |

The University of Texas Health Science Center at Houston  
 Schedule B-6a Schedule of Changes in Net Position Endowment and Similar Funds - Other Than State  
 As of August 31, 2020

|   | Net Position<br>September 01, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Investment<br>Income (Realized<br>Gains and Losses) | Net Other Additions/<br>Deductions | Net Position<br>August 31, 2020 |
|---|------------------------------------|---------------------------------|----------------------|--|---|------------------------------------|---------------------------------|
| 57600333  | 121,861.14                         | 200.00                          | 0.00                 | 4,798.32   | 0.00  | 0.00                               | 126,859.46                      |
| 57600343  | 161,305.09                         | 0.00                            | 0.00                 | 6,342.55   | 0.00  | 0.00                               | 167,647.64                      |
| 57600352  | 222,589.03                         | 0.00                            | 0.00                 | 8,752.25   | 0.00  | 0.00                               | 231,341.28                      |
| 57600367  | 35,388.70                          | 0.00                            | 0.00                 | 1,391.49   | 0.00  | 0.00                               | 36,780.19                       |
| 57600372  | 35,733.28                          | 0.00                            | 0.00                 | 1,405.04   | 0.00  | 0.00                               | 37,138.32                       |
| 57600373  | 44,568.50                          | 0.00                            | 0.00                 | 1,752.05   | 0.00  | 0.00                               | 46,310.55                       |
| 57600374  | 76,917.63                          | 0.00                            | 0.00                 | 3,024.42   | 0.00  | 0.00                               | 79,942.05                       |
| 57600375  | 76,917.63                          | 0.00                            | 0.00                 | 3,024.42   | 0.00  | 0.00                               | 79,942.05                       |
| 57600376  | 62,208.94                          | 0.00                            | 0.00                 | 2,446.06   | 0.00  | 0.00                               | 64,655.00                       |
| 57600377  | 10,710.22                          | 0.00                            | 0.00                 | 421.13   | 0.00  | 0.00                               | 11,131.35                       |
| 57600378  | 10,277.65                          | 0.00                            | 0.00                 | 404.12   | 0.00  | 0.00                               | 10,681.77                       |
| 57600379  | 10,277.65                          | 0.00                            | 0.00                 | 404.12   | 0.00  | 0.00                               | 10,681.77                       |
| 57600413  | 570,062.04                         | 0.00                            | 0.00                 | 22,414.96  | 0.00  | 0.00                               | 592,477.00                      |
| 57600414  | 556,297.31                         | 0.00                            | 0.00                 | 21,873.73  | 0.00  | 0.00                               | 578,171.04                      |
| 57600415  | 686,314.88                         | 0.00                            | 0.00                 | 26,986.05  | 0.00  | 0.00                               | 713,300.93                      |
| 57600508  | 2,912,987.97                       | 0.00                            | 0.00                 | 119,312.51   | 0.00  | 136,770.11                         | 3,169,070.59                    |
| 57600536  | 44,082.10                          | 0.00                            | 0.00                 | 1,733.32   | 0.00  | 0.00                               | 45,815.42                       |
| 57600547  | 2,172,867.92                       | 0.00                            | 0.00                 | 85,437.62  | 0.00  | 0.00                               | 2,258,305.54                    |
| 57600548  | 534,449.35                         | 0.00                            | 0.00                 | 21,014.66  | 0.00  | 0.00                               | 555,464.01                      |
| 57600550  | 17,279.06                          | 0.00                            | 0.00                 | 679.42   | 0.00  | 0.00                               | 17,958.48                       |
| 57600560  | 9,851,828.40                       | 0.00                            | 0.00                 | 202,852.65   | 0.00  | (8,077,927.14)                     | 1,976,753.91                    |
| 57600622  | 2,301,796.07                       | 0.00                            | 0.00                 | 90,507.10  | 0.00  | 0.00                               | 2,392,303.17                    |
| 57600635  | 16,579,095.84                      | 0.00                            | 0.00                 | 651,893.54   | 0.00  | 0.00                               | 17,230,989.38                   |
| 57600651  | 26,863.30                          | 0.00                            | 0.00                 | 1,056.27   | 0.00  | 0.00                               | 27,919.57                       |
| 57600664  | 1,074,532.08                       | 0.00                            | 0.00                 | 42,250.83  | 0.00  | 0.00                               | 1,116,782.91                    |
| 57600673  | 25,877.58                          | 0.00                            | 0.00                 | 1,017.51   | 0.00  | 0.00                               | 26,895.09                       |
| 57600690  | 51,237,039.26                      | 0.00                            | 0.00                 | 2,014,651.18   | 0.00  | 0.00                               | 53,251,690.44                   |
| 57600691  | 51,237,039.26                      | 0.00                            | 0.00                 | 2,014,651.18   | 0.00  | 0.00                               | 53,251,690.44                   |
| 57600727  | 61,579.75                          | 0.00                            | 0.00                 | 2,421.30   | 0.00  | 0.00                               | 64,001.05                       |
| 57600731  | 23,198.71                          | 2,425.00                        | 0.00                 | 989.16   | 0.00  | 0.00                               | 26,612.87                       |
| 57600771  | 55,139.00                          | 0.00                            | 0.00                 | 2,168.06   | 0.00  | 0.00                               | 57,307.06                       |
| 57600792  | 0.00                               | 0.00                            | 0.00                 | 83,648.31  | 0.00  | 1,000,000.00                       | 1,083,648.31                    |
| 57600802  | 0.00                               | 0.00                            | 0.00                 | 0.00   | 0.00  | 25,000,000.00                      | 25,000,000.00                   |
| <b>TOTAL FUNDS FUNCTIONING AS ENDOWMENTS - UNRESTRICTED</b> | <b>159,934,988.70</b>              | <b>27,691.67</b>                | <b>0.00</b>          | <b>6,197,314.22</b>  | <b>0.00</b>   | <b>18,113,028.04</b>               | <b>184,273,032.63</b>           |

|  |                       |                      |             |                      |                  |                      |                       |
|--|-----------------------|----------------------|-------------|----------------------|------------------|----------------------|-----------------------|
| <b>TOTAL FUNDS HELD BY SYSTEM ADMINISTRATION</b> | <b>515,353,536.76</b> | <b>17,259,895.80</b> | <b>0.00</b> | <b>23,007,850.24</b> | <b>37,945.42</b> | <b>25,329,122.29</b> | <b>580,988,350.51</b> |
|--|-----------------------|----------------------|-------------|----------------------|------------------|----------------------|-----------------------|

|   |                       |                      |             |                      |                  |                      |                       |
|---|-----------------------|----------------------|-------------|----------------------|------------------|----------------------|-----------------------|
| <b>TOTAL ENDOWMENT FUNDS OTHER THAN STATE</b> | <b>515,353,536.76</b> | <b>17,259,895.80</b> | <b>0.00</b> | <b>23,007,850.24</b> | <b>37,945.42</b> | <b>25,329,122.29</b> | <b>580,988,350.51</b> |
|---|-----------------------|----------------------|-------------|----------------------|------------------|----------------------|-----------------------|

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The University of Texas Health Science Center at Houston  
 Schedule B-6c Detail - Schedule of Changes in Net Position - State Endowment (Permanent Health Fund)  
 As of August 31, 2020

| Net Position<br>September 1, 2019 | Gift Additions to<br>Endowments | Investment<br>Income | Investment Income<br>(Realized Gains and<br>Losses) | Net Increase (Decrease)<br>in Fair Value of<br>Investments | Net Other<br>Additions/Deductions | Net Position<br>August 31, 2020 |
|-----------------------------------|---------------------------------|----------------------|---|--|-----------------------------------|---------------------------------|
| 36,706,125.00                     | -                               | -                    | -   | 1,760,800.00   | -                                 | 38,466,925.00                   |
| <b>36,706,125.00</b>              | <b>-</b>                        | <b>-</b>             | <b>-</b>  | <b>1,760,800.00</b>  | <b>-</b>                          | <b>38,466,925.00</b>            |

**PERMANENT HEALTH FUND**

56609900 Held for UT HSC HOUSTON

**TOTAL PERMANENT HEALTH FUND**

The University of Texas Health Science Center at Houston  
Schedule B-8 Schedule of Changes in Net Position Unexpended Plant Funds  
For the Year Ended August 31, 2020

|  | Total            | Permanent University Fund Bonds | Revenue Bonds/Notes | Grants   | Gifts      | Interest Earned On Construction Funds | Other Sources |
|--|------------------|---------------------------------|---------------------|----------|------------|---------------------------------------|---------------|
| NET POSITION, September 1, 2019                              | \$ 18,651,453.12 | 1,315,926.82                    | 4,580.96            | 7,297.20 | 715,909.80 | 1,350,946.08                          | 15,256,792.26 |
| ADD: Anticipated Bond Proceeds                               | 49,860,008.17    | 9,193,613.45                    | 40,666,394.72       |          |            |                                       |               |
| TOTAL NET POSITION, September 1, 2019                        | 68,511,461.29    | 10,509,540.27                   | 40,670,975.68       | 7,297.20 | 715,909.80 | 1,350,946.08                          | 15,256,792.26 |
| Additions:   |                  |                                 |                     |          |            |                                       |               |
| Investment Income  | 1,177,229.99     |                                 |                     |          |            | 1,177,229.99                          |               |
| Transfers Between Funds - From Educational and General Funds | 813,629.11       |                                 |                     |          |            |                                       | 813,629.11    |
| Transfers Between Funds - From Designated Funds              | 34,048,736.39    |                                 |                     |          |            |                                       | 34,048,736.39 |
| Transfers Between Funds - From Auxiliary Enterprise Funds    | 721,883.70       |                                 |                     |          |            |                                       | 721,883.70    |
| Transfers Between Funds - From Restricted Funds              | 121,216.00       |                                 |                     |          |            |                                       | 121,216.00    |
| Transfers from System Administration                         | 32,136,103.16    | 21,270,001.71                   | 10,866,101.45       |          |            |                                       |               |
| Total Additions  | 69,018,798.35    | 21,270,001.71                   | 10,866,101.45       |          |            | 1,177,229.99                          | 35,705,465.20 |
| Deductions:  |                  |                                 |                     |          |            |                                       |               |
| Op. Expenses: Materials, Supplies, and Services (Exh. B)     | 4,591,062.24     | 1,307,975.39                    | 1,328,320.89        | 7,297.20 |            | 531.50                                | 1,946,937.26  |
| Capitalized Plant Facilities                                 |                  |                                 |                     |          |            |                                       |               |
| Buildings and Building Improvements                          | 12,520,397.89    | 10,680,002.61                   | 295,143.28          |          |            |                                       | 1,545,252.00  |
| Furniture and Equipment                                      | 6,441,925.20     | 4,405,054.07                    | 209,215.71          |          |            |                                       | 1,827,655.42  |
| Construction in Progress                                     | 36,577,638.95    | 2,920,497.75                    | 26,263,933.15       |          |            |                                       | 7,393,208.05  |
| Software   | 1,241,495.25     |                                 | 913,893.66          |          |            |                                       | 327,601.59    |
| Total for Capitalized Plant Facilities                       | 56,781,457.29    | 18,005,554.43                   | 27,682,185.80       |          |            |                                       | 11,093,717.06 |
| Total Deductions   | 61,372,519.53    | 19,313,529.82                   | 29,010,506.69       | 7,297.20 |            | 531.50                                | 13,040,654.32 |
| Transfers Between Funds - To Designated Funds                | 1,143,431.87     |                                 |                     |          |            |                                       |               |
| Total Deductions   | 62,515,951.40    | 19,313,529.82                   | 29,010,506.69       | 7,297.20 |            | 531.50                                | 14,184,086.19 |
| TOTAL NET POSITION, August 31, 2020                          | 75,014,308.24    | 12,466,012.16                   | 22,526,570.44       |          | 715,909.80 | 2,527,644.57                          | 36,778,171.27 |
| LESS: Anticipated Bond Proceeds                              | 30,342,423.51    | 11,529,330.65                   | 18,813,092.86       |          |            |                                       |               |
| NET POSITION, August 31, 2020                                | \$ 44,671,884.73 | 936,681.51                      | 3,713,477.58        |          | 715,909.80 | 2,527,644.57                          | 36,778,171.27 |

|                               |                  |
|-------------------------------|------------------|
| Made Up As Follows:           |                  |
| Unrestricted                  | \$ 6,565,469.58  |
| Encumbrances                  | 32,740,346.26    |
| Capital Projects              | 39,305,815.84    |
| Total Unrestricted            |                  |
| Restricted - Expendable       | 5,366,068.89     |
| Capital Projects              | 5,366,068.89     |
| Total Restricted - Expendable | 44,671,884.73    |
| Total Net Position as Above   | \$ 44,671,884.73 |

The University of Texas Health Science Center at Houston  
Schedule B-11 Schedule of Changes in Investment in Plant  
For the Year Ended August 31, 2020

|  | Total             | Land<br>S-11A | Buildings<br>S-11B | Facilities and<br>Other<br>Improvements<br>S-11C | Equipment<br>S-11D | Vehicles<br>& Aircraft<br>S-11D | Other Depreciable<br>(Including Library<br>Books)<br>S-11D | Construction In<br>Progress<br>S-11E | Infrastructure<br>S-11F | Amortizable<br>Purchased<br>Software<br>S-11G |
|--|-------------------|---------------|--------------------|--|--------------------|---------------------------------|--|--------------------------------------|-------------------------|---|
| Net Investment in Capital Assets, August 31, 2019            | 600,815,539.31    | 31,133,622.31 | 397,040,616.54     | 9,366,574.65                                     | 68,056,847.17      | 479,277.67                      | 30,866,780.92  | 50,836,217.05                        | 2,862,923.89            | 10,172,679.11                                 |
| ADD: Accumulated Depreciation/Amortization, August 31, 2019  | 764,270,540.70    |               | 569,464,118.34     | 10,701,558.43                                    | 138,409,496.60     | 2,689,526.69                    | 21,852,779.97  |                                      | 528,647.53              | 31,624,413.14                                 |
| Historical Cost of Plant, September 1, 2019                  | 1,365,086,080.01  | 31,133,622.31 | 955,504,734.88     | 20,068,133.08                                    | 206,466,343.77     | 3,168,804.36                    | 52,719,560.89  | 50,836,217.05                        | 3,391,571.42            | 41,797,092.25                                 |
| ADDITIONS  |                   |               |                    |  |                    |                                 |  |                                      |                         |   |
| Capitalized Expenses and Interfund Transfers:                |                   |               |                    |  |                    |                                 |  |                                      |                         |   |
| Capitalized Expenses - Educational and General Funds         | 267,515.53        |               |                    |  | 222,647.79         |                                 | 44,867.74  |                                      |                         |   |
| Capitalized Expenses - Designated Funds                      | 10,658,907.43     |               |                    |  | 8,556,223.52       | 169,824.39                      | 57,236.13  | 1,225,730.89                         |                         | 649,892.50                                    |
| Capitalized Expenses - Auxiliary Funds                       | 7,568.65          |               |                    |  | 7,568.65           |                                 |  |                                      |                         |   |
| Capitalized Expenses - Restricted Current Funds              | 5,159,555.46      |               |                    |  | 3,704,820.46       |                                 |  | 1,275,565.00                         |                         | 179,170.00                                    |
| Capitalized Expenses - Unexpended Plant Funds                | 56,781,457.29     |               | 12,520,397.89      |  | 5,578,092.65       |                                 | 863,832.55   | 36,577,638.95                        |                         | 1,241,495.25                                  |
| Completion of Construction in Progress                       | 38,141,841.30     |               | 34,433,478.97      | 1,643,708.04                                     | 89,430.93          |                                 | 404,662.00   |                                      |                         | 1,570,561.36                                  |
| Proceeds from Sale of Capital Assets                         | 662,918.41        |               |                    |  | 656,568.41         | 6,350.00                        |  |                                      |                         |   |
| Reclassification for Interagency Transfers In                | 172,741.18        |               |                    |  | 172,741.18         |                                 |  |                                      |                         |   |
| Transactions Between Funds                                   | (662,918.41)      |               |                    |  | (656,568.41)       |                                 |  |                                      |                         |   |
| Total Additions  | 111,189,586.84    |               | 46,953,876.86      | 1,643,708.04                                     | 18,331,525.18      | 169,824.39                      | 1,370,598.42   | 39,078,934.84                        |                         | 3,641,119.11                                  |
| DEDUCTIONS   |                   |               |                    |  |                    |                                 |  |                                      |                         |   |
| Disposal of Capital Assets                                   | 11,155,392.63     |               |                    |  | 6,112,865.81       | 41,861.00                       | 4,698,471.25   |                                      |                         | 302,194.57                                    |
| Completion of Construction in Progress                       | 38,141,841.30     |               |                    |  |                    |                                 |  | 38,141,841.30                        |                         |   |
| Total Deductions   | 49,297,233.93     |               |                    |  | 6,112,865.81       | 41,861.00                       | 4,698,471.25   | 38,141,841.30                        |                         | 302,194.57                                    |
| Historical Cost of Plant, August 31, 2020                    | 1,426,978,432.92  | 31,133,622.31 | 1,002,458,611.74   | 21,711,841.12                                    | 218,685,003.14     | 3,296,767.75                    | 49,391,688.06  | 51,773,310.59                        | 3,391,571.42            | 45,136,016.79                                 |
| Accumulated Depreciation/Amortization, September 1, 2019     | 764,270,540.70    |               | N/A                | 10,701,558.43                                    | 138,409,496.60     | 2,689,526.69                    | 21,852,779.97  | N/A                                  | 528,647.53              | 31,624,413.14                                 |
| Reclassification for Interagency Transfers In - Accum. Depr. | 89,024.45         |               | N/A                |  | 89,024.45          |                                 |  | N/A                                  |                         |   |
| Add: CY Depreciation/Amortization                            | 69,126,734.66     |               | N/A                | 42,860,467.79                                    | 16,211,375.98      | 188,756.87                      | 4,225,504.45   | N/A                                  | 83,526.35               | 4,567,046.68                                  |
| Deduct: Disposal of Capital Assets                           | (9,273,773.23)    |               | N/A                |  | (5,636,673.19)     | (41,861.00)                     | (3,469,324.64)   | N/A                                  |                         | (125,914.40)                                  |
| Accumulated Depreciation/Amortization, August 31, 2020       | 824,212,526.58    |               | N/A                | 11,691,614.97                                    | 149,073,223.84     | 2,836,422.56                    | 22,608,959.78  | N/A                                  | 612,173.88              | 36,065,545.42                                 |
| Net Book Value of Capital Assets, August 31, 2020            | 602,765,906.34    | 31,133,622.31 | 401,134,025.61     | 10,020,226.15                                    | 69,611,779.30      | 460,345.19                      | 26,782,728.28  | 51,773,310.59                        | 2,779,397.54            | 9,070,471.37                                  |
| Change in Capital Assets for the year:                       | 1,950,367.03      |               | 4,093,409.07       | 653,651.50                                       | 1,554,932.13       | (18,932.48)                     | (4,084,052.64)   | 937,093.54                           | (83,526.35)             | (1,102,207.74)                                |
| Adjustments for Net Position:                                |                   |               |                    |  |                    |                                 |  |                                      |                         |   |
| Less:  |                   |               |                    |  |                    |                                 |  |                                      |                         |   |
| Net Investment in Capital Assets (Exh. A)                    | \$ 602,765,906.34 | 31,133,622.31 | 401,134,025.61     | 10,020,226.15                                    | 69,611,779.30      | 460,345.19                      | 26,782,728.28  | 51,773,310.59                        | 2,779,397.54            | 9,070,471.37                                  |

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The University of Texas Health Science Center at Houston  
Schedule B-13 Schedule of Transfers Between Funds  
For the Year Ended August 31, 2020

| Transferred From                                    | Transferred To       |                         |                     |                       |                       |                                      |                        |
|---|----------------------|-------------------------|---------------------|-----------------------|-----------------------|--------------------------------------|------------------------|
|   | Total Transfers      | Educational and General | Designated          | Auxiliary Enterprises | Restricted Expendable | Endowment And Similar Other Than St. | Unexpended Plant Funds |
| <b>EDUCATIONAL AND GENERAL FUNDS</b>                |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 2,884,440.52         |                         | 2,070,811.41        |                       |                       |                                      | 813,629.11             |
| <b>DESIGNATED FUNDS</b>                             |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 66,962,406.30        |                         |                     | 5,261,771.21          | 2,633,013.14          | 25,018,885.56                        | 34,048,736.39          |
| <b>AUXILIARY ENTERPRISE FUNDS</b>                   |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 1,737,659.59         |                         | 1,010,952.50        |                       | 4,823.39              |                                      | 721,883.70             |
| <b>RESTRICTED EXPENDABLE FUNDS</b>                  |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 10,293,525.44        | 725,691.65              | 3,122,747.60        | 650,452.81            | 3,805,056.10          | 1,868,361.28                         | 121,216.00             |
| <b>LOAN FUNDS</b>                                   |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 328,924.00           |                         | 328,924.00          |                       |                       |                                      |                        |
| <b>ENDOWMENT &amp; SIMILAR FUNDS Other than St.</b> |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 1,558,124.55         |                         |                     |                       | 1,558,124.55          |                                      |                        |
| <b>UNEXPENDED PLANT FUNDS</b>                       |                      |                         |                     |                       |                       |                                      |                        |
| Between Funds                                       | 1,143,431.87         |                         | 1,143,431.87        |                       |                       |                                      |                        |
| <b>Total Transfers Between Funds</b>                | <b>84,908,512.27</b> | <b>725,691.65</b>       | <b>7,676,867.38</b> | <b>5,912,224.02</b>   | <b>8,001,017.18</b>   | <b>26,887,246.84</b>                 | <b>35,705,465.20</b>   |

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The University of Texas Health Science Center at Houston  
 Schedule C-1 Tuition and Fees Revenue  
 For the Year Ended August 31, 2020

|  | Education<br>and General | Designated     | Auxiliary<br>Enterprises | Total<br>Unrestricted |
|--|--------------------------|----------------|--------------------------|-----------------------|
| TUITION AND FEES DETAIL                          |                          |                |                          |                       |
| Gross Statutory Student Tuition                  | \$ 25,102,496.80         |                |                          | 25,102,496.80         |
| Gross Designated Tuition                         |                          | 25,358,773.82  |                          | 25,358,773.82         |
| Gross Mandatory Laboratory and Supplemental Fees | 168,436.61               |                |                          | 168,436.61            |
| Gross Mandatory Student Fees                     |                          | 8,031,941.10   |                          | 8,031,941.10          |
| Gross Mandatory Program and Course Related Fees  |                          | 895,997.29     |                          | 895,997.29            |
| Gross Optional Student Fees                      |                          | 1,963,756.58   | 2,091,661.99             | 4,055,418.57          |
| Discounts and Allowances                         | (725,691.65)             | (1,033,252.82) | (59,364.73)              | (1,818,309.20)        |
| Net Tuition and Fees                             | \$ 24,545,241.76         | 35,217,215.97  | 2,032,297.26             | 61,794,754.99         |

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THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
SCHEDULE C-1A Schedule of Hospital Revenues - General Current Funds  
For the Year Ended August 31, 2020

|   | <u>Total</u>            | <u>Inpatient</u>     | <u>Outpatient</u> | <u>Other</u>      |
|---|-------------------------|----------------------|-------------------|-------------------|
| Gross Patient Services                          |                         |                      |                   |                   |
| Inpatient                                       | \$ 66,894,895.87        | 66,894,895.87        |                   |                   |
| Outpatient                                      | <u>365,500.00</u>       |                      | <u>365,500.00</u> |                   |
| Total Gross Patient Services                    | 67,260,395.87           | 66,894,895.87        | 365,500.00        | -                 |
| Adjustments to Patient Services                 |                         |                      |                   |                   |
| Medicaid Contractual Allowance                  | 3,525,692.05            | 3,486,131.40         | 39,560.65         |                   |
| Medicare Contractual Allowance                  | 2,796,052.01            | 2,775,011.29         | 21,040.72         |                   |
| Managed Care Contractual Allowance              | 1,304,145.60            | 1,266,681.94         | 37,463.66         |                   |
| Other Unreimbursed Medical Charges              | 30,323,247.41           | 30,246,747.41        | 76,500.00         |                   |
| TDCJ Adjustments                                | -                       |                      |                   |                   |
| Bad Debt / Allowance for Uncollectible Accounts | 10,899,286.19           | 10,844,036.19        | 55,250.00         |                   |
| Other Adjustments                               | <u>11,020,856.55</u>    | <u>11,017,456.55</u> | <u>3,400.00</u>   |                   |
| Total Adjustments                               | <u>59,869,279.81</u>    | <u>59,636,064.78</u> | <u>233,215.03</u> | -                 |
| Net Patient Services                            | 7,391,116.06            | 7,258,831.09         | 132,284.97        | -                 |
| Other Revenues                                  |                         |                      |                   |                   |
| Auxiliary Enterprises                           | -                       |                      |                   |                   |
| Clinical Research Center                        | -                       |                      |                   |                   |
| Contractual Revenue                             | 12,746,840.25           | 12,746,840.25        |                   |                   |
| Harris County Contract                          | 3,491,609.00            | 3,491,609.00         |                   |                   |
| GCRC NASA Bedrest Unit                          | -                       |                      |                   |                   |
| Hospital / Radiology Affiliation                | -                       |                      |                   |                   |
| Kidney Acquisition - Southwest Organ Bank       | -                       |                      |                   |                   |
| Mental Health & Retardation (MHMR)              | 28,791,887.04           | 28,791,887.04        |                   |                   |
| Pharmacy Third Party Collections                | -                       |                      |                   |                   |
| Shrine Income - Pharmacy                        | -                       |                      |                   |                   |
| Shriners Burns Institute Blood Purchases        | -                       |                      |                   |                   |
| Medicare / Medicaid Pass Through                | 827,533.73              | 30,717.00            |                   | 796,816.73        |
| Tertiary Care Funds                             | -                       |                      |                   |                   |
| Other Miscellaneous Hospital Income             | <u>-</u>                |                      |                   |                   |
| Total Other Revenue                             | <u>45,857,870.02</u>    | <u>45,061,053.29</u> | <u>-</u>          | <u>796,816.73</u> |
| Total Hospital Revenues                         | <u>\$ 53,248,986.08</u> | <u>52,319,884.38</u> | <u>132,284.97</u> | <u>796,816.73</u> |

The University of Texas Health Science Center at Houston  
Schedule C-2 Schedule of Expenses by Object and Fund Group  
For the Year Ended August 31, 2020

|  | Salaries and Wages         | Payroll Related Costs | Membership Dues     | Registration Fees, Meetings, Conferences | Cost of Goods Sold   | Professional Fees and Services | Other Contracted Services | Fees and Other Charges | Travel              | Materials and Supplies |
|--|----------------------------|-----------------------|---------------------|--|----------------------|--------------------------------|---------------------------|------------------------|---------------------|------------------------|
| <b>EDUCATIONAL AND GENERAL</b>           |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Instruction                              | \$ 88,751,177.10           | 24,265,431.13         | 50.00               | 4,975.00                                 |                      | 307,561.73                     | 209,496.67                | 34,422.46              | 33,043.85           | 372,876.14             |
| Research                                 | 18,160,998.13              | 5,377,880.80          | 975.00              |  |                      | 159,194.41                     | 27,819.36                 | 99,209.49              | 11,569.89           | 344,781.68             |
| Public Service                           | 908,210.54                 | 26,004.73             |                     |  |                      | 491.94                         | 1,076.18                  | 937.22                 |                     | 21,048.76              |
| Hospitals / Clinics                      | 44,481,579.48              | 12,823,126.25         |                     |  |                      | 1,322,435.35                   | 941,054.91                | 59,430.95              | 987.70              | 2,929,678.73           |
| Academic Support                         | 21,237,000.18              | 6,272,694.32          | 397.00              |  |                      | 66,522.54                      | 3,303,834.00              | 22,203.33              | 4,301.33            | 199,353.96             |
| Student Services                         | 1,972,925.18               | 584,227.61            |                     |  |                      |                                | 13,387.51                 |                        | 15.00               |                        |
| Institutional Support                    | 30,016,575.58              | 9,480,544.05          | 900.00              |  |                      | 229,474.88                     | 36,128.42                 | 68,012.53              |                     | 503,423.91             |
| Operations and Maintenance of Plant      | 10,185,126.20              | 3,016,045.39          |                     |  |                      | 99,417.95                      | 1,422,800.44              | 52,675.64              |                     | 666,622.93             |
| Scholarships and Fellowships             |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| <b>Total Educational and General</b>     | <b>215,713,592.39</b>      | <b>61,845,954.28</b>  | <b>2,322.00</b>     | <b>4,975.00</b>                          |                      | <b>2,185,088.80</b>            | <b>5,955,597.49</b>       | <b>336,891.62</b>      | <b>49,917.77</b>    | <b>5,037,786.11</b>    |
| <b>DESIGNATED</b>                        |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Instruction                              | 543,146,195.51             | 90,424,717.18         | 1,173,792.08        | 843,873.25                               |                      | 6,307,968.47                   | 2,515,816.31              | 4,147,209.43           | 2,636,135.95        | 6,430,370.65           |
| Research                                 | 10,734,543.80              | 2,822,901.74          | 202,675.44          | 117,729.07                               |                      | 4,161,158.94                   | 847,877.72                | 369,659.75             | 330,704.47          | 2,813,552.65           |
| Public Service                           | 1,195,127.48               | 368,459.41            | 70.00               | 1,839.77                                 |                      | 956,591.65                     | 199,603.60                | 52,508.42              | 26,883.42           | 65,564.11              |
| Hospitals / Clinics                      | 181,872,163.89             | 37,703,194.32         | 345,102.83          | 165,992.13                               | 24,772,562.06        | 31,371,638.52                  | 21,936,686.65             | 1,940,656.43           | 1,289,329.06        | 31,756,701.86          |
| Academic Support                         | 14,648,842.65              | 3,171,134.42          | 211,771.43          | 219,382.30                               |                      | 1,420,462.07                   | 532,903.75                | 523,289.08             | 397,720.53          | 2,313,066.52           |
| Student Services                         | 1,224,403.27               | 342,718.14            | 9,330.00            | 57,752.15                                |                      | 946,756.06                     | 11,254.55                 | 129,569.10             | 64,996.90           | 2,339,619.74           |
| Institutional Support                    | 12,628,129.43              | 2,776,152.29          | 104,343.27          | 210,828.20                               | 175,003.11           | 6,526,316.20                   | 1,296,405.77              | 959,266.62             | 289,041.37          | 2,713,880.57           |
| Operations and Maintenance of Plant      | 362,406.26                 | 104,605.43            | 5,259.63            | 1,789.81                                 |                      | 257,554.87                     | 1,481,341.50              | 2,404.26               | 19,096.87           | 427,728.54             |
| Scholarships and Fellowships             |                            |                       |                     |  |                      |                                |                           | 4,950.00               |                     |                        |
| <b>Total Designated</b>                  | <b>765,811,812.29</b>      | <b>137,713,882.93</b> | <b>2,052,344.68</b> | <b>1,619,186.68</b>                      | <b>24,947,565.17</b> | <b>51,948,446.78</b>           | <b>28,821,889.85</b>      | <b>8,129,513.09</b>    | <b>5,053,908.57</b> | <b>48,860,484.64</b>   |
| <b>AUXILIARY ENTERPRISES</b>             |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Auxiliary Enterprises                    | 3,681,192.27               | 1,155,313.72          | 598.00              | 1,028.31                                 |                      | 1,586,441.44                   | 2,826,257.59              | 396,667.95             | 55,266.62           | 705,680.57             |
| <b>Total Auxiliary Enterprises</b>       | <b>3,681,192.27</b>        | <b>1,155,313.72</b>   | <b>598.00</b>       | <b>1,028.31</b>                          |                      | <b>1,586,441.44</b>            | <b>2,826,257.59</b>       | <b>396,667.95</b>      | <b>55,266.62</b>    | <b>705,680.57</b>      |
| <b>RESTRICTED EXPENDABLE</b>             |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Instruction                              | 5,570,556.70               | 1,078,863.99          | 39,113.06           | 95,426.60                                |                      | 204,633.48                     | 632,894.83                | 201,503.88             | 220,051.57          | 441,982.17             |
| Research                                 | 86,159,213.92              | 23,341,130.97         | 133,229.47          | 373,027.94                               | 22.21                | 4,152,093.88                   | 20,672,778.91             | 1,656,539.71           | 1,292,018.82        | 11,985,855.92          |
| Public Service                           | 14,584,471.11              | 4,353,115.75          | 1,730.00            | 17,340.84                                |                      | 883,987.93                     | 6,923,305.41              | 484,060.06             | 228,190.65          | 2,126,065.41           |
| Hospitals / Clinics                      | 54,684,971.78              | 11,125,149.90         |                     |  |                      | 28,413.17                      |                           | 123.00                 |                     | 10,257.06              |
| Academic Support                         | 1,522,441.36               | 395,490.55            | 26,651.71           | 173,463.67                               |                      | 127,256.37                     | 151,494.47                | 44,064.08              | 101,419.47          | 1,171,712.04           |
| Student Services                         | 538,433.22                 | 141,036.03            | 3,427.00            | 2,253.17                                 |                      | 42,205.80                      |                           | 21,718.04              | 14,788.95           | 33,752.35              |
| Institutional Support                    | 686,188.46                 | 160,077.31            | 8,325.24            | 57,376.90                                |                      | 100,802.88                     | 16,426.02                 | 101,669.78             | 140,195.39          | 232,994.01             |
| Operations and Maintenance of Plant      |                            |                       |                     |  |                      |                                |                           |                        |                     | 1,605,039.43           |
| Scholarships and Fellowships             | 2,412,638.10               | 391,287.99            | 1,565.66            | 12,906.49                                |                      | 15,152.47                      |                           | 10,221.68              | 33,827.95           | 108,468.32             |
| <b>Total Restricted Expendable</b>       | <b>166,158,914.65</b>      | <b>40,986,152.49</b>  | <b>214,042.14</b>   | <b>731,795.61</b>                        | <b>22.21</b>         | <b>5,554,545.98</b>            | <b>28,396,899.64</b>      | <b>2,519,900.23</b>    | <b>2,030,492.80</b> | <b>17,716,126.71</b>   |
| <b>LOAN FUNDS</b>                        |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Student Services                         |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Total Loan Funds                         |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| <b>UNEXPENDED PLANT</b>                  |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Operations and Maintenance of Plant      |                            |                       |                     |  |                      | 2,133,689.66                   | 272,390.44                |                        | 355.00              | 1,874,447.23           |
| <b>Total Unexpended Plant</b>            |                            |                       |                     |  |                      | <b>2,133,689.66</b>            | <b>272,390.44</b>         |                        | <b>355.00</b>       | <b>1,874,447.23</b>    |
| <b>INVESTMENT IN PLANT</b>               |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Depreciation and Amortization            |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| Total Investment in Plant                |                            |                       |                     |  |                      |                                |                           |                        |                     |                        |
| <b>TOTAL OPERATING EXPENSES (Exh. B)</b> | <b>\$ 1,151,365,511.60</b> | <b>241,701,303.42</b> | <b>2,269,306.82</b> | <b>2,356,985.60</b>                      | <b>24,947,567.38</b> | <b>63,408,222.66</b>           | <b>66,273,035.01</b>      | <b>11,382,972.89</b>   | <b>7,189,940.76</b> | <b>74,194,525.26</b>   |

The University of Texas Health Science Center at Houston  
Schedule C-2 Schedule of Expenses by Object and Fund Group  
For the Year Ended August 31, 2020

|  | Utilities     | Communications | Repairs and Maintenance | Rentals and Leases | Printing and Reproduction | Royalty Payments | Insurance Costs/Premiums | Scholarships and Fellowships |
|--|---------------|----------------|-------------------------|--------------------|---------------------------|------------------|--------------------------|------------------------------|
| <b>EDUCATIONAL AND GENERAL</b>           |               |                |                         |                    |                           |                  |                          |                              |
| Instruction                              | 1,202.53      | 77,532.33      | 1,827.42                | 360,949.65         | 33,731.18                 |                  | 68,666.18                |                              |
| Research                                 |               | 91,151.80      | 1,559.10                | 46,043.69          | 23,938.18                 |                  | 1,131.50                 |                              |
| Public Service                           |               | 663.43         |                         |                    |                           |                  | 226.39                   |                              |
| Hospitals / Clinics                      | 355,750.09    | 263,220.78     | 662,054.72              | 159,818.33         | 38,552.51                 |                  | 62,551.49                |                              |
| Academic Support                         | 931.51        | 37,211.29      | 43,562.68               | 11,726.28          | 18,996.73                 |                  |                          |                              |
| Student Services                         |               |                |                         |                    |                           |                  |                          |                              |
| Institutional Support                    | 28,314.76     | 10,480.54      | 7,124,640.94            | 27,287.97          | 1,473.39                  |                  | 5,385.00                 |                              |
| Operations and Maintenance of Plant      | 10,994,534.73 | 70,213.12      | 2,084,222.37            | 68,119.83          | 2,090.00                  |                  | 7,457.00                 |                              |
| Scholarships and Fellowships             |               |                |                         |                    |                           |                  |                          |                              |
| Total Educational and General            | 11,380,733.62 | 550,473.29     | 9,917,867.23            | 673,945.75         | 118,781.99                |                  | 145,417.56               |                              |
| <b>DESIGNATED</b>                        |               |                |                         |                    |                           |                  |                          |                              |
| Instruction                              | 4,725.47      | 1,401,896.18   | 367,880.23              | 2,105,642.06       | 610,739.84                |                  | 2,240,567.88             | 16,000.00                    |
| Research                                 | 110.53        | 212,415.12     | 129,707.74              | 696,034.00         | 119,167.13                | 2,042,887.20     | 41,903.15                | 141,500.00                   |
| Public Service                           |               | 24,330.05      |                         | 30,611.96          | 11,625.70                 |                  | 7,539.00                 |                              |
| Hospitals / Clinics                      | 400,138.63    | 776,031.89     | 6,981,100.74            | 27,306,779.99      | 2,085,706.76              |                  | 845,764.31               |                              |
| Academic Support                         | 642.00        | 223,637.81     | 197,707.92              | 4,912,327.13       | 218,550.77                |                  | 2,282.15                 | 137,000.00                   |
| Student Services                         | 35.00         | 40,365.68      | 147,028.13              | 595,558.39         | 30,086.90                 |                  | 7,831.00                 | 264,500.00                   |
| Institutional Support                    | 6,369.72      | 1,005,708.92   | 1,434,493.21            | 1,383,213.57       | 318,467.80                |                  | 310,592.03               |                              |
| Operations and Maintenance of Plant      | 509,261.29    | 47,725.72      | 828,847.14              | 1,209,498.48       | 4,719.02                  |                  | 2,063,599.28             |                              |
| Scholarships and Fellowships             |               |                |                         |                    |                           |                  |                          | 3,412,017.00                 |
| Total Designated                         | 921,282.64    | 3,732,111.37   | 10,086,765.11           | 38,239,665.58      | 3,399,063.92              | 2,042,887.20     | 5,520,078.80             | 3,971,017.00                 |
| <b>AUXILIARY ENTERPRISES</b>             |               |                |                         |                    |                           |                  |                          |                              |
| Auxiliary Enterprises                    | 2,181,570.57  | 120,323.39     | 1,909,985.22            | 1,215,683.59       | 834,014.75                |                  | 11,000.50                |                              |
| Total Auxiliary Enterprises              | 2,181,570.57  | 120,323.39     | 1,909,985.22            | 1,215,683.59       | 834,014.75                |                  | 11,000.50                |                              |
| <b>RESTRICTED EXPENDABLE</b>             |               |                |                         |                    |                           |                  |                          |                              |
| Instruction                              |               | 2,863.50       | 41,786.12               | 52,284.04          | 68,986.93                 |                  | 4,532.77                 | 13,250.00                    |
| Research                                 | 5,077.95      | 184,499.88     | 381,636.04              | 485,422.23         | 431,349.47                |                  | 40,855.07                | 42,000.00                    |
| Public Service                           | 26,569.01     | 231,920.34     | 167,925.76              | 783,259.09         | 284,487.40                |                  | 11,373.40                |                              |
| Hospitals / Clinics                      |               |                |                         |                    |                           |                  |                          |                              |
| Academic Support                         |               | 6,615.50       | 10,245.65               | 6,505.77           | 36,708.55                 |                  | 1,854.00                 | 125,845.04                   |
| Student Services                         |               | 7,142.28       | 3,256.00                | 322.77             | 11,426.05                 |                  | 585.75                   | 10,353.84                    |
| Institutional Support                    |               | 851.00         | 26.00                   | 7,180.14           | 16,780.54                 |                  |                          | 145,290.00                   |
| Operations and Maintenance of Plant      |               |                |                         |                    |                           |                  |                          |                              |
| Scholarships and Fellowships             |               |                |                         |                    |                           |                  |                          |                              |
| Total Restricted Expendable              | 31,646.96     | 433,892.50     | 604,875.57              | 1,335,540.74       | 854,048.78                |                  | 2,889.32                 | 3,550,518.48                 |
| <b>LOAN FUNDS</b>                        |               |                |                         |                    |                           |                  |                          |                              |
| Student Services                         |               |                |                         |                    |                           |                  |                          |                              |
| Total Loan Funds                         |               |                |                         |                    |                           |                  |                          |                              |
| <b>UNEXPENDED PLANT</b>                  |               |                |                         |                    |                           |                  |                          |                              |
| Operations and Maintenance of Plant      |               | 490.00         | 274,357.91              |                    | 1,223.22                  |                  |                          |                              |
| Total Unexpended Plant                   |               | 490.00         | 274,357.91              |                    | 1,223.22                  |                  |                          |                              |
| <b>INVESTMENT IN PLANT</b>               |               |                |                         |                    |                           |                  |                          |                              |
| Depreciation and Amortization            |               |                |                         |                    |                           |                  |                          |                              |
| Total Investment in Plant                |               |                |                         |                    |                           |                  |                          |                              |
| <b>TOTAL OPERATING EXPENSES (Exh. B)</b> | 14,515,233.79 | 4,837,290.55   | 22,793,851.04           | 41,464,835.66      | 5,207,132.66              | 2,042,887.20     | 5,738,387.17             | 7,858,274.36                 |

The University of Texas Health Science Center at Houston  
Schedule C-2 Schedule of Expenses by Object and Fund Group  
For the Year Ended August 31, 2020

|  | Federal Sponsored               |  | State Sponsored                 |  | Other Operating Expenses | Subtotal Operating Expenses | Capital Asset Purchases | Total                   |
|--|---------------------------------|--|---------------------------------|--|--------------------------|-----------------------------|-------------------------|-------------------------|
|  | Through to Other State Agencies | Program Pass-Through to Other State Agencies | Through to Other State Agencies | Program Pass-Through to Other State Agencies |                          |                             |                         |                         |
| <b>EDUCATIONAL AND GENERAL</b>           |                                 |  |                                 |  |                          |                             |                         |                         |
| Instruction                              |                                 |  |                                 |  |                          |                             |                         |                         |
| Research                                 | 119,964.10                      |  |                                 |  | 114,642,907.47           |                             | 164,822.23              | 114,642,907.47          |
| Public Service                           | 27,209.67                       |  |                                 |  | 24,373,462.70            |                             |                         | 24,538,284.93           |
| Hospitals / Clinics                      | 205.09                          |  |                                 |  | 958,864.28               |                             |                         | 958,864.28              |
| Academic Support                         | 128,080.51                      |  |                                 |  | 64,228,321.80            |                             | 47,825.56               | 64,276,147.36           |
| Student Services                         | 8,637.25                        |  |                                 |  | 31,227,372.40            |                             | 54,867.74               | 31,282,240.14           |
| Institutional Support                    | 266,786.02                      |  |                                 |  | 2,570,555.30             |                             |                         | 2,570,555.30            |
| Operations and Maintenance of Plant      | 8,036.73                        |  |                                 |  | 47,798,527.99            |                             |                         | 47,798,527.99           |
| Scholarships and Fellowships             | 88,614.91                       |  |                                 |  | 88,614.91                |                             |                         | 88,614.91               |
| Total Educational and General            | 647,534.28                      |  |                                 |  | 314,566,889.18           |                             | 267,515.53              | 314,834,404.71          |
| <b>DESIGNATED</b>                        |                                 |  |                                 |  |                          |                             |                         |                         |
| Instruction                              | 1,450,228.84                    |  |                                 |  | 665,823,759.33           |                             | 974,566.04              | 666,798,325.37          |
| Research                                 | 177,691.42                      |  |                                 |  | 25,962,219.87            |                             | 450,862.29              | 26,413,082.16           |
| Public Service                           | 20,406.28                       |  |                                 |  | 2,961,160.85             |                             | 9,829.00                | 2,970,989.85            |
| Hospitals / Clinics                      | 4,241,311.87                    |  |                                 |  | 375,790,861.94           |                             | 6,258,705.69            | 382,049,567.63          |
| Academic Support                         | 719,220.86                      |  |                                 |  | 29,849,941.39            |                             | 295,880.55              | 30,145,821.94           |
| Student Services                         | 336,901.13                      |  |                                 |  | 6,548,706.14             |                             | 781,970.09              | 7,330,676.23            |
| Institutional Support                    | 1,383,784.49                    |  |                                 |  | 33,521,996.57            |                             | 1,781,454.28            | 35,303,450.85           |
| Operations and Maintenance of Plant      | 36,589.93                       |  |                                 |  | 7,362,428.03             |                             | 105,639.49              | 7,468,067.52            |
| Scholarships and Fellowships             | 27.51                           |  |                                 |  | 3,416,994.51             |                             |                         | 3,416,994.51            |
| Total Designated                         | 8,366,162.33                    |  |                                 |  | 1,151,238,068.63         |                             | 10,658,907.43           | 1,161,896,976.06        |
| <b>AUXILIARY ENTERPRISES</b>             |                                 |  |                                 |  |                          |                             |                         |                         |
| Auxiliary Enterprises                    |                                 |  |                                 |  |                          |                             |                         |                         |
| Total Auxiliary Enterprises              | 318,879.52                      |  |                                 |  | 16,999,904.01            |                             | 7,568.65                | 17,007,472.66           |
| <b>RESTRICTED EXPENDABLE</b>             |                                 |  |                                 |  |                          |                             |                         |                         |
| Instruction                              | 104,032.67                      | 84,053.48                                    |                                 |  | 801,321.29               |                             | 24,080.40               | 9,682,217.48            |
| Research                                 | 2,605,580.67                    | 9,511.81                                     |                                 |  | 2,117,260.60             |                             | 3,380,863.47            | 159,449,766.94          |
| Public Service                           | 16,476.31                       |  |                                 |  | 666,804.81               |                             | 1,022,388.06            | 32,813,471.34           |
| Hospitals / Clinics                      |                                 |  |                                 |  | 80.93                    |                             | 65,848,995.84           | 65,848,995.84           |
| Academic Support                         |                                 |  |                                 |  | 188,761.57               |                             | 4,090,529.80            | 4,124,198.69            |
| Student Services                         |                                 |  |                                 |  | 930,667.55               |                             | 1,760,783.05            | 1,760,783.05            |
| Institutional Support                    |                                 |  |                                 |  | 107,322.53               |                             | 1,782,091.95            | 2,480,646.59            |
| Operations and Maintenance of Plant      |                                 |  |                                 |  | 1,242.15                 |                             | 1,606,281.58            | 1,606,281.58            |
| Scholarships and Fellowships             |                                 |  |                                 |  | 209,169.21               |                             | 6,753,522.21            | 6,753,522.21            |
| Total Restricted Expendable              | 2,726,089.65                    | 93,565.29                                    |                                 |  | 5,022,630.64             |                             | 279,360,330.26          | 284,519,885.72          |
| <b>LOAN FUNDS</b>                        |                                 |  |                                 |  |                          |                             |                         |                         |
| Student Services                         |                                 |  |                                 |  |                          |                             |                         |                         |
| Total Loan Funds                         | 74,323.34                       |  |                                 |  | 74,323.34                |                             |                         | 74,323.34               |
| <b>UNEXPENDED PLANT</b>                  |                                 |  |                                 |  |                          |                             |                         |                         |
| Operations and Maintenance of Plant      |                                 |  |                                 |  |                          |                             |                         |                         |
| Total Unexpended Plant                   | 34,108.78                       |  |                                 |  | 4,591,062.24             |                             | 56,781,457.29           | 61,372,519.53           |
| <b>INVESTMENT IN PLANT</b>               |                                 |  |                                 |  |                          |                             |                         |                         |
| Depreciation and Amortization            | 69,126,734.66                   |  |                                 |  | 69,126,734.66            |                             |                         | 69,126,734.66           |
| Total Investment in Plant                | 69,126,734.66                   |  |                                 |  | 69,126,734.66            |                             |                         | 69,126,734.66           |
| <b>TOTAL OPERATING EXPENSES (Exh. B)</b> | <b>2,726,089.65</b>             | <b>93,565.29</b>                             |                                 |  | <b>14,463,638.89</b>     |                             | <b>72,875,004.36</b>    | <b>1,908,832,316.68</b> |



The University of Texas Health Science Center at Houston  
Expense Classification Summary  
For the Period Ending August 31, 2020

|  | Instruction    | Research       | Public Service | Hospitals / Clinics | Academic Support | Student Services | Institutional Support | Operations and Maintenance of Plant | Scholarships and Fellowships | Auxiliary Enterprises | Depreciation and Amortization | Total Expenses   |
|--|----------------|----------------|----------------|---------------------|------------------|------------------|-----------------------|-------------------------------------|------------------------------|-----------------------|-------------------------------|------------------|
| Cost of Goods Sold                       | \$ -           | 22.21          | -              | 24,772,562.06       | -                | -                | 175,003.11            | -                                   | -                            | -                     | -                             | 24,947,567.38    |
| Salaries and Wages                       | 637,487,929.31 | 115,054,755.85 | 16,687,809.13  | 281,038,715.15      | 37,408,284.19    | 3,735,761.67     | 43,330,893.47         | 10,547,532.46                       | 2,412,638.10                 | 3,881,192.27          | -                             | 1,151,385,511.60 |
| Payroll Related Costs                    | 115,769,012.30 | 31,541,913.51  | 4,747,579.89   | 61,651,470.47       | 9,839,319.29     | 1,067,981.78     | 12,416,773.65         | 3,120,850.82                        | 391,287.99                   | 1,155,313.72          | -                             | 241,701,305.42   |
| Membership Dues                          | 1,212,955.14   | 336,879.91     | 1,800.00       | 345,102.83          | 238,820.14       | 12,757.00        | 112,688.51            | 6,159.63                            | 1,565.66                     | 598.00                | -                             | 2,269,306.82     |
| Registration Fees, Meetings, Conferences | 944,274.85     | 490,757.01     | 19,180.61      | 165,992.13          | 392,845.97       | 60,005.32        | 269,205.10            | 1,789.81                            | 12,906.49                    | 1,028.31              | -                             | 2,356,985.60     |
| Professional Fees and Services           | 6,820,163.68   | 8,472,447.23   | 1,841,071.52   | 32,722,487.04       | 1,614,240.98     | 988,961.86       | 6,856,593.96          | 2,490,662.48                        | 15,152.47                    | 1,696,441.44          | -                             | 63,408,222.66    |
| Other Contracted Services                | 3,546,293.96   | 24,163,568.47  | 7,140,461.50   | 22,877,741.56       | 3,988,232.22     | 24,642.06        | 1,346,960.21          | 3,176,532.38                        | -                            | 2,825,257.59          | -                             | 69,092,689.95    |
| Fees and Other Charges                   | 4,383,135.77   | 2,125,408.95   | 537,505.70     | 2,000,210.38        | 589,556.48       | 151,287.14       | 1,128,948.93          | 55,079.90                           | 15,171.68                    | 396,667.95            | -                             | 11,382,972.89    |
| Travel                                   | 2,889,231.37   | 1,634,293.18   | 255,074.07     | 1,290,316.76        | 503,441.33       | 79,800.85        | 429,236.76            | 19,451.87                           | 33,827.95                    | 55,266.62             | -                             | 7,189,840.76     |
| Materials and Supplies                   | 7,245,228.96   | 15,144,190.25  | 2,212,678.28   | 34,696,637.65       | 3,694,132.52     | 2,373,372.09     | 3,450,298.49          | 4,573,838.13                        | 108,488.32                   | 705,690.57            | -                             | 74,194,525.26    |
| Utilities                                | 5,928.00       | 5,188.48       | 26,589.01      | 755,888.72          | 1,573.51         | 35.00            | 34,684.48             | 11,503,796.02                       | -                            | 2,181,570.57          | -                             | 14,515,233.79    |
| Communications                           | 1,482,292.01   | 488,066.80     | 256,913.82     | 1,039,252.67        | 267,464.60       | 47,507.96        | 1,017,040.46          | 118,428.84                          | -                            | 120,323.39            | -                             | 4,837,290.55     |
| Repairs and Maintenance                  | 411,493.77     | 512,902.88     | 167,925.76     | 7,643,155.46        | 251,516.25       | 150,284.13       | 8,559,160.15          | 3,187,427.42                        | -                            | 1,809,985.22          | -                             | 22,793,851.04    |
| Rentals and Leases                       | 2,518,875.75   | 1,227,499.92   | 813,671.05     | 27,466,598.32       | 4,930,559.18     | 595,881.16       | 1,417,681.68          | 1,277,616.31                        | 566.70                       | 1,215,683.59          | -                             | 41,464,835.66    |
| Printing and Reproduction                | 713,457.95     | 574,454.78     | 298,113.10     | 2,124,259.27        | 274,256.05       | 41,512.95        | 336,721.73            | 8,032.24                            | 4,309.84                     | 834,014.75            | -                             | 5,207,132.66     |
| Royalty Payments                         | -              | 2,042,887.20   | -              | -                   | -                | -                | -                     | -                                   | -                            | -                     | -                             | 2,042,887.20     |
| Insurance Costs/Premiums                 | 2,313,766.83   | 83,689.72      | 19,138.79      | 908,315.80          | 4,136.15         | 7,631.00         | 316,562.78            | 2,071,056.28                        | 2,889.32                     | 11,000.50             | -                             | 5,738,387.17     |
| Scholarships and Fellowships             | 29,250.00      | 183,500.00     | -              | -                   | 282,845.04       | 274,853.84       | 145,290.00            | -                                   | 6,962,535.48                 | -                     | -                             | 7,899,274.36     |
| Depreciation and Amortization            | -              | -              | -              | -                   | -                | -                | -                     | -                                   | -                            | -                     | 69,126,734.66                 | 69,126,734.66    |
| Other Operating Expenses                 | 2,371,514.23   | 2,322,161.69   | 687,416.18     | 4,369,473.31        | 916,619.68       | 1,341,892.02     | 1,757,893.04          | 79,977.59                           | 297,811.63                   | 318,879.52            | -                             | 14,463,636.89    |
| Total Operating Expenses                 | 790,124,803.88 | 206,404,588.04 | 35,711,108.41  | 595,868,179.58      | 65,167,843.59    | 10,954,367.83    | 83,102,616.51         | 42,238,034.18                       | 10,259,131.63                | 16,999,904.01         | 69,126,734.66                 | 1,835,957,312.32 |

SCHEDULE C-2A  
THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
STATEMENT OF HOSPITALS/CLINICS EXPENDITURES  
EDUCATIONAL & GENERAL FUNDS  
For the Year Ending August 31, 2020

|  | DEPTID   | FY 2020<br>TOTAL    |
|--|----------|---------------------|
| Community & Educational Outreach           |          |                     |
| Service Delivery - Mobile Van              | 25423321 | 142,912.51          |
| Community Outreach - SPH                   | 67620000 | 30,632.23           |
| Total Community & Educational Outreach     |          | <u>173,544.74</u>   |
| School of Dentistry Patient Services       |          |                     |
| DB Patient Care-General                    | 38120005 | 293,463.39          |
| DB Patient Services                        | 38120105 | 900,068.74          |
| Clin.Serv.Dental Asst                      | 38120201 | 965.84              |
| DB Clinical Services                       | 38120205 | 1,103,772.94        |
| Total School of Dentistry Patient Services |          | <u>2,298,270.91</u> |
| Harris County Psychiatric Center           |          |                     |
| Patient Care Services (HCPC)               |          |                     |
| Pharmacy                                   | 99300105 | 1,553,487.56        |
| HCPC - Food Service                        | 99300108 | 1,631,992.01        |
| Housekeeping                               | 99300109 | 685,851.83          |
| Laundry & Linen Services                   | 99300110 | 232,167.77          |
| Professional Services                      | 99300301 | 290,337.35          |
| Medical Records                            | 99301200 | 378,265.89          |
| Nursing Administration                     | 99303100 | 1,246,683.46        |
| Psychology                                 | 99303300 | 133,067.29          |
| HCPC Admissions                            | 99304100 | 1,842,139.64        |
| Psychiatry Staff                           | 99310100 | 7,213,215.99        |
| Acuity Management                          | 99310200 | 1,770,933.48        |
| Acuity Mgmt Biweekly                       | 99310250 | 6,801,194.67        |
| HCPC Refractory Depression Pgm             | 99310300 | 97,059.17           |
| Nursing Unit 1B                            | 99311150 | 14,654.36           |
| Nursing Unit 1D                            | 99311200 | 104,288.07          |
| Nursing Unit 1E                            | 99311300 | 1,111,011.42        |
| Nursing Unit 1C                            | 99311400 | 914,688.90          |
| Nursing Unit 2B                            | 99312100 | 745,143.95          |

|   |          |                      |
|---|----------|----------------------|
| Nursing Unit 2C                                 | 99312200 | 958,664.81           |
| Nursing Unit 2D                                 | 99312300 | 1,057,585.94         |
| Nursing Unit 2E                                 | 99312400 | 1,263,784.80         |
| Nursing Unit 3B                                 | 99313100 | 1,175,748.31         |
| Nursing Unit 3C                                 | 99313200 | 965,898.63           |
| Nursing Unit 3D                                 | 99313300 | 981,520.82           |
| Nursing Unit 3E                                 | 99313400 | 660,235.79           |
| Early Onset LTT                                 | 99320100 | 3,948.96             |
| Programming                                     | 99320200 | 2,990,629.15         |
| Laboratory                                      | 99321100 | 226,629.71           |
| Radiology                                       | 99321200 | 12,489.23            |
| Competency Restoration Unit                     | 99331700 | 38,707.66            |
| Total Patient Care Services (HCPC)              |          | <u>37,102,026.62</u> |
| Facilities (HCPC)                               |          |                      |
| UTPD - Security                                 | 12280001 | 688,828.95           |
| Central Equipment                               | 99300104 | 133,244.80           |
| HCPC - Purchased Utilities                      | 99300107 | 355,641.74           |
| Communications                                  | 99301100 | 284,465.41           |
| HCPC - Building Services                        | 99306301 | 1,117,257.27         |
| Environmental Health & Safety                   | 99306305 | 92,301.70            |
| Total Facilities (HCPC)                         |          | <u>2,671,739.87</u>  |
| Support Departments and Activities (HCPC)       |          |                      |
| Office Of Executive Director                    | 99300102 | 247,546.08           |
| Operational Contingencies                       | 99300103 | 1,106,739.12         |
| HCPC Copier Services                            | 99300111 | 4,032.24             |
| Joint Commission                                | 99300112 | 11,898.80            |
| HCPC EPIC Project                               | 99300118 | 615,449.14           |
| Office Of Administration                        | 99300200 | 358,749.72           |
| HCPC Business Operations                        | 99300202 | 269,321.06           |
| Financial Operations                            | 99300300 | 1,139,590.29         |
| Personnel Systems Management                    | 99300400 | 169,691.86           |
| Communication and Marketing                     | 99300602 | 48,892.70            |
| Data Management                                 | 99301300 | 1,124,017.12         |
| Performance Improvement                         | 99302100 | 608,345.91           |
| Staff Training                                  | 99302200 | 263,515.39           |
| Mgmt Information Systems                        | 99306200 | 825,589.82           |
| Medical Staff Support                           | 99320400 | 76,485.33            |
| Total Support Departments and Activities (HCPC) |          | <u>6,869,864.58</u>  |

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Total Harris County Psychiatric Center Activity 46,643,631.07

Other Expenses

|                                 |          |               |
|---------------------------------|----------|---------------|
| Allocation to Hospitals         | 99306100 | 1,946,122.32  |
| Educational & General Benefits  |          | 739,426.78    |
| Educational & General Longevity |          | 25,214.10     |
| HCPC Staff Benefits             | 15500002 | 12,009,130.11 |
| HCPC Longevity                  | 15500004 | 383,998.02    |
| Institutional Accrual           | 15609980 | 8,983.75      |

Total Other Expenses 15,112,875.08

Total Expenses per Schedule C-2 64,228,321.80

( ) Deduction or Deficit

The University of Texas Health Science Center at Houston  
Schedule D-6: Medical Services, Research and Development Plan - Summary of Operations\*  
For the Year Ended August 31, 2020

**Operating Revenues:**

|  |                        |                                |
|--|------------------------|--------------------------------|
| Gross Patient Charges  |                        |                                |
| Gross Patient Charges Related to Uncompensated Care (Note 2) | 1,346,080,987.26       |                                |
| Other Gross Patient Charges                                  | <u>636,000,854.23</u>  |                                |
| Total Gross Patient Charges                                  |                        | 1,982,081,841.49               |
| Less: Discounts and Allowances                               |                        |                                |
| Contractual Allowances - Medicaid                            | (499,393,402.90)       |                                |
| Contractual Allowances - Medicare                            | (436,311,196.15)       |                                |
| Contractual Allowances - Managed Care and Other Insurance    | (296,062,872.76)       |                                |
| Other Unreimbursed Medical Charges                           | (277,716,065.68)       |                                |
| Bad Debt Expense   | <u>(69,788,449.61)</u> |                                |
| Total Discounts and Allowances                               |                        | <u>(1,579,271,987.10)</u>      |
| Net Patient Revenues   |                        | 402,809,854.39                 |
| Contractual Revenues   |                        | 559,256,997.11                 |
| Other Operating Revenues                                     |                        | <u>77,080,441.61</u>           |
| <b>Total Operating Revenues</b>                              |                        | <u><b>1,039,147,293.11</b></u> |

**Operating Expenses:**

|                                  |  |                              |
|----------------------------------|--|------------------------------|
| Faculty Salaries                 |  | 425,546,434.68               |
| Staff Salaries                   |  | 266,344,004.14               |
| Resident Salaries                |  | 13,976,871.90                |
| Fringe Benefits                  |  | 123,692,901.47               |
| Maintenance and Operations       |  | 142,002,974.44               |
| Professional Liability Insurance |  | 1,566,305.84                 |
| Travel                           |  | 3,352,577.87                 |
| Official Functions               |  | 866,448.60                   |
| Other Expenses                   |  | <u>13,162,784.98</u>         |
| <b>Total Operating Expenses</b>  |  | <u><b>990,511,303.92</b></u> |
| <b>Operating Income (Loss)</b>   |  | <b>48,635,989.19</b>         |

**Nonoperating Revenues (Expenses):**

|  |  |                      |
|--|--|----------------------|
| Federal Nonexchange Sponsored Programs               |  | 5,367,620.67         |
| Investment Income                                    |  | 2,902,750.29         |
| Net Increase (Decrease) in Fair Value of Investments |  | 3,225,515.18         |
| Other Nonoperating Revenues (Expenses)               |  | <u>11,495,886.14</u> |
| <b>Net Nonoperating Revenues (Expenses)</b>          |  | <b>11,495,886.14</b> |

**Income (Loss) Before Other Revenues, Expenses, Gains or Losses**

|                       |  |                     |
|-----------------------|--|---------------------|
|                       |  | 60,131,875.33       |
| Transfers In:         |  |                     |
| Interfund/Interagency |  | (61,069,159.44)     |
| Transfers Out:        |  |                     |
| Debt Service          |  | (2,077,549.48)      |
| Capital Outlay        |  | (6,139,210.89)      |
| Interfund/Interagency |  | <u>(216,029.00)</u> |

**Change in Net Position**

|  |  |                                |
|--|--|--------------------------------|
|  |  | <u>(9,370,073.48)</u>          |
| Net Position - September 1, 2019                   |  | <u>71,000,931.35</u>           |
| <b>Net Position - August 31, 2020 (See Note 1)</b> |  | <u><b>\$ 61,630,857.87</b></u> |

\* Includes the operations of the nonprofit healthcare corporation.

Note 1: Ending Net Position August 31, 2020 was composed of the following:

|   |                         |
|---|-------------------------|
| Unrestricted:                                       |                         |
| Reserved  |                         |
| Encumbrances  | \$ 11,520,577.16        |
| Accounts Receivable (less related unearned revenue) | 151,800,818.89          |
| Other Specific Purposes:                            |                         |
| Deposits  |                         |
| Prepaid Expenses                                    | 1,982,059.32            |
| Imprest Funds                                       | 22,636.48               |
| Unreserved  |                         |
| Allocated   |                         |
| Capital Projects                                    | 7,153,567.00            |
| Debt Service  | 782,805.00              |
| Practice Plan Minimum Operating Reserve of 90 days  | <u>(111,631,605.98)</u> |
| Total Net Position                                  | <u>\$ 61,630,857.87</u> |

Note 2: The Cost of Uncompensated Care

Uncompensated Care includes the unreimbursed costs for the uninsured (those with no source of third party insurance) and the underinsured (those with insurance who after contractual adjustment and third party payments have a responsibility to pay for an amount they are unable to pay). Uncompensated care also includes the unreimbursed cost from governmental sponsored health programs.

The institution identifies the gross charges for uncompensated care by identifying the payer categories where the cost of care exceeds the appropriate, available funding.

The institution converts gross charges for uncompensated care to cost by relating them to the Medicare fee schedule on an aggregate weighted average basis. The institution recognizes payments from patients, government sponsored programs (Medicare, Medicaid, and local government programs) and other appropriate lump sums, including any amounts received from Upper Payment Limit, as funding available to offset costs. The appropriate funding is applied to the cost of care for each payer category and uncompensated care is identified where the cost of care exceeds the available funding.

The institution's gross charges for uncompensated care and residual unreimbursed uncompensated care (after funding available to offset costs) are shown below:

|  |                  |
|--|------------------|
| Gross Charges for Uncompensated Care     | 1,346,080,987.26 |
| Residual Unreimbursed Uncompensated Care | 9,201,116.33     |

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The University of Texas Health Science Center at Houston  
Schedule D-6: Dental Practice Plan - Summary of Operations  
For the Year Ended August 31, 2020

**Operating Revenues:**

|   |                |                     |
|---|----------------|---------------------|
| Gross Patient Charges                                     |                |                     |
| Other Gross Patient Charges                               | 6,935,372.08   |                     |
| Total Gross Patient Charges                               |                | \$ 6,935,372.08     |
| Less: Discounts and Allowances                            |                |                     |
| Contractual Allowances - Medicaid                         | (400,518.85)   |                     |
| Contractual Allowances - Medicare                         | (778,084.79)   |                     |
| Contractual Allowances - Managed Care and Other Insurance | (1,735,220.80) |                     |
| Other Unreimbursed Medical Charges                        | (548,697.34)   |                     |
| Bad Debt Expense  | (676,155.77)   |                     |
| Total Discounts and Allowances                            |                | (4,138,677.55)      |
| Net Patient Revenues                                      |                | 2,796,694.53        |
| Contractual Revenues                                      |                | 6,602,350.77        |
| Other Operating Revenues                                  |                | 7,510.28            |
| <b>Total Operating Revenues</b>                           |                | <b>9,406,555.58</b> |

**Operating Expenses:**

|                                  |  |                       |
|----------------------------------|--|-----------------------|
| Faculty Salaries                 |  | 5,166,331.13          |
| Staff Salaries                   |  | 1,983,937.49          |
| Resident Salaries                |  | 852,077.77            |
| Fringe Benefits                  |  | 1,706,171.53          |
| Maintenance and Operations       |  | 847,313.03            |
| Professional Liability Insurance |  | 19,819.54             |
| Travel                           |  | 99,562.21             |
| Official Functions               |  | 1,639.72              |
| Other Expenses                   |  | 227,812.94            |
| <b>Total Operating Expenses</b>  |  | <b>10,904,665.36</b>  |
| <b>Operating Income (Loss)</b>   |  | <b>(1,498,109.78)</b> |

**Nonoperating Revenues (Expenses):**

|   |  |                   |
|---|--|-------------------|
| Federal Nonexchange Sponsored Programs      |  | 110,902.32        |
| <b>Net Nonoperating Revenues (Expenses)</b> |  | <b>110,902.32</b> |

**Income (Loss) Before Other Revenues, Expenses, Gains or Losses**

|                       |  |                |
|-----------------------|--|----------------|
|                       |  | (1,387,207.46) |
| Transfers In:         |  |                |
| Interfund/Interagency |  | 740,957.30     |
| Transfers Out:        |  |                |
| Capital Outlay        |  | (13,748.72)    |

**Change in Net Position**

|  |  |                      |
|--|--|----------------------|
|  |  | (659,998.88)         |
| Net Position - September 1, 2019                   |  | 1,458,989.22         |
| <b>Net Position - August 31, 2020 (See Note 1)</b> |  | <b>\$ 798,990.34</b> |

Note 1: Ending Net Position August 31, 2020 was composed of the following:

|   |  |                      |
|---|--|----------------------|
| Unrestricted:                                       |  |                      |
| Reserved  |  |                      |
| Encumbrances  |  | \$ 203,700.24        |
| Accounts Receivable (less related unearned revenue) |  | 1,795,588.74         |
| Unreserved  |  |                      |
| Allocated   |  |                      |
| Practice Plan Minimum Operating Reserve of 90 days  |  | (1,200,298.64)       |
| Total Net Position                                  |  | <b>\$ 798,990.34</b> |

**State of Texas - Annual Financial Reporting  
Schedule of Expenditures of Federal Awards  
(SEFA)**

**Agency 744 - University of Texas Health Science Center at Houston  
Schedule 1A  
For the Fiscal Year Ended August 31, 2020  
\*\*\*Certified\*\*\***

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA<br>Number | NSE Name/<br>Identifying Number  | Agy/<br>Univ | Pass-through From                     |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To                       |                             | Total<br>Expenditures<br>Amount |
|--|----------------|--|--------------|---------------------------------------|---------------------------------|-----------------------------|--|---------------------------------------|-----------------------------|---------------------------------|
|  |                |  |              | Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             |  | Agencies or<br>Universities<br>Amount | State<br>Entities<br>Amount |                                 |
| <b>National Aeronautics and Space Administration</b><br>National Aeronautics and Space Administration  | 43.000         | Wyle Laboratories/<br>MW15/K1/B  |              | 6,544.33                              |                                 | 6,544.33                    | 6,544.33                                       |                                       |                             | 6,544.33                        |
| <b>Totals - National Aeronautics and Space Administration</b>  |                |  |              | 0                                     | 6,544.33                        | 0                           | 6,544.33                                       | 0                                     | 0                           | 6,544.33                        |
| <b>U.S. Department of the Treasury</b><br>COVID-19 - Coronavirus Relief Fund   | 21.019         | City of San Antonio/<br>4500430907   |              | 309,598.43                            |                                 | 309,598.43                  | 309,598.43                                     |                                       |                             | 309,598.43                      |
| <b>Totals - U.S. Department of the Treasury</b>  |                |  |              | 0                                     | 309,598.43                      | 0                           | 309,598.43                                     | 0                                     | 0                           | 309,598.43                      |
| <b>U.S. Department of Agriculture</b><br><b>Direct Programs:</b><br>WIC Special Supplemental Nutrition Program for Women, Infants, and Children                      | 10.557         |  |              |                                       | 25,980.91                       | 25,980.91                   | 25,980.91                                      |                                       |                             | 25,980.91                       |
| <b>Pass-Through From:</b><br>WIC Special Supplemental Nutrition Program for Women, Infants, and Children   | 10.557         |  |              |                                       | 4,640,645.07                    | 4,640,645.07                | 4,640,645.07                                   |                                       |                             | 4,640,645.07                    |
| <b>Pass-Through From:</b><br><i>Health and Human Services Commission</i>   | 529            |  |              | 4,640,645.07                          |                                 | 4,640,645.07                | 4,640,645.07                                   |                                       |                             | 4,640,645.07                    |
| <b>Totals - U.S. Department of Agriculture</b>   |                |  |              | 4,640,645.07                          | 25,980.91                       | 4,666,625.98                | 4,666,625.98                                   | 0                                     | 0                           | 4,666,625.98                    |
| <b>U.S. Department of Education</b><br><b>Direct Programs:</b><br>Research in Special Education  | 84.324         |  |              |                                       | 465.47                          | 465.47                      | 465.47   |                                       |                             | 465.47                          |
| COVID-19 - Education Stabilization Fund  | 84.425         |  |              |                                       | 1,848,007.00                    | 1,848,007.00                | 1,848,007.00                                   |                                       |                             | 1,848,007.00                    |
| <b>Totals - U.S. Department of Education</b>   |                |  |              | 0                                     | 1,848,472.47                    | 1,848,472.47                | 1,848,472.47                                   | 0                                     | 0                           | 1,848,472.47                    |
| <b>U.S. Department of Health and Human Services</b><br>Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals | 93.042         | City of Houston<br>Health and Human<br>Services/<br>4600015194                 |              | 472,617.03                            |                                 | 472,617.03                  | 472,617.03                                     |                                       |                             | 472,617.03                      |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080         | American<br>Thrombosis and<br>Hemostasis<br>Network/<br>5N1Z2D001155-<br>05-00 |              | 110,705.24                            |                                 | 110,705.24                  | 110,705.24                                     |                                       |                             | 110,705.24                      |
| Maternal and Child Health Federal Consolidated Programs  | 93.110         | Organization of<br>Teratology<br>Informations/<br>UGMM/27861                   |              | 28,581.09                             |                                 | 28,581.09                   | 28,581.09                                      |                                       |                             | 28,581.09                       |
| Coordinated Services and Access to Research for Women, Infants, Children, and Youth  | 93.153         | Houston Regional<br>HIV/AIDS Resource<br>Group, Inc./<br>20UTH0/RWD            |              | 27,922.56                             |                                 | 27,922.56                   | 27,922.56                                      |                                       |                             | 27,922.56                       |
| <b>Totals - U.S. Department of Health and Human Services</b>   |                |  |              | 8,620.41                              | 161,035.41                      | 169,655.82                  | 169,655.82                                     | 0                                     | 0                           | 169,655.82                      |
| Children's Justice Grants to States  | 93.643         | Texas Center for the<br>Judiciary<br>CJA-20-06                                 |              | 161,035.41                            |                                 | 161,035.41                  | 161,035.41                                     |                                       |                             | 161,035.41                      |
| Medical Library Assistance   | 93.879         | William Marsh Rice<br>University/<br>T15 LM007093-29                           |              | 4,557.60                              |                                 | 4,557.60                    | 4,557.60                                       |                                       |                             | 4,557.60                        |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From  |  |                             |                 | Pass-through To  |  |                        |        | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>Non-<br>State<br>Entities<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|--|--|-----------------------------|-----------------|--|--|------------------------|--------|--|---------------------|--|--|--|
|  |                |   | Pass-Through From<br>Agencies or<br>Universities<br>Amount | Pass-Through From<br>Non-<br>State<br>Entities<br>Amount | Direct<br>Program<br>Amount | Total<br>Amount | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>Non-<br>State<br>Entities<br>Amount | Expenditures<br>Amount |        |  |                     |  |  |  |
|  |                |   |  |  |                             |                 |  |  |                        | Amount |  |                     |  |  |  |
|  |                | William Marsh Rice<br>University/<br>2715L1607093-28  | 15,480.06  |  |                             |                 | 15,480.06  |  |                        |        |  |                     |  | 15,480.06  |  |
| COVID-19 - Specially Selected Health Projects  | 93.888         | Texas Hospital<br>Association/<br>Foundation/<br>ASPR 334/PER<br>CHK RCVO)                  | 11,264.00  |  |                             |                 | 11,264.00  |  |                        |        |  |                     |  | 11,264.00  |  |
| HIV Emergency Relief Project Grants  | 93.914         | Harris County Public<br>Health and<br>Environmental<br>Services/<br>19GEN0340/CS<br>2017-01 | 33,790.12  |  |                             |                 | 33,790.12  |  |                        |        |  |                     |  | 33,790.12  |  |
|  | 93.073         | Harris County Public<br>Health and<br>Environmental<br>Services/<br>20GEN0336/CS<br>2017-01 | 20,541.61  |  |                             |                 | 20,541.61  |  |                        |        |  |                     |  | 20,541.61  |  |
|  | 93.940         | Harris Health<br>System/<br>GH12H-000390-21<br>HCHD-225                                     | 463,359.99   |  |                             |                 | 463,359.99   |  |                        |        |  |                     |  | 463,359.99   |  |
| HIV Prevention Activities Health Department Based  | 93.940         | City of Houston<br>Health and Human<br>Services/<br>C19-001-003                             | 57,102.42  |  |                             |                 | 57,102.42  |  |                        |        |  |                     |  | 57,102.42  |  |
| PPHF Geriatric Education Centers   | 93.969         | Baylor College of<br>Medicine/<br>TUTQHP330680-01-<br>00                                    | 40,511.80  |  |                             |                 | 40,511.80  |  |                        |        |  |                     |  | 40,511.80  |  |
|  |                | Baylor College of<br>Medicine/<br>TUTQHP330680/0  | 4,030.18   |  |                             |                 | 4,030.18   |  |                        |        |  |                     |  | 4,030.18   |  |
| <b>Direct Programs:</b>  |                |   |  |  |                             |                 |  |  |                        |        |  |                     |  |  |  |
| Training in General, Pediatric, and Public Health Dentistry  | 93.059         |   |  |  |                             |                 | 61,342.72  |  |                        |        |  |                     |  | 61,342.72  |  |
| Birth Defects and Developmental Disabilities - Prevention and Surveillance                                   | 93.073         |   |  |  |                             |                 | 59,149.81  |  |                        |        |  |                     | 28,491.13  | 59,149.81  |  |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080         |   |  |  |                             |                 | 269,041.91   |  |                        |        |  |                     | 269,041.91   | 269,041.91   |  |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080         |   |  |  |                             |                 | 53,913.02  |  |                        |        |  |                     |  | 53,913.02  |  |
| Pass-Through To:<br>University of Texas Southwestern Medical Center  |                |   |  |  |                             |                 |  |  |                        | 729    |  | 53,913.02           |  |  |  |
| Blood Disorder Program: Prevention, Surveillance, and Research   | 93.080         |   |  |  |                             |                 | 3,628.92   |  |                        |        |  |                     |  | 3,628.92   |  |
| Pass-Through To:<br>University of Texas Health Science Center at San Antonio                                 |                |   |  |  |                             |                 |  |  |                        | 745    |  | 3,628.92            |  |  |  |
| Maternal and Child Health Federal Consolidated Programs  | 93.110         |   |  |  |                             |                 | 304,146.88   |  |                        |        |  |                     | 304,146.88   | 304,146.88   |  |
| Maternal and Child Health Federal Consolidated Programs  | 93.110         |   |  |  |                             |                 | 43,270.17  |  |                        |        |  |                     |  | 43,270.17  |  |
| Pass-Through To:<br>University of Texas Southwestern Medical Center  |                |   |  |  |                             |                 |  |  |                        | 729    |  | 43,270.17           |  |  |  |
| Maternal and Child Health Federal Consolidated Programs  | 93.110         |   |  |  |                             |                 | 16,476.31  |  |                        |        |  |                     |  | 16,476.31  |  |
| Pass-Through To:<br>University of Texas Health Science Center at San Antonio                                 |                |   |  |  |                             |                 |  |  |                        | 745    |  | 16,476.31           |  |  |  |
| Nurse Anesthetist Training   | 93.124         |   |  |  |                             |                 | 26,182.67  |  |                        |        |  |                     |  | 26,182.67  |  |
| NIHHS Hazardous Waste Worker Health and Safety Training  | 93.142         |   |  |  |                             |                 | 669.75   |  |                        |        |  |                     |  | 669.75   |  |
| Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program                                   | 93.235         |   |  |  |                             |                 | 289,461.32   |  |                        |        |  |                     |  | 289,461.32   |  |
| Substance Abuse and Mental Health Services Projects of Regional and National Significance                    | 93.243         |   |  |  |                             |                 | 116,202.36   |  |                        |        |  |                     | 17,537.57  | 116,202.36   |  |
| Occupational Safety and Health Program   | 93.262         |   |  |  |                             |                 | 1,551,377.87   |  |                        |        |  |                     |  | 1,551,377.87   |  |
| Nurse Education, Practice Quality and Retention Grants   | 93.359         |   |  |  |                             |                 | 50,034.27  |  |                        |        |  |                     |  | 50,034.27  |  |
| Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke | 93.426         |   |  |  |                             |                 | 601,153.99   |  |                        |        |  |                     |  | 601,153.99   |  |
| Money Follows the Person Rebalancing Demonstration   | 93.791         |   |  |  |                             |                 | 28,821.79  |  |                        |        |  |                     |  | 28,821.79  |  |
| Biomedical Research and Research Training  | 93.859         |   |  |  |                             |                 | 41,091.51  |  |                        |        |  |                     | 41,091.51  | 41,091.51  |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title              | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From |        |                       |            | Pass-through To |              |                       |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To |              | Total<br>PT To and<br>Expenditures<br>Amount |
|---|----------------|---------------------------------|-------------------|--------|-----------------------|------------|-----------------|--------------|-----------------------|------------|--|---------------------|-----------------|--------------|--|
|   |                |                                 | Agy/<br>Univ      |        | Non-State<br>Entities |            | Agy/<br>Univ    |              | Non-State<br>Entities |            |  |                     | Amount          | Amount       |  |
|   |                |                                 | No                | Amount | No                    | Amount     | No              | Amount       | No                    | Amount     |  |                     |                 |              |  |
| HIV Prevention Activities Health Department Based                       | 93.940         |                                 |                   |        |                       | 401.32     |                 |              |                       | 401.32     |  |                     |                 | 401.32       |  |
| Maternal and Child Health Services Block Grant to the States            | 93.994         |                                 |                   |        |                       | 291,624.84 |                 |              |                       | 291,624.84 |  |                     |                 | 291,624.84   |  |
| <b>Pass-Through From:</b>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| HIV Prevention Activities Health Department Based                       | 93.940         |                                 |                   |        |                       | 92,445.91  |                 |              |                       | 92,445.91  |  |                     |                 | 92,445.91    |  |
| <i>Pass-Through From:</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>Department of State Health Services</i>                              |                |                                 |                   |        |                       | 537        | 92,445.91       |              |                       |            |  |                     |                 |              |  |
| Maternal and Child Health Services Block Grant to the States            | 93.994         |                                 |                   |        |                       | 280,636.66 |                 |              |                       | 280,636.66 |  |                     |                 | 280,636.66   |  |
| <i>Pass-Through From:</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>Department of State Health Services</i>                              |                |                                 |                   |        |                       | 537        | 280,636.66      |              |                       |            |  |                     |                 |              |  |
| Totals - U.S. Department of Health and Human Services                   |                |                                 |                   |        |                       | 373,082.57 | 1,460,119.52    | 3,807,991.43 | 5,641,193.52          | 117,288.42 | 660,309.00                                     | 4,863,596.10        | 5,641,193.52    |              |  |
| <b>U.S. Department of Homeland Security</b>                             |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Pass-Through From:</b>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Disaster Grants - Public Assistance (Presidentially Declared Disasters) | 97.036         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>Pass-Through From:</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>Texas Division of Emergency Management</i>                           |                |                                 |                   |        |                       | 575        | 1,605,039.43    |              |                       |            |  |                     |                 | 1,605,039.43 |  |
| Totals - U.S. Department of Homeland Security                           |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>U.S. Department of Veterans Affairs</b>                              |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Pass-Through From:</b>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Enterprise Resource Performance, Inc/                                   | 64.000         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>V41794-15-D-0005</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Totals - U.S. Department of Veterans Affairs                            |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Direct Programs:</b>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| U.S. Department of Veterans Affairs                                     | 64.000         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>V41794-15-D-0005</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Totals - U.S. Department of Veterans Affairs                            |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Research &amp; Development Cluster</b>                               |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Corporation for National and Community Service</b>                   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Social Innovation Fund  | 94.019         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Methodist Healthcare Ministries of South Texas, Inc/                    |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>145W7X0071-09</i>  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Totals - Corporation for National and Community Service                 |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>National Aeronautics and Space Administration</b>                    |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Pass-Through From:</b>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Wyle Laboratories/  | 43.000         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>WNY15HKT1B</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Totals - National Aeronautics and Space Administration                  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>National Science Foundation</b>                                      |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Mathematical and Physical Sciences                                      | 47.049         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| William Marsh Rice University/  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>CHE174C392</i>   |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Totals - National Aeronautics and Space Administration                  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <b>Biological Sciences</b>  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| University of Illinois/   | 47.074         |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| <i>17788 / 1922428</i>  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |
| Totals - National Aeronautics and Space Administration                  |                |                                 |                   |        |                       |            |                 |              |                       |            |  |                     |                 |              |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |   |                           | Pass-through To |              |                           | Total<br>PT From and<br>Direct Prog.<br>Amount | Direct<br>Program<br>Amount | Agy/<br>Univ<br>No. | Pass-through To |              |                           | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|---|---------------------------|-----------------|--------------|---------------------------|--|-----------------------------|---------------------|-----------------|--------------|---------------------------|--|
|  |                |  | Agy/<br>Univ      |   | Non-<br>State<br>Entities | Agy/<br>Univ    |              | Non-<br>State<br>Entities |  |                             |                     | Agy/<br>Univ    |              | Non-<br>State<br>Entities |  |
|  |                |  | No                | Amount  |                           | No              | Amount       |                           |  |                             |                     | No              | Amount       |                           |  |
|  |                | West Virginia University Research DBI-1747788  |                   |   |                           |                 |              | 8,717.04                  |  |                             |                     |                 |              | 8,717.04                  |  |
| Education and Human Resources                              | 47.076         | Franklin County Historical Society/ NSF DRL 1612555                                    |                   |   | 19,141.53                 |                 |              | 19,141.53                 |  |                             |                     |                 |              | 19,141.53                 |  |
|  |                | Oregon State University/ 2020-00470  |                   |   | 6,080.29                  |                 |              | 6,080.29                  |  |                             |                     |                 |              | 6,080.29                  |  |
|  |                | Pennsylvania State University/ DRL-1761012   |                   |   | 22,995.69                 |                 |              | 22,995.69                 |  |                             |                     |                 |              | 22,995.69                 |  |
| Integrative Activities                                     | 47.083         | University of California - San Diego/ OIA-0321136 / 124889138                          |                   |   | 253,610.79                |                 |              | 253,610.79                |  |                             |                     |                 |              | 253,610.79                |  |
|  |                | Engineering  |                   |   |                           |                 |              |                           | -718.94  |                             |                     |                 |              | -718.94                   |  |
|  |                | Mathematical and Physical Sciences   |                   |   |                           |                 |              |                           | 18,387.09                                      |                             |                     |                 |              | 18,387.09                 |  |
|  |                | Computer and Information Science and Engineering                                       |                   |   |                           |                 |              |                           | 52,545.54                                      |                             |                     |                 |              | 52,545.54                 |  |
|  |                | Social, Behavioral, and Economic Sciences  |                   |   |                           |                 |              |                           | 19,617.17                                      |                             |                     |                 |              | 19,617.17                 |  |
|  |                | Education and Human Resources  |                   |   |                           |                 |              |                           | 598,875.51                                     |                             |                     | 75,847.94       |              | 598,875.51                |  |
|  |                | Pass-Through From:   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Engineering  |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Pass-Through From:   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | University of Houston  | 730               | 27,741.54   |                           |                 |              | 27,741.54                 |  |                             |                     |                 |              | 27,741.54                 |  |
|  |                | Biological Sciences  |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Pass-Through From:   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Texas A&M Agriculture Research   | 556               | 89,861.39   |                           |                 |              | 89,861.39                 |  |                             |                     |                 |              | 89,861.39                 |  |
|  |                | Totals - National Science Foundation   |                   |   | 117,602.93                | 372,199.87      | 688,706.37   | 1,178,509.17              |  |                             | 0                   | 75,847.94       | 1,102,661.23 | 1,178,509.17              |  |
|  |                | U.S. Department of Agriculture   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Direct Programs:   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Wildlife Services  | 10.028            |   |                           |                 | 60,050.06    | 60,050.06                 |  |                             |                     |                 | 60,050.06    | 60,050.06                 |  |
|  |                | State Administrative Matching Grants for the Supplemental Nutrition Assistance Program | 10.561            |   |                           |                 | 1,412,800.78 | 1,412,800.78              |  |                             |                     |                 | 1,412,800.78 | 1,412,800.78              |  |
|  |                | Pass-Through From:   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | State Administrative Matching Grants for the Supplemental Nutrition Assistance Program |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Pass-Through From:   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | Health and Human Services Commission   | 529               | 578,664.09  |                           |                 |              | 578,664.09                |  |                             |                     |                 |              | 578,664.09                |  |
|  |                | Totals - U.S. Department of Agriculture  |                   |   | 578,664.09                | 0               | 1,472,850.84 | 2,051,514.93              |  |                             | 0                   | 0               | 2,051,514.93 | 2,051,514.93              |  |
|  |                | U.S. Department of Defense   |                   |   |                           |                 |              |                           |  |                             |                     |                 |              |                           |  |
|  |                | U.S. Department of Defense   | 12.000            | Advanced Technology International/ W81XWH-15-G-0001 |                           |                 | 57,801.24    | 57,801.24                 |  |                             |                     |                 | 57,801.24    | 57,801.24                 |  |
|  |                | Arsenal Medical, Inc./ W81XWH-15-C-0147  |                   |   |                           |                 | 21,039.95    | 21,039.95                 |  |                             |                     |                 | 21,039.95    | 21,039.95                 |  |
|  |                | MYNDETEC INC/ MHA-SCA-002  |                   |   |                           |                 | 73,414.03    | 73,414.03                 |  |                             |                     |                 | 73,414.03    | 73,414.03                 |  |
|  |                | University of Pittsburgh/ W81XWH-16-D-0024-0002  |                   |   |                           |                 | 238,526.76   | 238,526.76                |  |                             |                     |                 | 238,526.76   | 238,526.76                |  |
|  |                | Duke University/ N00014-16-1-2327  |                   |   |                           |                 | 66,192.67    | 66,192.67                 |  |                             |                     |                 | 66,192.67    | 66,192.67                 |  |
| Basic and Applied Scientific Research                      | 12.300         |  |                   |   |                           |                 | 66,192.67    | 66,192.67                 |  |                             |                     |                 | 66,192.67    | 66,192.67                 |  |
|  |                | Military Medical Research and Development  | 12.420            | American Burn Association/                          |                           |                 | 7,567.50     | 7,567.50                  |  |                             |                     |                 | 7,567.50     | 7,567.50                  |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From           |                       |            | Pass-through To             |                       |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>State<br>Entities<br>Amount | Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-----------------------------|-----------------------|------------|-----------------------------|-----------------------|------------|--|---------------------|--|--|------------------------|--|
|  |                |   | Agencies or<br>Universities | Non-State<br>Entities | Amount     | Agencies or<br>Universities | Non-State<br>Entities | Amount     |  |                     |  |  |                        |  |
|  |                |   | No.                         | Amount                | No.        | Amount                      |                       |            |  |                     |  |  |                        |  |
|  |                | W81XWH-17-1-0365  |                             |                       | 1,362.55   |                             |                       | 1,362.55   |  |                     |  | 1,362.55                                       | 1,362.55               |  |
|  |                | Baylor College of<br>Medicine/<br>W81XWH-16-D-0024                            |                             |                       | 11,753.04  |                             |                       | 11,753.04  |  |                     |  | 11,753.04                                      | 11,753.04              |  |
|  |                | Baylor College of<br>Medicine/<br>W81XWH-17-1-0368                            |                             |                       | 16,982.79  |                             |                       | 16,982.79  |  |                     |  | 16,982.79                                      | 16,982.79              |  |
|  |                | Baylor College of<br>Medicine/<br>W81XWH-17-1-0418                            |                             |                       | 43,664.83  |                             |                       | 43,664.83  |  |                     |  | 43,664.83                                      | 43,664.83              |  |
|  |                | Baylor College of<br>Medicine/<br>W81XWH-18-1-0462                            |                             |                       | 123,111.11 |                             |                       | 123,111.11 |  |                     |  | 123,111.11                                     | 123,111.11             |  |
|  |                | Baylor College of<br>Medicine/<br>W81XWH110622                                |                             |                       | 328.24     |                             |                       | 328.24     |  |                     |  | 328.24   | 328.24                 |  |
|  |                | Brigham and<br>Women's Hospital/<br>121119                                    |                             |                       | 25,803.90  |                             |                       | 25,803.90  |  |                     |  | 25,803.90                                      | 25,803.90              |  |
|  |                | Christopher and<br>Dana Reeve<br>Foundation/<br>W81XWH-16-C-0031/CTN15-2019   |                             |                       | 19,162.26  |                             |                       | 19,162.26  |  |                     |  | 19,162.26                                      | 19,162.26              |  |
|  |                | Christopher and<br>Dana Reeve<br>Foundation/<br>W81XWH-16-C-0031/CTN16-2020KS |                             |                       | 20,741.26  |                             |                       | 20,741.26  |  |                     |  | 20,741.26                                      | 20,741.26              |  |
|  |                | Johns Hopkins<br>University/<br>OXYGENSR0002886                               |                             |                       | 4,064.49   |                             |                       | 4,064.49   |  |                     |  | 4,064.49                                       | 4,064.49               |  |
|  |                | Johns Hopkins<br>University/<br>W81XWH-14-2-0189/                             |                             |                       | 73,670.29  |                             |                       | 73,670.29  |  |                     |  | 73,670.29                                      | 73,670.29              |  |
|  |                | Johns Hopkins<br>University/<br>2002478659                                    |                             |                       | 28,834.91  |                             |                       | 28,834.91  |  |                     |  | 28,834.91                                      | 28,834.91              |  |
|  |                | Johns Hopkins<br>University/<br>W81XWH-15-2-0067                              |                             |                       | 13,747.67  |                             |                       | 13,747.67  |  |                     |  | 13,747.67                                      | 13,747.67              |  |
|  |                | Johns Hopkins<br>University/<br>W81XWH-15-2-0074                              |                             |                       | 38,595.62  |                             |                       | 38,595.62  |  |                     |  | 38,595.62                                      | 38,595.62              |  |
|  |                | Johns Hopkins<br>University/<br>W81XWH-17-2-0032                              |                             |                       | 43,005.48  |                             |                       | 43,005.48  |  |                     |  | 43,005.48                                      | 43,005.48              |  |
|  |                | Johns Hopkins<br>University/<br>W81XWH-18-1-0810                              |                             |                       | 65,689.31  |                             |                       | 65,689.31  |  |                     |  | 65,689.31                                      | 65,689.31              |  |
|  |                | Johns Hopkins<br>University/<br>2003660593                                    |                             |                       | 27,091.47  |                             |                       | 27,091.47  |  |                     |  | 27,091.47                                      | 27,091.47              |  |
|  |                | Johns Hopkins<br>University/<br>2003660593                                    |                             |                       | 6,856.48   |                             |                       | 6,856.48   |  |                     |  | 6,856.48                                       | 6,856.48               |  |
|  |                | Johns Hopkins<br>University/<br>W81XWH-16-2-0060                              |                             |                       | 57,191.01  |                             |                       | 57,191.01  |  |                     |  | 57,191.01                                      | 57,191.01              |  |
|  |                | Johns Hopkins<br>University/<br>2004263972                                    |                             |                       |            |                             |                       |            |  |                     |  |  |                        |  |
|  |                | Medical University<br>of South Carolina/<br>W81XWH-15-1-0555                  |                             |                       |            |                             |                       |            |  |                     |  |  |                        |  |
|  |                | Medical University<br>of South Carolina/<br>W81XWH1810081                     |                             |                       |            |                             |                       |            |  |                     |  |  |                        |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From                                |  |        | Agy/<br>Univ | No           | Total<br>PT From and<br>Direct Prog.<br>Amount | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To                                |  |              | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|--|--|--------|--------------|--------------|--|-----------------------------|--|--|--|--------------|--|
|  |                |   | Pass-Through From<br>Agencies or<br>Universities | Pass-Through From<br>Non-State<br>Entities | Amount |              |              |  |                             |  | Pass-Through To<br>Agencies or<br>Universities | Pass-Through To<br>Non-<br>State<br>Entities | Amount       |  |
|  |                | Methodist Hospital<br>Research Institute/   |  |  |        |              | 22,502.43    |  |                             | 22,502.43                                      |  |  | 22,502.43    |  |
|  |                | W81XWH-19-1-<br>0129<br>National Trauma<br>Institute/<br>W81XWH-17-1-<br>0673<br>RTI International/<br>W81XWH1620044                      |  |  |        |              | 209,195.90   |  |                             | 209,195.90                                     |  |  | 209,195.90   |  |
|  |                | University of<br>California - San<br>Francisco/<br>W81XWH-17-1-<br>0637<br>University of<br>California/<br>W81XWH-16-C-<br>0161010680-006 |  |  |        |              | 277,059.69   |  |                             | 277,059.69                                     |  |  | 277,059.69   |  |
|  |                | University of<br>Maryland/<br>W81XWH-17-1-<br>0702<br>University of Miami/<br>W81XWH-20-<br>10272   |  |  |        |              | 28,161.34    |  |                             | 28,161.34                                      |  |  | 28,161.34    |  |
|  |                | University of<br>Pennsylvania/<br>W81XWH-18-<br>C0163 57459<br>University of<br>Pittsburgh/<br>W81XWH-16-D-<br>0024                       |  |  |        |              | -11,878.66   |  |                             | -11,878.66                                     |  |  | -11,878.66   |  |
|  |                | University of<br>Pittsburgh/<br>W81XWH-17-<br>20073   |  |  |        |              | 51,643.67    |  |                             | 51,643.67                                      |  |  | 51,643.67    |  |
|  |                | University of<br>Pittsburgh/<br>W81XWH-17-<br>20073   |  |  |        |              | 28,189.21    |  |                             | 28,189.21                                      |  |  | 28,189.21    |  |
|  |                | Wake Forest<br>University Health<br>Sciences/<br>W81XWH-14-2-<br>0004   |  |  |        |              | 144,089.76   |  |                             | 144,089.76                                     |  |  | 144,089.76   |  |
|  |                | Air Force Defense Research Sciences Program   |  |  |        |              | 1,159.62     |  |                             | 1,159.62                                       |  |  | 1,159.62     |  |
|  |                | Cincinnati/<br>008043-016 PREV<br>008043-013  |  |  |        |              | 17,896.92    |  |                             | 17,896.92                                      |  |  | 17,896.92    |  |
|  |                |   |  |  |        |              | 41,236.61    |  |                             | 41,236.61                                      |  |  | 41,236.61    |  |
|  |                |   |  |  |        |              | 45,143.33    |  |                             | 45,143.33                                      |  |  | 45,143.33    |  |
|  |                |   |  |  |        |              | 5,584,446.76 |  |                             | 5,584,446.76                                   |  |  | 5,584,446.76 |  |
|  |                |   |  |  |        |              | 57,907.00    |  |                             | 57,907.00                                      |  |  | 57,907.00    |  |
|  |                |   |  |  |        |              | 89,386.60    |  |                             | 89,386.60                                      |  |  | 89,386.60    |  |
|  |                |   |  |  |        |              | 506          |  |                             | 506  |  |  | 506          |  |
|  |                |   |  |  |        |              | 5,513.49     |  |                             | 5,513.49                                       |  |  | 5,513.49     |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |
|  |                |   |  |  |        |              | 103,473.33   |  |                             | 103,473.33                                     |  |  | 103,473.33   |  |
|  |                |   |  |  |        |              | 89,386.60    |  |                             | 89,386.60                                      |  |  | 89,386.60    |  |
|  |                |   |  |  |        |              | 709          |  |                             | 709  |  |  | 709          |  |
|  |                |   |  |  |        |              | 5,513.49     |  |                             | 5,513.49                                       |  |  | 5,513.49     |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |
|  |                |   |  |  |        |              | 5,513.49     |  |                             | 5,513.49                                       |  |  | 5,513.49     |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |
|  |                |   |  |  |        |              | 506          |  |                             | 506  |  |  | 506          |  |
|  |                |   |  |  |        |              | 5,513.49     |  |                             | 5,513.49                                       |  |  | 5,513.49     |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |
|  |                |   |  |  |        |              | 721          |  |                             | 721  |  |  | 721          |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |
|  |                |   |  |  |        |              | 506          |  |                             | 506  |  |  | 506          |  |
|  |                |   |  |  |        |              | 5,513.49     |  |                             | 5,513.49                                       |  |  | 5,513.49     |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |
|  |                |   |  |  |        |              | 721          |  |                             | 721  |  |  | 721          |  |
|  |                |   |  |  |        |              | 87,536.22    |  |                             | 87,536.22                                      |  |  | 87,536.22    |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title     | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From |              |              | Pass-through To |              |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To |        |              | Total<br>PT To and<br>Expenditures<br>Amount |        |  |
|--|----------------|---|-------------------|--------------|--------------|-----------------|--------------|------------|--|---------------------|-----------------|--------|--------------|--|--------|--|
|  |                |   | Agy/<br>Univ      |              | Agy/<br>Univ |                 | Agy/<br>Univ |            |  |                     | Agy/<br>Univ    |        | Agy/<br>Univ |  |        |  |
|  |                |   | No                | Amount       | No           | Amount          | No           | Amount     |  |                     | No              | Amount | No           |  | Amount |  |
| <b>Totals - U.S. Department of Defense</b>                     |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| <b>U.S. Department of Education</b>                            |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| <b>Direct Programs:</b>  |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| Education Research, Development and Dissemination              |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| Research in Special Education                                  |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| Totals - U.S. Department of Education                          |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| <b>U.S. Department of Health and Human Services</b>            |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| U.S. Department of Health and Human Services                   |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| 84-305   |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| 84-324   |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| 93.000   |                | Baylor College of Medicine/<br>1701AG065290-01A1<br>Boston Medical Center/<br>BMC ID 6796 PO<br>11707851-S<br>Children's Hospital of Philadelphia/<br>75D30119C06345<br>3222120620<br>Duke Clinical Research Institute/<br>HHSN-275201000031/<br>Duke University/<br>HHSN-2752018000031/<br>SITE #UTH<br>Duke University/<br>HHSN2752010000037/<br>SITE UTH89<br>ICF Macro, Inc./<br>HHSN261201400000<br>2B 17G2SK0119<br>Minetas US, Inc/<br>75N91019C00041/<br>51508<br>New Mexico Institute of Mining and Technology/<br>75D30119C06390<br>University of Michigan/<br>HHSN268201800000<br>2/<br>University of North Carolina - Chapel Hill/<br>HHSN268201700000<br>1/<br>University of North Carolina - Chapel Hill/<br>5114849<br>Baylor College of Medicine/<br>1F30ES030285-01<br>Baylor College of Medicine/<br>5R2 ES0290-02-<br>S1<br>Baylor College of Medicine/<br>5R0 ES028842-02<br>Baylor College of Medicine/<br>5R2 ES028616-02 |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
|  |                |   | 93,049.71         | 2,032,141.88 | 5,731,740.36 | 7,856,931.95    | 147,293.60   | 103,473.33 | 7,606,165.02                                   | 7,856,931.95        |                 |        |              |  |        |  |
|  |                |   |                   | 1,106,372.01 | 1,106,372.01 | 1,106,372.01    |              | 45,429.88  | 1,060,942.13                                   | 1,106,372.01        |                 |        |              |  |        |  |
|  |                |   | 0                 | 0            | 1,133,057.40 | 1,133,057.40    | 0            | 45,429.88  | 1,087,627.52                                   | 1,133,057.40        |                 |        |              |  |        |  |
|  |                |   |                   | 5,356.72     | 5,356.72     | 5,356.72        |              |            | 5,356.72                                       | 5,356.72            |                 |        |              |  |        |  |
|  |                |   |                   | 34,890.60    | 34,890.60    | 34,890.60       |              |            | 34,890.60                                      | 34,890.60           |                 |        |              |  |        |  |
|  |                |   |                   | 12,729.63    | 12,729.63    | 12,729.63       |              |            | 12,729.63                                      | 12,729.63           |                 |        |              |  |        |  |
|  |                |   |                   | 473.2        | 473.2        | 473.2           |              |            | 473.2  | 473.2               |                 |        |              |  |        |  |
|  |                |   |                   | 4,104.68     | 4,104.68     | 4,104.68        |              |            | 4,104.68                                       | 4,104.68            |                 |        |              |  |        |  |
|  |                |   |                   | 3,786.06     | 3,786.06     | 3,786.06        |              |            | 3,786.06                                       | 3,786.06            |                 |        |              |  |        |  |
|  |                |   |                   | 73,640.56    | 73,640.56    | 73,640.56       |              |            | 73,640.56                                      | 73,640.56           |                 |        |              |  |        |  |
|  |                |   |                   | 29,374.43    | 29,374.43    | 29,374.43       |              |            | 29,374.43                                      | 29,374.43           |                 |        |              |  |        |  |
|  |                |   |                   | 53,898.99    | 53,898.99    | 53,898.99       |              |            | 53,898.99                                      | 53,898.99           |                 |        |              |  |        |  |
|  |                |   |                   | 64,197.71    | 64,197.71    | 64,197.71       |              |            | 64,197.71                                      | 64,197.71           |                 |        |              |  |        |  |
|  |                |   |                   | 254,722.51   | 254,722.51   | 254,722.51      |              |            | 254,722.51                                     | 254,722.51          |                 |        |              |  |        |  |
|  |                |   |                   | 46,486.74    | 46,486.74    | 46,486.74       |              |            | 46,486.74                                      | 46,486.74           |                 |        |              |  |        |  |
|  |                |   |                   | 83,072.47    | 83,072.47    | 83,072.47       |              |            | 83,072.47                                      | 83,072.47           |                 |        |              |  |        |  |
|  |                |   |                   | 929.48       | 929.48       | 929.48          |              |            | 929.48   | 929.48              |                 |        |              |  |        |  |
|  |                |   |                   | 36,709.80    | 36,709.80    | 36,709.80       |              |            | 36,709.80                                      | 36,709.80           |                 |        |              |  |        |  |
|  |                |   |                   | 15,683.56    | 15,683.56    | 15,683.56       |              |            | 15,683.56                                      | 15,683.56           |                 |        |              |  |        |  |
| <b>Maternal and Child Health Federal Consolidated Programs</b> |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |
| <b>Environmental Health</b>                                    |                |   |                   |              |              |                 |              |            |  |                     |                 |        |              |  |        |  |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                         | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From |                       | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |                       | Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-------------------|-----------------------|-----------------------------|--|-----------------|-----------------------|------------------------|--|
|  |                |   | Agy/<br>Univ      | Non-State<br>Entities |                             |  | Agy/<br>Univ    | Non-State<br>Entities |                        |  |
| Oral Diseases and Disorders Research   | 93.121         | Massachusetts<br>Institute of<br>Technology/<br>5R01DE024717                |                   |                       | 211,998.37                  | 211,998.37                                     |                 |                       | 211,998.37             | 211,998.37                                   |
|  |                | University of<br>California - San<br>Francisco/<br>1UG3DE029168-<br>01      |                   |                       | 36,018.42                   | 36,018.42                                      |                 |                       | 36,018.42              | 36,018.42                                    |
|  |                | University of<br>California - San<br>Francisco/<br>5Z24DE026914-<br>03      |                   |                       | 2,638.80                    | 2,638.80                                       |                 |                       | 2,638.80               | 2,638.80                                     |
|  |                | William Marsh Rice<br>University/<br>R01DE021798                            |                   |                       | 91,517.99                   | 91,517.99                                      |                 |                       | 91,517.99              | 91,517.99                                    |
| Centers for Research and Demonstration for Health Promotion and Disease Prevention | 93.135         | Oregon Health<br>Sciences University/<br>5L48DF005006-<br>05                |                   |                       | 11,331.98                   | 11,331.98                                      |                 |                       | 11,331.98              | 11,331.98                                    |
|  |                | University of<br>Arizona/<br>5L48DF005002-05-<br>00 238979                  |                   |                       | 7,779.17                    | 7,779.17                                       |                 |                       | 7,779.17               | 7,779.17                                     |
|  |                | University of<br>Arizona/<br>6L48DF006413-01-<br>01                         |                   |                       | 83,552.33                   | 83,552.33                                      |                 |                       | 83,552.33              | 83,552.33                                    |
| Injury Prevention and Control Research and State and Community Based Programs      | 93.136         | City of Houston<br>Health and Human<br>Services/<br>N728CE02395-01-<br>00   |                   |                       | 58,046.79                   | 58,046.79                                      |                 |                       | 58,046.79              | 58,046.79                                    |
|  |                | Harris County Public<br>Health and<br>Environmental<br>Services/<br>CE-1804 |                   |                       | 17,019.31                   | 17,019.31                                      |                 |                       | 17,019.31              | 17,019.31                                    |
| NIEHS Hazardous Waste Worker Health and Safety Training                            | 93.142         | Indiana University/<br>5U4HES027055-<br>03                                  |                   |                       | 4,050.42                    | 4,050.42                                       |                 |                       | 4,050.42               | 4,050.42                                     |
|  |                | Indiana University/<br>5U4HES027055-03<br>PO 0122389                        |                   |                       | 23,430.42                   | 23,430.42                                      |                 |                       | 23,430.42              | 23,430.42                                    |
|  |                | Indiana University/<br>5U4HES027055-<br>03S1 PO 0130030                     |                   |                       | 7,021.67                    | 7,021.67                                       |                 |                       | 7,021.67               | 7,021.67                                     |
| Human Genome Research  | 93.172         | Baylor College of<br>Medicine/<br>5UM1HG008988-04<br>PO 700000122           |                   |                       | 450,377.29                  | 450,377.29                                     |                 |                       | 450,377.29             | 450,377.29                                   |
|  |                | Baylor College of<br>Medicine/<br>5U01HG008664-04<br>REV                    |                   |                       | 17,968.13                   | 17,968.13                                      |                 |                       | 17,968.13              | 17,968.13                                    |
|  |                | Elimu Informatics,<br>Inc./<br>1R41HG010978-<br>01                          |                   |                       | 106,149.40                  | 106,149.40                                     |                 |                       | 106,149.40             | 106,149.40                                   |
|  |                | Johns Hopkins<br>University/<br>5UM1HG006542-08<br>/2002858065              |                   |                       | 139,530.23                  | 139,530.23                                     |                 |                       | 139,530.23             | 139,530.23                                   |
|  |                | Rutgers University/<br>5R01HG010297-<br>02                                  |                   |                       | 22,160.46                   | 22,160.46                                      |                 |                       | 22,160.46              | 22,160.46                                    |
|  |                | University of South<br>Florida/<br>R01HG009524-04                           |                   |                       | 80,635.90                   | 80,635.90                                      |                 |                       | 80,635.90              | 80,635.90                                    |
| Research Related to Deafness and Communication Disorders                           | 93.173         | Baylor College of<br>Medicine/<br>5U4HES027055-<br>03                       |                   |                       | 4,714.48                    | 4,714.48                                       |                 |                       | 4,714.48               | 4,714.48                                     |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title               | CFDA<br>Number       | NSE Name/<br>Identifying Number                                  | Pass-through From |            |              |        | Pass-through To                                |        |                                      |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------------|--|-------------------|------------|--------------|--------|--|--------|--------------------------------------|------------|--|---------------------------------|--|
|  |                      |  | Agy/<br>Univ      |            | Agy/<br>Univ |        | Pass-Through To<br>Agencies or<br>Universities |        | Pass-Through To<br>State<br>Entities |            |  |                                 |  |
|  |                      |  | No.               | Amount     | No.          | Amount | Amount   | Amount | Amount                               | Amount     |  |                                 |  |
| Research on Healthcare Costs, Quality and Outcomes                       | 5901DC014976-04      | Pennsylvania State University                                    |                   | 68,420.56  |              |        |  |        |                                      | 68,420.56  |  | 68,420.56                       |  |
|  | 5901DC016307-03      | Baylor College of Medicine/                                      |                   | 45,724.90  |              |        |  |        |                                      | 45,724.90  |  | 45,724.90                       |  |
|  | 1901HS026896-01      | Beith Israel Deaconess Medical Center/                           |                   | 29,580.08  |              |        |  |        |                                      | 29,580.08  |  | 29,580.08                       |  |
|  | 1901HS027367-01      | Oregon Health Sciences University/                               |                   | 174,071.32 |              |        |  |        |                                      | 174,071.32 |  | 174,071.32                      |  |
|  | 5901HS023940-04      | DAREVISED University of California - San Francisco/              |                   | 130,417.44 |              |        |  |        |                                      | 130,417.44 |  | 130,417.44                      |  |
|  | 118HS028135          | Baylor College of Medicine/                                      |                   | 17,321.02  |              |        |  |        |                                      | 17,321.02  |  | 17,321.02                       |  |
|  | 192MH11941-01A1      | Baylor College of Medicine/                                      |                   | 16,136.00  |              |        |  |        |                                      | 16,136.00  |  | 16,136.00                       |  |
|  | 5901MH115793-03      | Baylor College of Medicine/                                      |                   | 6,435.90   |              |        |  |        |                                      | 6,435.90   |  | 6,435.90                        |  |
|  | 592MH115756-02       | Brown University/  |                   | 19,902.32  |              |        |  |        |                                      | 19,902.32  |  | 19,902.32                       |  |
|  | 5901MH110449-03      | Regents of the University of California - UCLA/                  |                   | 28,833.58  |              |        |  |        |                                      | 28,833.58  |  | 28,833.58                       |  |
| Mental Health Research Grants  | 5923MH087222-09      | University of North Carolina - Chapel Hill/                      |                   | 1,696.02   |              |        |  |        |                                      | 1,696.02   |  | 1,696.02                        |  |
|  | 5101MH110925-04      | Dallas County - Criminal Justice Department/                     |                   | 3,000.50   |              |        |  |        |                                      | 3,000.50   |  | 3,000.50                        |  |
|  | 1929T081038-01       | The Moritose Center/   |                   | 50,555.00  |              |        |  |        |                                      | 50,555.00  |  | 50,555.00                       |  |
|  | 1929T082483          | American Association of Diabetes Educators/                      |                   | 15,510.82  |              |        |  |        |                                      | 15,510.82  |  | 15,510.82                       |  |
| Scaling the National Diabetes Prevention Program to Priority Populations | 17NJL90DF06361-01-00 | Baylor College of Medicine/                                      |                   | 104,221.77 |              |        |  |        |                                      | 104,221.77 |  | 104,221.77                      |  |
|  | 5901OH011930-02-00   | Colorado State University/                                       |                   | 226,474.45 |              |        |  |        |                                      | 226,474.45 |  | 226,474.45                      |  |
|  | 5154OH008085-16      | Southeastern Louisiana University/                               |                   | 10,010.97  |              |        |  |        |                                      | 10,010.97  |  | 10,010.97                       |  |
| Occupational Safety and Health Program                                   | OSR-02-2017-0108-Y2  | Louisiana State University Health Sciences Center - New Orleans/ |                   | 93,773.77  |              |        |  |        |                                      | 93,773.77  |  | 93,773.77                       |  |
|  | 1921A4028022-02      |  |                   |            |              |        |  |        |                                      |            |  |                                 |  |
| Alcohol Research Programs  |                      |  |                   |            |              |        |  |        |                                      |            |  |                                 |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                           | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |            |              | Pass-through To |  |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |                                      |            |
|--|----------------|--|-------------------|------------|--------------|-----------------|--|------------|--|--|--------------------------------------|------------|
|  |                |  | Agy/<br>Univ      |            | Agy/<br>Univ |                 | Pass-Through To<br>Agencies or<br>Universities |            |  |  | Pass-Through To<br>State<br>Entities |            |
|  |                |  | No                | Amount     | No           | Amount          | Amount   | Amount     |  |  | Amount                               | Amount     |
| Drug Abuse and Addiction Research Programs   | 93.279         | Kaiser Foundation<br>Research Institute/<br>201917038/<br>RNG03002<br>BUDG08<br>University of<br>Chicago/<br>AWO100228 (<br>00000222)<br>University of<br>Chicago/<br>5F01DA039934-<br>05<br>University of<br>Chicago/<br>5F01DA039934-05-<br>SP   | 64,886.81         | 61,400.15  | 47,947.58    | 81,727.44       | 64,886.81                                      | 61,400.15  | 47,947.58                                      | 81,727.44                                    | 281,337.16                           | 281,337.16 |
| Discovery and Applied Research for Technological Innovations to Improve Human Health | 93.286         | Baylor College of<br>Medicine/<br>TU18EB029353-<br>01<br>Massachusetts<br>General Hospital/<br>5J01EB023820-<br>03<br>University of<br>Southern California/<br>5F01EB029299-<br>03<br>William Marsh Rice<br>University/<br>2775LMD07093-28   | 411,420.57        | 162,723.87 | 411,420.57   | 162,723.87      | 411,420.57                                     | 162,723.87 | 411,420.57                                     | 162,723.87                                   | 411,420.57                           | 411,420.57 |
| Minority Health and Health Disparities Research                                      | 93.307         | Baylor College of<br>Medicine/<br>7R01MD013715-<br>01<br>Baylor College of<br>Medicine/<br>5F01MD013715-<br>02<br>Mechary Medical<br>College/<br>5J54MD007586-<br>34<br>University of<br>California - San<br>Francisco/<br>5F01MD013719-<br>02<br>University of North<br>Carolina - Chapel<br>Hill/<br>5F01MD013349-<br>03<br>University of<br>Oklahoma Health<br>Sciences Center/<br>7R01MD10733-44 | 3,487.47          | 82,382.39  | 3,487.47     | 82,382.39       | 3,487.47                                       | 82,382.39  | 3,487.47                                       | 82,382.39                                    | 3,487.47                             | 82,382.39  |
| Trans-NIH Research Support   | 93.310         | Vanderbilt University<br>Medical Center/<br>LZC0002196<br>Mayo Clinic/<br>5J017R002062-<br>03<br>Northwestern<br>University/<br>5J017R001806-<br>04<br>University of<br>Pittsburgh/<br>5JL17R001857-<br>05<br>Baylor College of<br>Medicine/<br>5J01DE028233-<br>02  | 139,650.67        | 515,333.47 | 139,650.67   | 515,333.47      | 139,650.67                                     | 515,333.47 | 139,650.67                                     | 515,333.47                                   | 139,650.67                           | 515,333.47 |
| National Center for Advancing Translational Sciences                                 | 93.350         | Northwestern<br>University/<br>5J017R001806-<br>04<br>University of<br>Pittsburgh/<br>5JL17R001857-<br>05<br>Baylor College of<br>Medicine/<br>5J01DE028233-<br>02   | 77,723.46         | 32,331.17  | 77,723.46    | 32,331.17       | 77,723.46                                      | 32,331.17  | 77,723.46                                      | 32,331.17                                    | 77,723.46                            | 77,723.46  |
| 21st Century Cures Act - Beau Biden Cancer Moonshot                                  | 93.353         | Baylor College of<br>Medicine/<br>5J01DE028233-<br>02  | 35,451.21         | 35,451.21  | 35,451.21    | 35,451.21       | 35,451.21                                      | 35,451.21  | 35,451.21                                      | 35,451.21                                    | 35,451.21                            | 35,451.21  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |  |                       |        | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |  |                       |            | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|--|-----------------------|--------|-----------------------------|--|-----------------|--|-----------------------|------------|--|
|  |                |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities | Amount |                             |  | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities | Amount     |  |
|  |                |  |                   |  |                       |        |                             |  |                 |  |                       |            |  |
|  |                | Beckman Research<br>Institute of City of<br>Hope                                       |                   |  |                       |        | 95,420.67                   |  |                 |  |                       | 95,420.67  |  |
|  |                | US/CA270978-04<br>REI/SEED   |                   |  |                       |        | 22,693.57                   |  |                 |  |                       | 22,693.57  |  |
|  |                | University of Iowa/<br>3F50/CA174621-<br>05S1  |                   |  |                       |        | 38.5                        |  |                 |  |                       | 38.5       |  |
| Nursing Research   | 93.361         | University of<br>California - Irvine/<br>R01MR0105591                                  |                   |  |                       |        | 38.5                        |  |                 |  |                       | 38.5       |  |
|  |                | University of Kansas<br>Center for<br>Research, Inc./<br>5F0/MR016255-<br>03           |                   |  |                       |        | 2,408.82                    |  |                 |  |                       | 2,408.82   |  |
|  |                | University of<br>Michigan/<br>5F0/MR016123-<br>04                                      |                   |  |                       |        | 21,697.87                   |  |                 |  |                       | 21,697.87  |  |
|  |                | Baylor College of<br>Medicine/<br>3O1Z0002751-<br>01S1                                 |                   |  |                       |        | 186,736.47                  |  |                 |  |                       | 186,736.47 |  |
| 21st Century Cures Act - Precision Medicine Initiative     | 93.368         |  |                   |  |                       |        | 186,736.47                  |  |                 |  |                       | 186,736.47 |  |
|  |                | TIRR Memorial<br>Hermann/<br>90S740001-03-00   |                   |  |                       |        | 15,395.07                   |  |                 |  |                       | 15,395.07  |  |
| ACL Independent Living State Grants                        | 93.369         |  |                   |  |                       |        | 15,395.07                   |  |                 |  |                       | 15,395.07  |  |
|  |                | H. Lee Moffitt<br>Cancer Center &<br>Research Institute/<br>R01CA243552                |                   |  |                       |        | 16,835.01                   |  |                 |  |                       | 16,835.01  |  |
| Cancer Cause and Prevention Research                       | 93.393         |  |                   |  |                       |        | 16,835.01                   |  |                 |  |                       | 16,835.01  |  |
|  |                | University of<br>Minnesota/<br>5F0/CA138338-<br>09                                     |                   |  |                       |        | 124,119.55                  |  |                 |  |                       | 124,119.55 |  |
|  |                | University of<br>Oklahoma Health<br>Sciences Center/<br>5F0/CA197314-<br>06            |                   |  |                       |        | 11,699.50                   |  |                 |  |                       | 11,699.50  |  |
|  |                | Baylor College of<br>Medicine/<br>5F0/CA217892-<br>04                                  |                   |  |                       |        | 80,208.24                   |  |                 |  |                       | 80,208.24  |  |
| Cancer Detection and Diagnosis Research                    | 93.394         |  |                   |  |                       |        | 80,208.24                   |  |                 |  |                       | 80,208.24  |  |
|  |                | Baylor College of<br>Medicine/<br>7F0/CA180949-<br>06                                  |                   |  |                       |        | 78,361.69                   |  |                 |  |                       | 78,361.69  |  |
|  |                | University of<br>Kentucky Research<br>Foundation/<br>3200202640-20-<br>049             |                   |  |                       |        | 30,499.06                   |  |                 |  |                       | 30,499.06  |  |
|  |                | University of<br>Oklahoma/<br>2020-24  |                   |  |                       |        | 8,507.63                    |  |                 |  |                       | 8,507.63   |  |
|  |                | Baylor College of<br>Medicine/<br>5F0/CA206476-04<br>REV                               |                   |  |                       |        | 10,209.75                   |  |                 |  |                       | 10,209.75  |  |
| Cancer Treatment Research                                  | 93.395         |  |                   |  |                       |        | 10,209.75                   |  |                 |  |                       | 10,209.75  |  |
|  |                | Baylor College of<br>Medicine/<br>5F0/CA232890-<br>02                                  |                   |  |                       |        | 24,068.81                   |  |                 |  |                       | 24,068.81  |  |
|  |                | Beckman Research<br>Institute of City of<br>Hope                                       |                   |  |                       |        | 332.79                      |  |                 |  |                       | 332.79     |  |
|  |                | R01CA164742-03<br>300006168<br>Brigham and<br>Women's Hospital/<br>5F0/CA163205-<br>07 |                   |  |                       |        | 413,710.38                  |  |                 |  |                       | 413,710.38 |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From           |        |                             | Pass-through To |                   |        | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To |            | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-----------------------------|--------|-----------------------------|-----------------|-------------------|--------|-----------------------------|--|---------------------|-----------------|------------|--|
|  |                |   | Agencies or<br>Universities |        | Agencies or<br>Universities |                 | State<br>Entities | Amount |                             |  |                     |                 |            |  |
|  |                |   | Non-State<br>Entities       | Amount | Non-State<br>Entities       | Amount          |                   |        |                             |  |                     |                 |            |  |
|  |                |   |                             |        |                             |                 |                   |        | 7,084.00                    |  |                     |                 | 7,084.00   | 7,084.00                                     |
|  |                | ECOG - ACRIN<br>Cancer Research<br>Group/<br>U/02A180820<br>EAF151              |                             |        | 101,644.46                  |                 |                   |        | 101,644.46                  |  |                     |                 | 101,644.46 | 101,644.46                                   |
|  |                | ECOG - ACRIN<br>Cancer Research<br>Group/<br>5/JG1CA189828<br>EA1151            |                             |        | 118,154.46                  |                 |                   |        | 118,154.46                  |  |                     |                 | 118,154.46 | 118,154.46                                   |
|  |                | Medical College of<br>Wisconsin/<br>R01CA232892                                 |                             |        | 24,730.30                   |                 |                   |        | 24,730.30                   |  |                     |                 | 24,730.30  | 24,730.30                                    |
|  |                | Medical College of<br>Wisconsin/<br>5P07CA215403-<br>02                         |                             |        | 81,900.72                   |                 |                   |        | 81,900.72                   |  |                     |                 | 81,900.72  | 81,900.72                                    |
|  |                | OncoSynergy, Inc/<br>1R41CA247044-<br>01  |                             |        | 890.13                      |                 |                   |        | 890.13                      |  |                     |                 | 890.13     | 890.13                                       |
|  |                | Oregon Health<br>Sciences University/<br>1013080/UTHSC-<br>HOUSTON              |                             |        | 43,485.90                   |                 |                   |        | 43,485.90                   |  |                     |                 | 43,485.90  | 43,485.90                                    |
|  |                | Regents of the<br>University of<br>California - UCLA/<br>5/JM1CA121947-<br>13   |                             |        | 1,050.00                    |                 |                   |        | 1,050.00                    |  |                     |                 | 1,050.00   | 1,050.00                                     |
|  |                | University of<br>Oklahoma Health<br>Sciences Center/<br>20114129                |                             |        | 46,223.50                   |                 |                   |        | 46,223.50                   |  |                     |                 | 46,223.50  | 46,223.50                                    |
|  |                | Cedars Sinai<br>Medical Center/<br>3P07CA098872-<br>75S1                        |                             |        | 125,831.95                  |                 |                   |        | 125,831.95                  |  |                     |                 | 125,831.95 | 125,831.95                                   |
|  |                | Cedars Sinai<br>Medical Center/<br>5P07CA098872-<br>15                          |                             |        | 205,752.25                  |                 |                   |        | 205,752.25                  |  |                     |                 | 205,752.25 | 205,752.25                                   |
|  |                | University of<br>Oklahoma Health<br>Sciences Center/<br>5P07CA203108-<br>06     |                             |        | 2,246.14                    |                 |                   |        | 2,246.14                    |  |                     |                 | 2,246.14   | 2,246.14                                     |
|  |                | Beckman Research<br>Institute of City of<br>Hope/<br>U54CA209978/<br>3000116269 |                             |        | 224,069.96                  |                 |                   |        | 224,069.96                  |  |                     |                 | 224,069.96 | 224,069.96                                   |
|  |                | Beckman Research<br>Institute of City of<br>Hope/<br>U54CA209978/<br>3000116723 |                             |        | 126,680.23                  |                 |                   |        | 126,680.23                  |  |                     |                 | 126,680.23 | 126,680.23                                   |
|  |                | Beckman Research<br>Institute of City of<br>Hope/<br>U54CA209978/<br>3000716738 |                             |        | 18,103.25                   |                 |                   |        | 18,103.25                   |  |                     |                 | 18,103.25  | 18,103.25                                    |
|  |                | TIRR Memorial<br>Hermann/<br>90L7A0001-02-00<br>PRIMARY                         |                             |        | 13,639.69                   |                 |                   |        | 13,639.69                   |  |                     |                 | 13,639.69  | 13,639.69                                    |
|  |                | TIRR Memorial<br>Hermann/<br>90L7A0001-02-01<br>SUPPLEMENT                      |                             |        | 1,524.62                    |                 |                   |        | 1,524.62                    |  |                     |                 | 1,524.62   | 1,524.62                                     |
|  |                | MedStar Health<br>Research Institute,<br>90R7HF0003-01-<br>00                   |                             |        |                             |                 |                   |        |                             |  |                     |                 |            |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                     | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From |  |                       | Pass-through To |  |                   | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-------------------|--|-----------------------|-----------------|--|-------------------|--|--|
|  |                |   | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | State<br>Entities |  |  |
|  |                |   |                   |  |                       |                 |  |                   |  |  |
|  |                | Memorial Hermann<br>Health System/  |                   |  | 59,144.48             |                 |  | 59,144.48         | 59,144.48                                      |  |
|  |                | 90DPAD0001-03-<br>00<br>Memorial Hermann<br>Health System/                      |                   |  | 5,891.62              |                 |  | 5,891.62          | 5,891.62                                       |  |
|  |                | 90DPFB0016<br>Memorial Hermann<br>Health System/                                |                   |  | 85,948.19             |                 |  | 85,948.19         | 85,948.19                                      |  |
|  |                | 90DP0002-04-00<br>Memorial Hermann<br>Health System/                            |                   |  | 11,784.97             |                 |  | 11,784.97         | 11,784.97                                      |  |
|  |                | 90S15027-04-00<br>TIRR Memorial<br>Hermann/                                     |                   |  | 42,729.74             |                 |  | 42,729.74         | 42,729.74                                      |  |
|  |                | 90DP0075-05-00<br>TIRR Memorial<br>Hermann/                                     |                   |  | 12,298.55             |                 |  | 12,298.55         | 12,298.55                                      |  |
|  |                | 90S5027-04-00 #<br>19-MS2-7<br>University of<br>Maryland/                       |                   |  | 501.84                |                 |  | 501.84            | 501.84   |  |
|  |                | 90DP099-01-00<br>1701835A<br>Northwest Portland<br>Area Indian Health<br>Board/ |                   |  | 105,289.38            |                 |  | 105,289.38        | 105,289.38                                     |  |
| Indian Self-Determination  | 93.441         |   |                   |  |                       |                 |  |                   |  |  |
|  |                | 248-96-0011 / C19-<br>02<br>The Montrose<br>Center/                             |                   |  | 99,148.08             |                 |  | 99,148.08         | 99,148.08                                      |  |
| Section 223 Demonstration Programs to Improve Community Mental Health Services | 93.829         |   |                   |  |                       |                 |  |                   |  |  |
|  |                | 1H79SM081815-<br>01<br>Baylor College of<br>Medicine/                           |                   |  | 360,205.04            |                 |  | 360,205.04        | 360,205.04                                     |  |
| Cardiovascular Diseases Research   | 93.837         |   |                   |  |                       |                 |  |                   |  |  |
|  |                | FR01HL148050-<br>01<br>Duke University/   |                   |  | 77,613.84             |                 |  | 77,613.84         | 77,613.84                                      |  |
|  |                | 5F01HL133618-<br>04<br>Geisinger Medical<br>Center/                             |                   |  | 106,294.39            |                 |  | 106,294.39        | 106,294.39                                     |  |
|  |                | 5F00HL130580-<br>05<br>Health Core, Inc./                                       |                   |  | 77                    |                 |  | 77                | 77   |  |
|  |                | U01HL107407<br>6EST-CU<br>Johns Hopkins<br>University/                          |                   |  | 93,670.96             |                 |  | 93,670.96         | 93,670.96                                      |  |
|  |                | 2U01HL096812-<br>09<br>Kaiser Foundation<br>Research Institute/                 |                   |  | -5,727.37             |                 |  | -5,727.37         | -5,727.37                                      |  |
|  |                | 5F01HL122658 /<br>200103-07<br>Massachusetts<br>General Hospital/               |                   |  | 22,490.98             |                 |  | 22,490.98         | 22,490.98                                      |  |
|  |                | SITE 31473 A5332 /<br>3<br>Methodist Hospital<br>Research Institute/            |                   |  | 28,665.62             |                 |  | 28,665.62         | 28,665.62                                      |  |
|  |                | R01HL132155-05<br>New York University<br>School of Medicine/                    |                   |  | 47,950.30             |                 |  | 47,950.30         | 47,950.30                                      |  |
|  |                | 18-A0-00-1-001368<br>PRJ 111354   |                   |  |                       |                 |  |                   |  |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number                                | Pass-through From |  |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |  |                                 | Total<br>PT To and<br>Expenditures<br>Amount |           |
|--|----------------|--|-------------------|--|---------------------------------|-----------------------------|--|-----------------|--|---------------------------------|--|-----------|
|  |                |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |                             |  | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Non-State<br>Entities<br>Amount |  |           |
|  |                |  |                   |  |                                 |                             |  |                 |  |                                 |  | No.       |
|  |                | Regents of the University of California/UCLA/ 1935 G W6042     |                   |  | 38,180.31                       | 38,180.31                   |  |                 |  |                                 | 38,180.31                                    | 27,097.83 |
|  |                | 590/HL135920-03<br>101742-00001                                |                   |  | 68,195.86                       | 68,195.86                   |  |                 |  |                                 | 68,195.86                                    | 27,097.83 |
|  |                | University of Alabama - Birmingham/ US4HL148472                |                   |  | 73,082.00                       | 73,082.00                   |  |                 |  |                                 | 73,082.00                                    | 27,097.83 |
|  |                | University of Alabama - Birmingham/ 50/HL120338-06             |                   |  | 20,275.73                       | 20,275.73                   |  |                 |  |                                 | 20,275.73                                    | 27,097.83 |
|  |                | University of Illinois/ 190/HL147031-01                        |                   |  | 31,589.69                       | 31,589.69                   |  |                 |  |                                 | 31,589.69                                    | 27,097.83 |
|  |                | University of Kentucky/ 50/HL143508-02                         |                   |  | 23,916.18                       | 23,916.18                   |  |                 |  |                                 | 23,916.18                                    | 27,097.83 |
|  |                | University of Michigan/ 590/HL122684-04                        |                   |  | 26,491.24                       | 26,491.24                   |  |                 |  |                                 | 26,491.24                                    | 27,097.83 |
|  |                | University of Michigan/ 590/HL141282-03                        |                   |  | 9,697.17                        | 9,697.17                    |  |                 |  |                                 | 9,697.17                                     | 27,097.83 |
|  |                | University of Michigan/ 5935HL135824-04                        |                   |  | 5,750.65                        | 5,750.65                    |  |                 |  |                                 | 5,750.65                                     | 27,097.83 |
|  |                | University of Minnesota/ 001HL133818                           |                   |  | 23,039.34                       | 23,039.34                   |  |                 |  |                                 | 23,039.34                                    | 27,097.83 |
|  |                | University of Minnesota/ 590/HL116720-06                       |                   |  | 44,243.31                       | 44,243.31                   |  |                 |  |                                 | 44,243.31                                    | 27,097.83 |
|  |                | University of North Carolina - Chapel Hill/ 590/HL142302-03    |                   |  | 15,253.80                       | 15,253.80                   |  |                 |  |                                 | 15,253.80                                    | 27,097.83 |
|  |                | University of Pittsburgh/ 590/HL128304-05                      |                   |  | 1,002.71                        | 1,002.71                    |  |                 |  |                                 | 1,002.71                                     | 27,097.83 |
|  |                | University of Rochester/ 416676                                |                   |  | 2,048.75                        | 2,048.75                    |  |                 |  |                                 | 2,048.75                                     | 27,097.83 |
|  |                | University of Virginia/ 590/HL123627-04                        |                   |  | 3,371.36                        | 3,371.36                    |  |                 |  |                                 | 3,371.36                                     | 27,097.83 |
|  |                | University of Washington/ UWSC/567 POF BFO 23749               |                   |  | 34,265.65                       | 34,265.65                   |  |                 |  |                                 | 34,265.65                                    | 27,097.83 |
|  |                | University of Washington/ 590/HL105756-09                      |                   |  | 45,650.09                       | 45,650.09                   |  |                 |  |                                 | 45,650.09                                    | 27,097.83 |
|  |                | University of Washington/ 590/HL105756-09                      |                   |  | 375,523.74                      | 375,523.74                  |  |                 |  |                                 | 375,523.74                                   | 27,097.83 |
|  |                | Wake Forest University Health Sciences/ WFUHS-34-101230-117901 |                   |  | 3,662.92                        | 3,662.92                    |  |                 |  |                                 | 3,662.92                                     | 27,097.83 |
|  |                | Washington University/ 590/HL118305-04                         |                   |  | 3,662.92                        | 3,662.92                    |  |                 |  |                                 | 3,662.92                                     | 27,097.83 |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From |            |              |        | Pass-through To                                |        |  |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount |
|--|----------------|---|-------------------|------------|--------------|--------|--|--------|--|------------|--|---------------------------------|
|  |                |   | Agy/<br>Univ      |            | Agy/<br>Univ |        | Pass-Through To<br>Agencies or<br>Universities |        | Pass-Through To<br>Non-<br>State<br>Entities |            |  |                                 |
|  |                |   | No                | Amount     | No           | Amount | Amount   | Amount | Amount                                       | Amount     |  |                                 |
|  |                | Windmill<br>Cardiovascular<br>Syndrome<br>R44HL127833<br>AG700731<br>Yale University/<br>GK000389 (CONV-<br>80000125)   |                   | 4,799.98   |              |        |  |        |  | 4,799.98   | 4,799.98                                       | 4,799.98                        |
| Lung Diseases Research                                       | 93.838         | Emory University/<br>5F07HL139876-<br>02<br>George Washington<br>University/<br>R01HL098354<br>CENTER #26<br>George Washington<br>University/<br>S-ALP1920-CF-26  |                   | 208,371.99 |              |        |  |        |  | 208,371.99 | 208,371.99                                     | 208,371.99                      |
|  |                | University of<br>California - San<br>Francisco/<br>U01HL123009<br>University of<br>California - San<br>Francisco/<br>5L07HL134786-<br>04  |                   | 14,104.42  |              |        |  |        |  | 14,104.42  | 14,104.42                                      | 14,104.42                       |
| Blood Diseases and Resources Research                        | 93.839         | Emory University/<br>5L07HL128566-<br>05<br>University of<br>Alabama -<br>Birmingham/<br>HHSN26820180000<br>51/HHSN26800001   |                   | 190.19     |              |        |  |        |  | 190.19     | 190.19   | 190.19                          |
|  |                | University of<br>Chicago/<br>5R07HL13334-03<br>University of<br>Washington/<br>5F07HL134894-<br>03  |                   | 117,514.97 |              |        |  |        |  | 117,514.97 | 117,514.97                                     | 117,514.97                      |
| Arthritis, Musculoskeletal and Skin Diseases Research        | 93.846         | Baylor College of<br>Medicine/<br>PENN/ING<br>Indiana University/<br>5R07AR074473-<br>02<br>Johns Hopkins<br>University/<br>R01AR073178<br>Stedman Philippon<br>Research Institute/<br>7R21AR073509-02<br>201942<br>University of<br>Colorado<br>5R07AR054385-<br>09<br>University of<br>Mississippi (Medical<br>Center/<br>R01AR073178 |                   | 66,029.23  |              |        |  |        |  | 66,029.23  | 66,029.23                                      | 66,029.23                       |
|  |                | Baylor College of<br>Medicine/<br>1R01DK122784-<br>01<br>Baylor College of<br>Medicine/<br>2P30DK056338-<br>16  |                   | 62,966.08  |              |        |  |        |  | 62,966.08  | 62,966.08                                      | 62,966.08                       |
|  |                | Baylor College of<br>Medicine/<br>R01AR073178   |                   | 3,580.76   |              |        |  |        |  | 3,580.76   | 3,580.76                                       | 3,580.76                        |
|  |                | Baylor College of<br>Medicine/<br>1R01DK122784-<br>01<br>Baylor College of<br>Medicine/<br>2P30DK056338-<br>16  |                   | 21,246.04  |              |        |  |        |  | 21,246.04  | 21,246.04                                      | 21,246.04                       |
|  |                | Baylor College of<br>Medicine/<br>1R01DK122784-<br>01<br>Baylor College of<br>Medicine/<br>2P30DK056338-<br>16  |                   | 454,786.55 |              |        |  |        |  | 454,786.55 | 454,786.55                                     | 454,786.55                      |
|  |                | Baylor College of<br>Medicine/<br>R01AR073178   |                   | 19,708.89  |              |        |  |        |  | 19,708.89  | 19,708.89                                      | 19,708.89                       |
| Diabetes, Digestive, and Kidney Diseases Extramural Research | 93.847         | Baylor College of<br>Medicine/<br>1R01DK122784-<br>01<br>Baylor College of<br>Medicine/<br>2P30DK056338-<br>16  |                   | 102,484.08 |              |        |  |        |  | 102,484.08 | 102,484.08                                     | 102,484.08                      |
|  |                | Baylor College of<br>Medicine/<br>R01AR073178   |                   | 113,008.95 |              |        |  |        |  | 113,008.95 | 113,008.95                                     | 113,008.95                      |
|  |                | Baylor College of<br>Medicine/<br>R01AR073178   |                   | 249,770.24 |              |        |  |        |  | 249,770.24 | 249,770.24                                     | 249,770.24                      |
|  |                | Baylor College of<br>Medicine/<br>R01AR073178   |                   | 8,513.77   |              |        |  |        |  | 8,513.77   | 8,513.77                                       | 8,513.77                        |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From |  |                       | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To |              |  | Total<br>PT To and<br>Expenditures<br>Amount |                       |
|--|----------------|---|-------------------|--|-----------------------|-----------------------------|--|-----------------|--------------|--|--|-----------------------|
|  |                |   | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities |                             |  | Amount          | Agy/<br>Univ | Pass-Through To<br>Agencies or<br>Universities |  | Non-State<br>Entities |
|  |                | Baylor College of<br>Medicine/<br>5F20DK056338-<br>16                   |                   |  | -647.02               | -647.02                     |  |                 |              |  | -647.02                                      | 8,463.13              |
|  |                | Baylor College of<br>Medicine/<br>5F20DK056338-<br>17                   |                   |  | 99,187.36             | 99,187.36                   |  |                 |              |  | 99,187.36                                    | 8,463.13              |
|  |                | Baylor College of<br>Medicine/<br>5F01DK109934-<br>04                   |                   |  | 59,010.22             | 59,010.22                   |  |                 |              |  | 59,010.22                                    | 8,463.13              |
|  |                | Baylor College of<br>Medicine/<br>5F01DK11522-<br>04                    |                   |  | 5,003.67              | 5,003.67                    |  |                 |              |  | 5,003.67                                     | 8,463.13              |
|  |                | Broad Institute, Inc./<br>5L01DK105554-<br>05                           |                   |  | 113,170.86            | 113,170.86                  |  |                 |              |  | 113,170.86                                   | 8,463.13              |
|  |                | Children's Hospital<br>of Philadelphia/<br>5L01DK06174-18<br>3301820720 |                   |  | 15,991.24             | 15,991.24                   |  |                 |              |  | 15,991.24                                    | 8,463.13              |
|  |                | Indiana University/<br>FR0DK121378-01<br>8430-UHSC                      |                   |  | 9,465.37              | 9,465.37                    |  |                 |              |  | 9,465.37                                     | 8,463.13              |
|  |                | Johns Hopkins<br>University/<br>FR0DK124389-<br>01                      |                   |  | 956.02                | 956.02                      |  |                 |              |  | 956.02                                       | 8,463.13              |
|  |                | Johns Hopkins<br>University/<br>5F2DK112087-<br>02                      |                   |  | 5,821.73              | 5,821.73                    |  |                 |              |  | 5,821.73                                     | 8,463.13              |
|  |                | Joslin Diabetes<br>Center/<br>5L01DK116102-<br>03                       |                   |  | 34,661.50             | 34,661.50                   |  |                 |              |  | 34,661.50                                    | 8,463.13              |
|  |                | Massachusetts<br>General Hospital/<br>5L01DK078616-<br>12               |                   |  | 83,597.95             | 83,597.95                   |  |                 |              |  | 83,597.95                                    | 8,463.13              |
|  |                | Tulane University/<br>TUL-HSC-55789-<br>1576                            |                   |  | 11,368.52             | 11,368.52                   |  |                 |              |  | 11,368.52                                    | 8,463.13              |
|  |                | University of Illinois/<br>2R0DK101536-<br>06                           |                   |  | 12,294.19             | 12,294.19                   |  |                 |              |  | 12,294.19                                    | 8,463.13              |
|  |                | Amprion, Inc./<br>5SB1NS079092-<br>05                                   |                   |  | 75,649.42             | 75,649.42                   |  |                 |              |  | 75,649.42                                    | 8,463.13              |
|  |                | Arizona State<br>University/<br>FR2NS107985-<br>01                      |                   |  | 69,817.91             | 69,817.91                   |  |                 |              |  | 69,817.91                                    | 8,463.13              |
|  |                | APT Therapeutics,<br>Inc./<br>FR43NS113641-<br>01                       |                   |  | 8,782.24              | 8,782.24                    |  |                 |              |  | 8,782.24                                     | 8,463.13              |
|  |                | Baylor College of<br>Medicine/<br>5F01NS065171-<br>08                   |                   |  | 165,437.00            | 165,437.00                  |  |                 |              |  | 165,437.00                                   | 8,463.13              |
|  |                | Baylor College of<br>Medicine/<br>5F01NS064280-<br>05                   |                   |  | 224,995.32            | 224,995.32                  |  |                 |              |  | 224,995.32                                   | 8,463.13              |
|  |                | Baylor College of<br>Medicine/<br>5L01NS04366-<br>03                    |                   |  | 17,277.97             | 17,277.97                   |  |                 |              |  | 17,277.97                                    | 8,463.13              |
|  |                | Beckman Research<br>Institute of City of<br>Hope                        |                   |  |                       |                             |  |                 |              |  |  | 8,463.13              |

Extramural Research Programs in the Neurosciences and Neurological Disorders

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |     |              | Pass-through To |        |        | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To             |            | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|-----|--------------|-----------------|--------|--------|-----------------------------|--|---------------------|-----------------------------|------------|--|
|  |                |  | Agy/<br>Univ      |     | Agy/<br>Univ |                 | Amount | Amount |                             |  |                     | State<br>Entities<br>Amount |            |  |
|  |                |  | Amount            | No. | Amount       | No.             |        |        |                             |  |                     |                             |            |  |
|  |                | 61324-2006517  |                   |     |              |                 |        |        |                             |  |                     |                             |            |  |
|  |                | 66336/ Children's<br>Hospital  |                   |     | 7,677.68     |                 |        |        | 7,677.68                    |  |                     | 7,677.68                    | 7,677.68   |  |
|  |                | 2/05/MS/022090-<br>06  |                   |     | 558.57       |                 |        |        | 558.57                      |  |                     | 558.57                      | 558.57     |  |
|  |                | Boston Children's<br>Hospital  |                   |     | 75,050.84    |                 |        |        | 75,050.84                   |  |                     | 75,050.84                   | 75,050.84  |  |
|  |                | 4/07/MS/082320-<br>05  |                   |     | 43,196.65    |                 |        |        | 43,196.65                   |  |                     | 43,196.65                   | 43,196.65  |  |
|  |                | Boston Children's<br>Hospital  |                   |     | 8,848.44     |                 |        |        | 8,848.44                    |  |                     | 8,848.44                    | 8,848.44   |  |
|  |                | 5/05/MS/022090-<br>05  |                   |     | 17,108.05    |                 |        |        | 17,108.05                   |  |                     | 17,108.05                   | 17,108.05  |  |
|  |                | Cleveland Clinic<br>Foundation/<br>5570/MS/089212-<br>05                 |                   |     | 6,563.63     |                 |        |        | 6,563.63                    |  |                     | 6,563.63                    | 6,563.63   |  |
|  |                | Columbia University/<br>5570/MS/094596-<br>03                            |                   |     | 8,570.72     |                 |        |        | 8,570.72                    |  |                     | 8,570.72                    | 8,570.72   |  |
|  |                | Drexel University/<br>5570/MS/097880-<br>03                              |                   |     | 1,758.26     |                 |        |        | 1,758.26                    |  |                     | 1,758.26                    | 1,758.26   |  |
|  |                | Emory University/<br>5/02/MS/100673-<br>04                               |                   |     | 28,852.34    |                 |        |        | 28,852.34                   |  |                     | 28,852.34                   | 28,852.34  |  |
|  |                | Massachusetts<br>General Hospital/<br>235460                             |                   |     | 66,382.63    |                 |        |        | 66,382.63                   |  |                     | 66,382.63                   | 66,382.63  |  |
|  |                | Mayo Clinic/<br>5/07/MS/080168-5   |                   |     | 6,413.80     |                 |        |        | 6,413.80                    |  |                     | 6,413.80                    | 6,413.80   |  |
|  |                | Medical Innovators<br>Company, LLC/<br>1R4/MS/115253                     |                   |     | -20,102.38   |                 |        |        | -20,102.38                  |  |                     | -20,102.38                  | -20,102.38 |  |
|  |                | Northwestern<br>University/<br>5570/MS/110779-<br>02                     |                   |     | 72,537.99    |                 |        |        | 72,537.99                   |  |                     | 72,537.99                   | 72,537.99  |  |
|  |                | Partners HealthCare<br>Research<br>Management/<br>1/07/MS/082559-<br>07  |                   |     | 23,294.41    |                 |        |        | 23,294.41                   |  |                     | 23,294.41                   | 23,294.41  |  |
|  |                | Rutgers University/<br>5570/MS/102382-02<br>0418                         |                   |     | 664.14       |                 |        |        | 664.14                      |  |                     | 664.14                      | 664.14     |  |
|  |                | University of<br>Alabama/<br>Birmingham/<br>5/07/MS/082595-<br>04        |                   |     | 7,356.92     |                 |        |        | 7,356.92                    |  |                     | 7,356.92                    | 7,356.92   |  |
|  |                | University of<br>California - San<br>Francisco/<br>5/07/MS/060902-<br>05 |                   |     | 6,331.78     |                 |        |        | 6,331.78                    |  |                     | 6,331.78                    | 6,331.78   |  |
|  |                | University of<br>Cincinnati/<br>1/07/MS/09043-<br>01A1                   |                   |     | 1,061.41     |                 |        |        | 1,061.41                    |  |                     | 1,061.41                    | 1,061.41   |  |
|  |                | University of<br>Cincinnati/<br>1/07/MS/100699-<br>01A1 REVISED          |                   |     | 3,501.93     |                 |        |        | 3,501.93                    |  |                     | 3,501.93                    | 3,501.93   |  |
|  |                | University of<br>Cincinnati/<br>1/07/MS/102353-<br>07                    |                   |     |              |                 |        |        |                             |  |                     |                             |            |  |
|  |                | University of<br>Cincinnati/<br>1/07/MS/102353-<br>07                    |                   |     |              |                 |        |        |                             |  |                     |                             |            |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From               |                |                                 | Pass-through To |                                 |                | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To        |                                     | Total<br>Expenditures<br>Amount |
|--|----------------|--|---------------------------------|----------------|---------------------------------|-----------------|---------------------------------|----------------|-----------------------------|--|---------------------|------------------------|-------------------------------------|---------------------------------|
|  |                |  | Agy/<br>Univ                    |                | Agy/<br>Univ                    |                 | Agy/<br>Univ                    |                |                             |  |                     | Expenditures<br>Amount | PT To and<br>Expenditures<br>Amount |                                 |
|  |                |  | Non-State<br>Entities<br>Amount | Univ<br>Amount | Non-State<br>Entities<br>Amount | Univ<br>Amount  | Non-State<br>Entities<br>Amount | Univ<br>Amount |                             |  |                     |                        |                                     |                                 |
|  |                | 1U01NS106655-01A1<br>University of Chicago                                       |                                 |                | 20,894.11                       |                 |                                 |                | 20,894.11                   |  |                     | 20,894.11              | 20,894.11                           |                                 |
|  |                | 5U01NS065669-02<br>University of Iowa  |                                 |                | 10,792.75                       |                 |                                 |                | 10,792.75                   |  |                     | 10,792.75              | 10,792.75                           |                                 |
|  |                | 2U01NS059003-10<br>University of Maryland  |                                 |                | 17,871.80                       |                 |                                 |                | 17,871.80                   |  |                     | 17,871.80              | 17,871.80                           |                                 |
|  |                | R21NS101245<br>University of Maryland  |                                 |                | 3,325.83                        |                 |                                 |                | 3,325.83                    |  |                     | 3,325.83               | 3,325.83                            |                                 |
|  |                | FRO NS114045-01<br>University of Miami   |                                 |                | -210.98                         |                 |                                 |                | -210.98                     |  |                     | -210.98                | -210.98                             |                                 |
|  |                | 5U54NS092091-05<br>University of Michigan  |                                 |                | 1,762.75                        |                 |                                 |                | 1,762.75                    |  |                     | 1,762.75               | 1,762.75                            |                                 |
|  |                | U01NS026835<br>University of Michigan  |                                 |                | 19,652.32                       |                 |                                 |                | 19,652.32                   |  |                     | 19,652.32              | 19,652.32                           |                                 |
|  |                | U01NS026948<br>University of Michigan  |                                 |                | 1,386.00                        |                 |                                 |                | 1,386.00                    |  |                     | 1,386.00               | 1,386.00                            |                                 |
|  |                | U01NS080034<br>University of Michigan  |                                 |                | 26,626.27                       |                 |                                 |                | 26,626.27                   |  |                     | 26,626.27              | 26,626.27                           |                                 |
|  |                | U01NS09046-01A1<br>University of Wisconsin - Madison                             |                                 |                | 15,956.66                       |                 |                                 |                | 15,956.66                   |  |                     | 15,956.66              | 15,956.66                           |                                 |
|  |                | 835K633<br>Baylor College of Medicine  |                                 |                | 28,235.51                       |                 |                                 |                | 28,235.51                   |  |                     | 28,235.51              | 28,235.51                           |                                 |
|  |                | 5F01A1135809-03<br>Baylor College of Medicine                                    |                                 |                | 224,064.02                      |                 |                                 |                | 224,064.02                  |  |                     | 224,064.02             | 224,064.02                          |                                 |
|  |                | 5U19A144297-02<br>Beckman Research Institute of City of Hope                     |                                 |                | 17,277.97                       |                 |                                 |                | 17,277.97                   |  |                     | 17,277.97              | 17,277.97                           |                                 |
|  |                | A129582/61325<br>2006525 669301<br>Benaroya Research Institute at Virginia Mason |                                 |                | -991.14                         |                 |                                 |                | -991.14                     |  |                     | -991.14                | -991.14                             |                                 |
|  |                | 5UM1A109565-06<br>Benaroya Research Institute at Virginia Mason                  |                                 |                | 19,078.77                       |                 |                                 |                | 19,078.77                   |  |                     | 19,078.77              | 19,078.77                           |                                 |
|  |                | U01NS05565-06<br>Case Western Reserve University                                 |                                 |                | 76,797.26                       |                 |                                 |                | 76,797.26                   |  |                     | 76,797.26              | 76,797.26                           |                                 |
|  |                | 5P01A105705-05<br>Duke University  |                                 |                | 44,112.87                       |                 |                                 |                | 44,112.87                   |  |                     | 44,112.87              | 44,112.87                           |                                 |
|  |                | UM1A104681 SITE 0097<br>Duke University  |                                 |                | 42,064.93                       |                 |                                 |                | 42,064.93                   |  |                     | 42,064.93              | 42,064.93                           |                                 |
|  |                | UM1A104681 22540/235058<br>Duke University                                       |                                 |                | 259,642.03                      |                 |                                 |                | 259,642.03                  |  |                     | 259,642.03             | 259,642.03                          |                                 |
|  |                | 2UM1A104681-08   |                                 |                |                                 |                 |                                 |                |                             |  |                     |                        |                                     |                                 |

Allergy and Infectious Diseases Research

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number                                 | Pass-through From |              |              |              | Pass-through To                                |        |                                      |        | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|-------------------|--------------|--------------|--------------|--|--------|--------------------------------------|--------|--|--|
|  |                |   | Agy/<br>Univ      |              | Agy/<br>Univ |              | Pass-Through To<br>Agencies or<br>Universities |        | Pass-Through To<br>State<br>Entities |        |  |  |
|  |                |   | No                | Amount       | No           | Amount       | Amount   | Amount | Amount                               | Amount |  |  |
|  |                | George Washington University                                    |                   | 90,145.79    |              | 90,145.79    |  |        |                                      |        | 90,145.79                                      | 90,145.79                                    |
|  |                | UM1A068619 / 17-M79   |                   | 1,083,648.77 |              | 1,083,648.77 |  |        |                                      |        | 1,083,648.77                                   | 1,083,648.77                                 |
|  |                | George Washington University                                    |                   | 96,133.09    |              | 96,133.09    |  |        |                                      |        | 96,133.09                                      | 96,133.09                                    |
|  |                | 5UM1A068503-14  |                   | 12,238.39    |              | 12,238.39    |  |        |                                      |        | 12,238.39                                      | 12,238.39                                    |
|  |                | Indiana University  |                   | 107,835.95   |              | 107,835.95   |  |        |                                      |        | 107,835.95                                     | 107,835.95                                   |
|  |                | 5R2A1137918-02  |                   | 3,811.51     |              | 3,811.51     |  |        |                                      |        | 3,811.51                                       | 3,811.51                                     |
|  |                | Johns Hopkins University  |                   | 14,292.84    |              | 14,292.84    |  |        |                                      |        | 14,292.84                                      | 14,292.84                                    |
|  |                | 5U01A035046-25  |                   | 40,443.03    |              | 40,443.03    |  |        |                                      |        | 40,443.03                                      | 40,443.03                                    |
|  |                | Los Angeles Biomedical Research Inst at Harbor-UCLA Medical Ctr |                   | 9,865.35     |              | 9,865.35     |  |        |                                      |        | 9,865.35                                       | 9,865.35                                     |
|  |                | 5R01A1130056-03   |                   | 99,996.28    |              | 99,996.28    |  |        |                                      |        | 99,996.28                                      | 99,996.28                                    |
|  |                | Methodist Hospital Research Institute                           |                   | 97,060.50    |              | 97,060.50    |  |        |                                      |        | 97,060.50                                      | 97,060.50                                    |
|  |                | AGM700003324  |                   | 23,590.23    |              | 23,590.23    |  |        |                                      |        | 23,590.23                                      | 23,590.23                                    |
|  |                | Methodist Hospital Research Institute                           |                   | 3,357.03     |              | 3,357.03     |  |        |                                      |        | 3,357.03                                       | 3,357.03                                     |
|  |                | R01A02070   |                   | 41,871.82    |              | 41,871.82    |  |        |                                      |        | 41,871.82                                      | 41,871.82                                    |
|  |                | Methodist Hospital Research Institute                           |                   | 14,801.70    |              | 14,801.70    |  |        |                                      |        | 14,801.70                                      | 14,801.70                                    |
|  |                | 5R01A020749-04  |                   | 5,213.23     |              | 5,213.23     |  |        |                                      |        | 5,213.23                                       | 5,213.23                                     |
|  |                | Northwestern University   |                   | 70,558.90    |              | 70,558.90    |  |        |                                      |        | 70,558.90                                      | 70,558.90                                    |
|  |                | 5P30A117943-05  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | REVISED   |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | PharmaReview Corporation  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5R42A117989-03  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | Regents of the University of California - UCLA                  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5UM1A068636-14  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | Regents of the University of California - UCLA                  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5UM1A068636-14  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | Regents of the University of California - UCLA                  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5UM1A068636-14  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | Regents of the University of California - UCLA                  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5UM1A068636-13  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | Regents of the University of California - UCLA                  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5UM1A068636-13  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | SITE 31473  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | Stellenbosch University   |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | R01A116039  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | University of California - San Francisco                        |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | # 89783C  |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | University of Chicago   |                   |              |              |              |  |        |                                      |        |  |  |
|  |                | 5R01A068056-03  |                   |              |              |              |  |        |                                      |        |  |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number   | Pass-through From                                |  |            | Pass-through To                                |                                      |            | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>State<br>Entities<br>Amount | Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|--|--|------------|--|--------------------------------------|------------|--|---------------------|--|--|------------------------|--|
|  |                |   | Pass-Through From<br>Agencies or<br>Universities | Pass-Through From<br>Non-State<br>Entities | Amount     | Pass-Through To<br>Agencies or<br>Universities | Pass-Through To<br>State<br>Entities | Amount     |  |                     |  |  |                        |  |
|  |                |   | Univ   | No   | Amount     | Univ   | No                                   | Amount     |  |                     |  |  |                        |  |
|  |                | University of<br>Massachusetts -<br>Worcester<br>R01AI147685-01                         |  |  | 68,609.26  |  |                                      | 68,609.26  |  |                     |  |  | 68,609.26              |  |
|  |                | University of<br>Virginia<br>R21AI146880  |  |  | 24,623.74  |  |                                      | 24,623.74  |  |                     |  |  | 24,623.74              |  |
|  |                | Wayne State<br>University/<br>WSU/16040-44  |  |  | 89,403.94  |  |                                      | 89,403.94  |  |                     |  |  | 89,403.94              |  |
|  |                | William Marsh Rice<br>University/<br>R01AI80714   |  |  | 3,825.29   |  |                                      | 3,825.29   |  |                     |  |  | 3,825.29               |  |
|  |                | Yale University/<br>5R01AI087946-11   |  |  | 22,692.15  |  |                                      | 22,692.15  |  |                     |  |  | 22,692.15              |  |
|  |                | Regents of the<br>University of<br>California - UCLA/<br>FAU # 441344 CU<br>30606 A5401 |  |  | 8,600.22   |  |                                      | 8,600.22   |  |                     |  |  | 8,600.22               |  |
| COVID-19 - Allergy and Infectious Diseases Research        |                |   |  |  |            |  |                                      |            |  |                     |  |  |                        |  |
|  | 93.859         | Baylor College of<br>Medicine/<br>2122GM00280-<br>37                                    |  |  | 32,492.93  |  |                                      | 32,492.93  |  |                     |  |  | 32,492.93              |  |
|  |                | Baylor College of<br>Medicine/<br>5732GM00280-<br>30                                    |  |  | 34,679.18  |  |                                      | 34,679.18  |  |                     |  |  | 34,679.18              |  |
|  |                | Cooper Medical<br>School of Rowan<br>University/<br>R01GM081685                         |  |  | 19,226.79  |  |                                      | 19,226.79  |  |                     |  |  | 19,226.79              |  |
|  |                | Cooper Medical<br>School of Rowan<br>University/<br>51300-1                             |  |  | 138,840.07 |  |                                      | 138,840.07 |  |                     |  |  | 138,840.07             |  |
|  |                | Regents of the<br>University of<br>California - UCLA/<br>P47GM136508                    |  |  | 30,806.70  |  |                                      | 30,806.70  |  |                     |  |  | 30,806.70              |  |
|  |                | Rutgers University/<br>5R01GM118574-<br>03  |  |  | 97,147.29  |  |                                      | 97,147.29  |  |                     |  |  | 97,147.29              |  |
|  |                | Sanford Burnham<br>Prebys Med<br>Discovery ISI/<br>P01GM121203-02                       |  |  | 130,616.76 |  |                                      | 130,616.76 |  |                     |  |  | 130,616.76             |  |
|  |                | University of<br>California - San<br>Diego/<br>5R01GM116609-<br>04                      |  |  | 63,854.74  |  |                                      | 63,854.74  |  |                     |  |  | 63,854.74              |  |
|  |                | University of<br>Delaware/<br>R01GM120051   |  |  | 53,257.30  |  |                                      | 53,257.30  |  |                     |  |  | 53,257.30              |  |
|  |                | University of Illinois/<br>R01GM120485  |  |  | 24,770.51  |  |                                      | 24,770.51  |  |                     |  |  | 24,770.51              |  |
|  |                | University of Iowa/<br>5R01GM121458-<br>04  |  |  | 231,550.82 |  |                                      | 231,550.82 |  |                     |  |  | 231,550.82             |  |
|  |                | University of<br>Pennsylvania/<br>5R01GM124111-<br>03                                   |  |  | 201,091.71 |  |                                      | 201,091.71 |  |                     |  |  | 201,091.71             |  |
|  |                | Vanderbilt University<br>Medical Center/<br>5R01GM12871-<br>06                          |  |  | 9,578.19   |  |                                      | 9,578.19   |  |                     |  |  | 9,578.19               |  |
|  | 93.865         | Arizona State<br>University/<br>Child Health and Human Development Extramural Research  |  |  | 2,400.39   |  |                                      | 2,400.39   |  |                     |  |  | 2,400.39               |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number  | Pass-through From |  |                       | Pass-through To |  |                       | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount |
|--|----------------|--|-------------------|--|-----------------------|-----------------|--|-----------------------|--|---------------------------------|
|  |                |  | Agy/<br>Univ      | Pass-Through From<br>Agencies or<br>Universities | Non-State<br>Entities | Agy/<br>Univ    | Pass-Through To<br>Agencies or<br>Universities | Non-State<br>Entities |  |                                 |
|  |                |  |                   |  |                       |                 |  |                       |  |                                 |
|  |                | 3022HD064067-  |                   |  |                       |                 |  |                       |  |                                 |
|  |                | 0152<br>Alzheimers Childrens<br>Hospital Research<br>Institute/  |                   | 43,806.69  |                       |                 |  | 43,806.69             | 43,806.69                                      |                                 |
|  |                | R01HD076702<br>Baylor College of<br>Medicine/  |                   | 24,799.76  |                       |                 |  | 24,799.76             | 24,799.76                                      |                                 |
|  |                | 5F44HD090817-<br>01A1<br>Children's Hospital<br>of Philadelphia/   |                   | 16,027.87  |                       |                 |  | 16,027.87             | 16,027.87                                      |                                 |
|  |                | R01HD070454<br>862306020<br>Children's Hospital<br>of Philadelphia/  |                   | 165,677.96                                       |                       |                 |  | 165,677.96            | 165,677.96                                     |                                 |
|  |                | 5P01HD070454-11<br>311430<br>George Washington<br>University/  |                   | 244,751.05                                       |                       |                 |  | 244,751.05            | 244,751.05                                     |                                 |
|  |                | U10HD066801U01-<br>HL098354<br>Georgia State<br>University Research<br>Foundation/   |                   | 27,545.09  |                       |                 |  | 27,545.09             | 27,545.09                                      |                                 |
|  |                | R01HD066894<br>Magee-Womens<br>Research Institute &<br>Foundation/   |                   | 1,809.16   |                       |                 |  | 1,809.16              | 1,809.16                                       |                                 |
|  |                | 5U54HD073005-15<br>/PER CA<br>Radient Creative<br>Group, LLC/<br>R43ES000680   |                   | 10,409.95  |                       |                 |  | 10,409.95             | 10,409.95                                      |                                 |
|  |                | Radient Creative<br>Group, LLC/<br>R42HD100233-<br>01<br>RT International//<br>U24HL143216<br>TOPS 216651<br>University of<br>California - Davis/<br>5F01HD084674-<br>05<br>University of North<br>Carolina - Chapel<br>Hill/<br>5F01HD086139-04<br>5107014<br>University of North<br>Carolina - Chapel<br>Hill/<br>5106231/<br>5F01HD086139-<br>04<br>University of Utah/<br>1R24HD096350-01<br>10046978-03 |                   | 112,992.47                                       |                       |                 |  | 112,992.47            | 112,992.47                                     |                                 |
|  |                |  |                   | 435,495.05                                       |                       |                 |  | 435,495.05            | 435,495.05                                     |                                 |
|  |                |  |                   | 86,354.13  |                       |                 |  | 86,354.13             | 86,354.13                                      |                                 |
|  |                |  |                   | 727.42   |                       |                 |  | 727.42                | 727.42   |                                 |
|  |                |  |                   | 15,173.32  |                       |                 |  | 15,173.32             | 15,173.32                                      |                                 |
|  |                |  |                   | 87,146.97  |                       |                 |  | 87,146.97             | 87,146.97                                      |                                 |
|  |                |  |                   | 47,048.41  |                       |                 |  | 47,048.41             | 47,048.41                                      |                                 |
|  |                |  |                   | 94,450.13  |                       |                 |  | 94,450.13             | 94,450.13                                      |                                 |
|  |                |  |                   | 404,806.98                                       |                       |                 |  | 404,806.98            | 404,806.98                                     |                                 |
|  |                |  |                   | 172,457.79                                       |                       |                 |  | 172,457.79            | 172,457.79                                     |                                 |
|  |                | 33.866<br>Aging Research   |                   | 94,450.13  |                       |                 |  | 94,450.13             | 94,450.13                                      |                                 |
|  |                | R42AG066333<br>Baylor College of<br>Medicine/<br>5F01AG057509-<br>03<br>Boston University/   |                   | 404,806.98                                       |                       |                 |  | 404,806.98            | 404,806.98                                     |                                 |
|  |                |  |                   | 172,457.79                                       |                       |                 |  | 172,457.79            | 172,457.79                                     |                                 |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title | CFDA<br>Number | NSE Name/<br>Identifying Number                                       | Pass-through From |     |              |     | Pass-through To |            |              |     | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount |
|--|----------------|---|-------------------|-----|--------------|-----|-----------------|------------|--------------|-----|--|---------------------------------|
|  |                |   | Agy/<br>Univ      |     | Agy/<br>Univ |     | Agy/<br>Univ    |            | Agy/<br>Univ |     |  |                                 |
|  |                |   | Amount            | No. | Amount       | No. | Amount          | No.        | Amount       | No. |  |                                 |
|  |                | 5701AG059727-02   |                   |     | 142,749.33   |     |                 | 142,749.33 |              |     | 142,749.33                                     | 142,749.33                      |
|  |                | Boston University/<br>5701AG058589-02                                 |                   |     | 59,644.90    |     |                 | 59,644.90  |              |     | 59,644.90                                      | 59,644.90                       |
|  |                | Columbia University/<br>5701AG057709-03                               |                   |     | 18,954.62    |     |                 | 18,954.62  |              |     | 18,954.62                                      | 18,954.62                       |
|  |                | Emory University/<br>FRFAG057965-01A1                                 |                   |     | 58,249.96    |     |                 | 58,249.96  |              |     | 58,249.96                                      | 58,249.96                       |
|  |                | Emory University/<br>FRFAG05714-01A1                                  |                   |     | 4,864.01     |     |                 | 4,864.01   |              |     | 4,864.01                                       | 4,864.01                        |
|  |                | Kaiser Foundation<br>Research Institute/<br>U01AG012554               |                   |     | 3,315.12     |     |                 | 3,315.12   |              |     | 3,315.12                                       | 3,315.12                        |
|  |                | Partners HealthCare<br>Research<br>Management/<br>5701AG048270-05     |                   |     | 175,126.08   |     |                 | 175,126.08 |              |     | 175,126.08                                     | 175,126.08                      |
|  |                | Texas Biomedical<br>Research Institute/<br>7901AG051428-05            |                   |     | 12,902.62    |     |                 | 12,902.62  |              |     | 12,902.62                                      | 12,902.62                       |
|  |                | Tulane University/<br>5719AG053373-04                                 |                   |     | 70,421.88    |     |                 | 70,421.88  |              |     | 70,421.88                                      | 70,421.88                       |
|  |                | University of<br>California - Davis/<br>FRFAG054548-01                |                   |     | 160,092.71   |     |                 | 160,092.71 |              |     | 160,092.71                                     | 160,092.71                      |
|  |                | University of<br>California - San<br>Diego/<br>MP/INVOICE<br>#S002344 |                   |     | 15,540.84    |     |                 | 15,540.84  |              |     | 15,540.84                                      | 15,540.84                       |
|  |                | University of<br>California - San<br>Diego/<br>R01AG048642            |                   |     | 40,364.86    |     |                 | 40,364.86  |              |     | 40,364.86                                      | 40,364.86                       |
|  |                | University of<br>California - San<br>Diego/<br>5701AG048642-05        |                   |     | 16,244.89    |     |                 | 16,244.89  |              |     | 16,244.89                                      | 16,244.89                       |
|  |                | University of Florida/<br>R37AG033906                                 |                   |     | 45,936.62    |     |                 | 45,936.62  |              |     | 45,936.62                                      | 45,936.62                       |
|  |                | William Marsh Rice<br>University/<br>R01AG062690                      |                   |     | -0.01        |     |                 | -0.01      |              |     | -0.01  | -0.01                           |
|  |                | BetaStem<br>Therapeutics Inc/<br>R44EY028070-01                       |                   |     | 44,142.20    |     |                 | 44,142.20  |              |     | 44,142.20                                      | 44,142.20                       |
|  |                | University of<br>Washington/<br>5701E7027859-03                       |                   |     | 45,389.66    |     |                 | 45,389.66  |              |     | 45,389.66                                      | 45,389.66                       |
|  |                | University of<br>Washington/<br>5701L001563-06                        |                   |     | 244,005.24   |     |                 | 244,005.24 |              |     | 244,005.24                                     | 244,005.24                      |
|  |                | City of Houston<br>Health and Human<br>Services/<br>6U62P300592       |                   |     |              |     |                 |            |              |     |  |                                 |
|  |                | 93.000  |                   |     | 95,895.28    |     |                 | 95,895.28  |              |     | 95,895.28                                      | 95,895.28                       |
|  |                | 75230716C02646  |                   |     |              |     |                 |            |              |     |  |                                 |
|  |                | Direct Programs:<br>U.S. Department of Health and Human Services      |                   |     |              |     |                 |            |              |     |  |                                 |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From |              |  |  | Pass-through To |              |  |  | Total<br>PT From and<br>Direct Prog.<br>Amount | Total<br>Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |              |            |
|---|----------------|---------------------------------|-------------------|--------------|--|--|-----------------|--------------|--|--|--|---------------------------------|--|--------------|------------|
|   |                |                                 | Agy/<br>Univ      | Agy/<br>Univ | Pass-Through From<br>Agencies or<br>Universities | Pass-Through From<br>Non-State<br>Entities | Agy/<br>Univ    | Agy/<br>Univ | Pass-Through To<br>Agencies or<br>Universities | Pass-Through To<br>Non-<br>State<br>Entities |  |                                 |  |              |            |
|   |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  | No.          | No.        |
| U.S. Department of Health and Human Services  |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 | 188,294.59                                   | 188,294.59   | 188,294.59 |
| Family Smoking Prevention and Tobacco Control Act Regulatory Research                     | 93.077         |                                 |                   |              | 1,047,919.08                                     |  |                 |              |  |  | 118,586.13                                     |                                 | 929,352.95                                   | 1,047,919.08 |            |
| Family Smoking Prevention and Tobacco Control Act Regulatory Research                     | 93.077         |                                 |                   |              | 1,702.00   |  |                 |              |  |  |  |                                 |  | 1,702.00     |            |
| <i>Pass-Through To:<br/>University of Texas at Austin</i>                                 |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Maternal and Child Health Federal Consolidated Programs                                   | 93.110         |                                 |                   |              | 848,609.43                                       |  |                 |              |  |  | 26,309.69                                      |                                 | 822,299.74                                   | 848,609.43   |            |
| Maternal and Child Health Federal Consolidated Programs                                   | 93.110         |                                 |                   |              | 22,028.86  |  |                 |              |  |  |  |                                 |  | 22,028.86    |            |
| <i>Pass-Through To:<br/>Texas Woman's University</i>                                      |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Maternal and Child Health Federal Consolidated Programs                                   | 93.110         |                                 |                   |              | 11,109.03  |  |                 |              |  |  | 22,028.86                                      |                                 |  | 11,109.03    |            |
| <i>Pass-Through To:<br/>University of Houston - Clear Lake</i>                            |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Environmental Health  | 93.113         |                                 |                   |              | 648,925.60                                       |  |                 |              |  |  | 67,765.20                                      |                                 | 581,160.40                                   | 648,925.60   |            |
| Oral Diseases and Disorders Research  | 93.121         |                                 |                   |              | 3,864,241.00                                     |  |                 |              |  |  | 253,655.43                                     |                                 | 3,610,585.57                                 | 3,864,241.00 |            |
| Oral Diseases and Disorders Research  | 93.121         |                                 |                   |              | 2,831.14   |  |                 |              |  |  |  |                                 |  | 2,831.14     |            |
| <i>Pass-Through To:<br/>University of Texas M.D. Anderson Cancer Center</i>               |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Centers for Research and Demonstration for Health Promotion and Disease Prevention        | 93.135         |                                 |                   |              | 9,782.25   |  |                 |              |  |  |  |                                 |  | 9,782.25     |            |
| NIHES Hazardous Waste Worker Health and Safety Training                                   | 93.142         |                                 |                   |              | 725,745.41                                       |  |                 |              |  |  | 321,357.51                                     |                                 | 404,387.90                                   | 725,745.41   |            |
| Human Genome Research   | 93.172         |                                 |                   |              | 825,808.50                                       |  |                 |              |  |  | 274,332.20                                     |                                 | 551,476.30                                   | 825,808.50   |            |
| Research Related to Deafness and Communication Disorders                                  | 93.173         |                                 |                   |              | 430,657.15                                       |  |                 |              |  |  | 27,190.77                                      |                                 | 403,466.38                                   | 430,657.15   |            |
| Research and Training in Complementary and Integrative Health                             | 93.213         |                                 |                   |              | 10,541.75  |  |                 |              |  |  |  |                                 |  | 10,541.75    |            |
| Research on Healthcare Costs, Quality and Outcomes  | 93.226         |                                 |                   |              | 849,068.67                                       |  |                 |              |  |  | 385,126.06                                     |                                 | 463,942.61                                   | 849,068.67   |            |
| Research on Healthcare Costs, Quality and Outcomes  | 93.226         |                                 |                   |              | 71,477.17  |  |                 |              |  |  |  |                                 |  | 71,477.17    |            |
| <i>Pass-Through To:<br/>University of Texas at Arlington</i>                              |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Mental Health Research Grants   | 93.242         |                                 |                   |              | 788,613.48                                       |  |                 |              |  |  | 177,543.14                                     |                                 | 611,070.34                                   | 788,613.48   |            |
| Substance Abuse and Mental Health Services Projects of Regional and National Significance | 93.243         |                                 |                   |              | 921,112.16                                       |  |                 |              |  |  | 66,160.93                                      |                                 | 854,951.23                                   | 921,112.16   |            |
| Geriatric Academic Career Awards Department of Health and Human Services                  | 93.250         |                                 |                   |              | 71,774.53  |  |                 |              |  |  |  |                                 |  | 71,774.53    |            |
| Occupational Safety and Health Program  | 93.262         |                                 |                   |              | 161,654.05                                       |  |                 |              |  |  | 49,202.37                                      |                                 | 112,451.68                                   | 161,654.05   |            |
| Alcohol Research Programs   | 93.273         |                                 |                   |              | 164,793.81                                       |  |                 |              |  |  |  |                                 |  | 164,793.81   |            |
| Drug Abuse and Addiction Research Programs  | 93.279         |                                 |                   |              | 1,614,929.70                                     |  |                 |              |  |  | 455,232.36                                     |                                 | 1,159,697.34                                 | 1,614,929.70 |            |
| Drug Abuse and Addiction Research Programs  | 93.279         |                                 |                   |              | 23,859.42  |  |                 |              |  |  |  |                                 |  | 23,859.42    |            |
| <i>Pass-Through To:<br/>University of Houston</i>   |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Discovery and Applied Research for Technological Innovations to Improve Human Health      | 93.286         |                                 |                   |              | 479,815.60                                       |  |                 |              |  |  | 206,102.87                                     |                                 | 273,712.73                                   | 479,815.60   |            |
| Minority Health and Health Disparities Research   | 93.307         |                                 |                   |              | 1,468,987.64                                     |  |                 |              |  |  | 214,369.94                                     |                                 | 1,254,617.70                                 | 1,468,987.64 |            |
| Minority Health and Health Disparities Research   | 93.307         |                                 |                   |              | 77,844.51  |  |                 |              |  |  |  |                                 |  | 77,844.51    |            |
| <i>Pass-Through To:<br/>University of Texas at El Paso</i>                                |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Minority Health and Health Disparities Research   | 93.307         |                                 |                   |              | 59,095.48  |  |                 |              |  |  |  |                                 |  | 59,095.48    |            |
| <i>Pass-Through To:<br/>University of Texas Southwestern Medical Center</i>               |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |
| Trans-NIH Research Support  | 93.310         |                                 |                   |              | 143,783.84                                       |  |                 |              |  |  | 37,720.64                                      |                                 | 106,063.20                                   | 143,783.84   |            |
| National Center for Advancing Translational Sciences                                      | 93.350         |                                 |                   |              | 2,748,067.97                                     |  |                 |              |  |  | 260,688.64                                     |                                 | 2,487,369.33                                 | 2,748,067.97 |            |
| COVID-19 - National Center for Advancing Translational Sciences                           | 93.350         |                                 |                   |              | 13,204.94  |  |                 |              |  |  |  |                                 |  | 13,204.94    |            |
| National Center for Advancing Translational Sciences                                      | 93.350         |                                 |                   |              | 1,210,018.97                                     |  |                 |              |  |  |  |                                 |  | 1,210,018.97 |            |
| <i>Pass-Through To:<br/>National Center for Advancing Translational Sciences</i>          |                |                                 |                   |              |  |  |                 |              |  |  |  |                                 |  |              |            |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title   | CFDA<br>Number   | NSE Name/<br>Identifying Number | Pass-through From   |   |                     | Pass-through To   |                                   |        | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-through To<br>Agencies or<br>Universities<br>Amount | Pass-through To<br>State<br>Entities<br>Amount   | Expenditures<br>Amount  | Total<br>PT To and<br>Expenditures<br>Amount |
|--|--|---------------------------------|---|---|---------------------|---|-----------------------------------|--------|--|---------------------|--|--|---|--|
|  |  |                                 | From<br>Agencies or<br>Universities<br>Amount   | From<br>Non-State<br>Entities<br>Amount   | From<br>Univ<br>No. | To<br>Agencies or<br>Universities<br>Amount   | To<br>State<br>Entities<br>Amount | Amount |  |                     |  |  |   |  |
|  |  |                                 | Amount  | Amount  | Amount              | Amount  | Amount                            | Amount |  |                     |  |  |   |  |
| <i>University of Texas M.D. Anderson Cancer Center</i>   |  |                                 |   |   |                     |   |                                   |        |  |                     |  |  |   |  |
| National Center for Advancing Translational Sciences<br><i>Pass-Through To:</i><br><i>University of Texas Rio Grande Valley</i>  | 93.350   |                                 | 64,092.40   |   |                     | 64,092.40   |                                   |        | 506  | 1,210,018.97        |  |  | 64,092.40   |  |
| 21st Century Cures Act - Beau Biden Cancer Moonshot<br>Nursing Research<br>Nursing Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>   | 93.353<br>93.361<br>93.361   |                                 | -5,094.29<br>1,019,762.43<br>5,928.26   | -5,094.29<br>1,019,762.43<br>5,928.26   |                     | -5,094.29<br>1,019,762.43<br>5,928.26   |                                   |        | 746  | 64,092.40           | -5,094.29<br>59,001.96                                   | 960,760.47   | -5,094.29<br>1,019,762.43<br>5,928.26   |  |
| Cancer Cause and Prevention Research<br>Cancer Cause and Prevention Research<br><i>Pass-Through To:</i><br><i>University of Texas at Austin</i>  | 93.393<br>93.393   |                                 | 1,656,587.06<br>47,871.44   | 1,656,587.06<br>47,871.44   |                     | 1,656,587.06<br>47,871.44   |                                   |        | 506  | 5,928.26            | 28,794.06  | 1,627,793.00   | 1,656,587.06<br>47,871.44   |  |
| Cancer Detection and Diagnosis Research<br>Cancer Detection and Diagnosis Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>  | 93.394<br>93.394   |                                 | 102,156.72<br>65,905.65   | 102,156.72<br>65,905.65   |                     | 102,156.72<br>65,905.65   |                                   |        | 721  | 47,871.44           |  | 102,156.72   | 102,156.72<br>65,905.65   |  |
| Cancer Treatment Research<br>Cancer Biology Research<br>Cancer Biology Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>   | 93.395<br>93.396<br>93.396   |                                 | 701,672.16<br>1,296,603.40<br>54,545.48   | 701,672.16<br>1,296,603.40<br>54,545.48   |                     | 701,672.16<br>1,296,603.40<br>54,545.48   |                                   |        | 506  | 65,905.65           | 34,241.31<br>417,514.00                                  | 667,430.85<br>879,089.40   | 701,672.16<br>1,296,603.40<br>54,545.48   |  |
| Cancer Centers Support Grants<br>Cancer Research Manpower<br>Cancer Research Manpower<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>   | 93.397<br>93.398<br>93.398   |                                 | 102.26<br>550,754.25<br>103,786.26  | 102.26<br>550,754.25<br>103,786.26  |                     | 102.26<br>550,754.25<br>103,786.26  |                                   |        | 506  | 54,545.48           |  | 102.26<br>550,754.25   | 102.26<br>550,754.25<br>103,786.26  |  |
| Cancer Control<br>Child Care and Development Block Grant<br>Head Start<br>Accountable Health Communities<br>COVID-19 - Emergency Grants to Address Mental and Substance Use Disorders During COVID-19<br>Mental and Behavioral Health Education and Training Grants<br>Elder Abuse Prevention Interventions Program<br>Opioid STR<br>Organized Approaches to Increase Colorectal Cancer Screening<br>Cardiovascular Diseases Research<br>Cardiovascular Diseases Research<br><i>Pass-Through To:</i><br><i>University of Texas M.D. Anderson Cancer Center</i> | 93.399<br>93.575<br>93.600<br>93.650<br>93.665<br>93.732<br>93.747<br>93.788<br>93.800<br>93.837<br>93.837 |                                 | 1,263,393.56<br>0.95<br>643,551.75<br>622,667.01<br>28,399.35<br>94,690.59<br>43,567.37<br>456,922.59<br>26,478.26<br>7,641,821.31<br>84,833.34 | 1,263,393.56<br>0.95<br>643,551.75<br>622,667.01<br>28,399.35<br>94,690.59<br>43,567.37<br>456,922.59<br>26,478.26<br>7,641,821.31<br>84,833.34 |                     | 1,263,393.56<br>0.95<br>643,551.75<br>622,667.01<br>28,399.35<br>94,690.59<br>43,567.37<br>456,922.59<br>26,478.26<br>7,641,821.31<br>84,833.34 |                                   |        | 506  | 103,786.26          | 323,966.82<br>0.95<br>56,804.97<br>47,921.93             | 939,426.74<br>0.95<br>643,551.75<br>585,862.04<br>28,399.35<br>46,768.66<br>43,567.37<br>381,922.59<br>26,478.26<br>5,887,103.03 | 1,263,393.56<br>0.95<br>643,551.75<br>622,667.01<br>28,399.35<br>94,690.59<br>43,567.37<br>456,922.59<br>26,478.26<br>7,641,821.31<br>84,833.34 |  |
| Cardiovascular Diseases Research<br><i>Pass-Through To:</i><br><i>University of Texas Medical Branch at Galveston</i>  | 93.837   |                                 | 13,845.38   | 13,845.38   |                     | 13,845.38   |                                   |        | 506  | 84,833.34           |  |  | 13,845.38   |  |
| Lung Diseases Research<br>Lung Diseases Research<br><i>Pass-Through To:</i><br><i>University of Texas Medical Branch at Galveston</i>  | 93.838<br>93.838   |                                 | 606,620.57<br>38,148.92   | 606,620.57<br>38,148.92   |                     | 606,620.57<br>38,148.92   |                                   |        | 723  | 13,845.38           | 86,031.99  | 520,588.58   | 606,620.57<br>38,148.92   |  |



| Federal Grantor/<br>Pass-through Grantor/<br>Program Title  | CFDA<br>Number             | NSE Name/<br>Identifying Number | Pass-through From |        |         | Pass-through To |    |   | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No.                     | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>Non-<br>State<br>Entities<br>Amount | Expenditures<br>Amount                  | Total<br>PT To and<br>Expenditures<br>Amount |
|---|----------------------------|---------------------------------|-------------------|--------|---------|-----------------|----|---|--|---|--|--|---|--|
|   |                            |                                 | Agy/<br>Univ      | No     | Amount  | Agy/<br>Univ    | No | Amount                                  |  |   |  |  |   |  |
|   |                            |                                 | Program           | Amount | Program | Amount          |    |   |  |   |  |  |   |  |
| Aging Research<br>Pass-Through To:<br>University of North Texas Health Science Center at Fort Worth   | 93.866                     |                                 |                   |        |         |                 |    | 27,952.30                               | 763  | 27,952.30                               |  |  | 27,952.30                               |  |
| Vision Research<br>Medical Library Assistance<br>Medical Library Assistance<br>Pass-Through To:<br>University of Texas Health Science Center at San Antonio   | 93.867<br>93.879<br>93.879 |                                 |                   |        |         |                 |    | 2,835,272.71<br>871,195.49<br>17,269.08 |  | 2,835,272.71<br>871,195.49<br>17,269.08 | 78,190.04  | 2,835,272.71<br>793,005.45<br>17,269.08                | 2,835,272.71<br>871,195.49<br>17,269.08 |  |
| Block Grants for Prevention and Treatment of Substance Abuse<br>Maternal and Child Health Services Block Grant to the States<br>Pass-Through From:<br>Advancing System Improvements for Key Issues in Women's Health<br>Pass-Through From:<br>University of Texas Southwestern Medical Center | 93.959<br>93.994<br>93.088 |                                 |                   |        |         |                 |    | -88<br>322,185.23<br>68,864.07          | -88<br>322,185.23                              |   |  | -88<br>322,185.23<br>68,864.07                         | -88<br>322,185.23<br>68,864.07          |  |
| Oral Diseases and Disorders Research<br>Pass-Through From:<br>University of Texas at Arlington  | 93.121                     |                                 |                   |        |         |                 |    | 63,085.50                               |  | 63,085.50                               |  | 63,085.50  | 63,085.50                               |  |
| Grants to States to Support Oral Health Workforce Activities<br>Pass-Through From:<br>Texas A&M University System Health Science Center   | 93.236                     |                                 |                   |        |         |                 |    | 103,829.83                              | 709  | 103,829.83                              |  | 103,829.83   | 103,829.83                              |  |
| Mental Health Research Grants<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center  | 93.242                     |                                 |                   |        |         |                 |    | 46,080.98                               | 506  | 46,080.98                               |  | 46,080.98  | 46,080.98                               |  |
| Mental Health Research Grants<br>Pass-Through From:<br>University of Texas Medical Branch at Galveston  | 93.242                     |                                 |                   |        |         |                 |    | 45,567.83                               | 723  | 45,567.83                               |  | 45,567.83  | 45,567.83                               |  |
| Occupational Safety and Health Program<br>Pass-Through From:<br>University of Texas Health Center at Tyler  | 93.262                     |                                 |                   |        |         |                 |    | 218,196.04                              | 785  | 218,196.04                              |  | 218,196.04   | 218,196.04                              |  |
| Drug Abuse and Addiction Research Programs<br>Pass-Through From:<br>University of Texas Southwestern Medical Center   | 93.279                     |                                 |                   |        |         |                 |    | 171,279.34                              | 729  | 171,279.34                              |  | 171,279.34   | 171,279.34                              |  |
| National Center for Advancing Translational Sciences<br>Pass-Through From:<br>University of Texas Health Science Center at San Antonio  | 93.350                     |                                 |                   |        |         |                 |    | 195,441.34                              | 745  | 195,441.34                              |  | 195,441.34   | 195,441.34                              |  |
| Cancer Cause and Prevention Research<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center   | 93.393                     |                                 |                   |        |         |                 |    | 8,921.92                                | 506  | 8,921.92                                |  | 8,921.92   | 8,921.92                                |  |
| Cancer Cause and Prevention Research<br>Pass-Through From:<br>University of Texas at Austin   | 93.393                     |                                 |                   |        |         |                 |    | 4,874.25                                | 721  | 4,874.25                                |  | 4,874.25   | 4,874.25                                |  |
| Cancer Cause and Prevention Research<br>Pass-Through From:<br>University of Texas Southwestern Medical Center   | 93.393                     |                                 |                   |        |         |                 |    | 75,940.51                               | 729  | 75,940.51                               |  | 75,940.51  | 75,940.51                               |  |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title  | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From  |  |                             | Pass-through To  |  |              | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ<br>No. | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>Non-<br>State<br>Entities<br>Amount | Total<br>Expenditures<br>Amount | PT To and<br>Expenditures<br>Amount |
|---|----------------|---------------------------------|--|--|-----------------------------|--|--|--------------|--|---------------------|--|--|---------------------------------|-------------------------------------|
|   |                |                                 | Pass-Through From<br>Agencies or<br>Universities<br>Amount | Pass-Through From<br>Non-State<br>Entities<br>Amount | Direct<br>Program<br>Amount | Pass-Through To<br>Agencies or<br>Universities<br>Amount | Pass-Through To<br>Non-<br>State<br>Entities<br>Amount |              |  |                     |  |  |                                 |                                     |
|   |                |                                 | Univ<br>No.  | Univ<br>No.  | Univ<br>No.                 | Univ<br>No.  | Univ<br>No.  |              |  |                     |  |  |                                 |                                     |
| Cancer Detection and Diagnosis Research<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center    | 93.394         |                                 |  |  |                             |  |  | 172,835.18   |  |                     |  | 172,835.18   | 172,835.18                      |                                     |
| Cancer Treatment Research<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center                  | 93.395         |                                 |  |  |                             |  |  | 39,187.63    |  |                     |  | 39,187.63  | 39,187.63                       |                                     |
| Cancer Biology Research<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center                    | 93.396         |                                 |  |  |                             |  |  | 83,481.49    |  |                     |  | 83,481.49  | 83,481.49                       |                                     |
| Cancer Biology Research<br>Pass-Through From:<br>University of Texas at Austin                                      | 93.396         |                                 |  |  |                             |  |  | 73,862.27    |  |                     |  | 73,862.27  | 73,862.27                       |                                     |
| Cancer Centers Support Grants<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center              | 93.397         |                                 |  |  |                             |  |  | 195,558.83   |  |                     |  | 195,558.83   | 195,558.83                      |                                     |
| Cancer Research Manpower<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center                   | 93.398         |                                 |  |  |                             |  |  | 5,272.32     |  |                     |  | 5,272.32   | 5,272.32                        |                                     |
| Child Care and Development Block Grant<br>Pass-Through From:<br>Texas Workforce Commission                          | 93.575         |                                 |  |  |                             |  |  | 1,379,931.72 |  |                     |  | 1,379,931.72   | 1,379,931.72                    |                                     |
| Opioid STR<br>Pass-Through From:<br>Health and Human Services Commission  | 93.788         |                                 |  |  |                             |  |  | 795,590.62   |  |                     |  | 795,590.62   | 795,590.62                      |                                     |
| Cardiovascular Diseases Research<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center           | 93.837         |                                 |  |  |                             |  |  | 150,110.51   |  |                     |  | 150,110.51   | 150,110.51                      |                                     |
| Cardiovascular Diseases Research<br>Pass-Through From:<br>University of Texas at Austin                             | 93.837         |                                 |  |  |                             |  |  | 118,393.04   |  |                     |  | 118,393.04   | 118,393.04                      |                                     |
| Cardiovascular Diseases Research<br>Pass-Through From:<br>University of Texas Rio Grande Valley                     | 93.837         |                                 |  |  |                             |  |  | 80,195.47    |  |                     |  | 80,195.47  | 80,195.47                       |                                     |
| Lung Diseases Research<br>Pass-Through From:<br>University of Texas M.D. Anderson Cancer Center                     | 93.838         |                                 |  |  |                             |  |  | 11,237.92    |  |                     |  | 11,237.92  | 11,237.92                       |                                     |
| Arthritis, Musculoskeletal and Skin Diseases Research<br>Pass-Through From:<br>University of Houston                | 93.846         |                                 |  |  |                             |  |  | 38,528.18    |  |                     |  | 38,528.18  | 38,528.18                       |                                     |
| Diabetes, Digestive, and Kidney Diseases Extramural Research<br>Pass-Through From:<br>University of Texas at Austin | 93.847         |                                 |  |  |                             |  |  | 209,796.19   |  |                     |  | 209,796.19   | 209,796.19                      |                                     |
| Extramural Research Programs in the Neurosciences and Neurological Disorders  | 93.853         |                                 |  |  |                             |  |  | 85,909.01    |  |                     |  | 85,909.01  | 85,909.01                       |                                     |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                   | CFDA<br>Number | NSE Name/<br>Identifying Number                                 | Agy/<br>Univ | Pass-through From           |                       | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Agy/<br>Univ | Pass-through To             |                   | Expenditures<br>Amount | Total<br>PT To and<br>Expenditures<br>Amount |
|--|----------------|---|--------------|-----------------------------|-----------------------|-----------------------------|--|--------------|-----------------------------|-------------------|------------------------|--|
|  |                |   |              | Agencies or<br>Universities | Non-State<br>Entities |                             |  |              | Agencies or<br>Universities | State<br>Entities |                        |  |
| <i>Pass-Through From:</i>  |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| <i>Texas A&amp;M University System Health Science Center</i>                                 |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Extramural Research Programs in the Neurosciences and Neurological Disorders                 | 93.853         |   | 709          | 85,909.01                   |                       |                             | 287,562.73                                     |              |                             |                   | 287,562.73             | 287,562.73                                   |
| <i>Pass-Through From:</i><br><i>University of Houston</i>                                    |                |   | 730          | 287,562.73                  |                       |                             |  |              |                             |                   |                        |  |
| Extramural Research Programs in the Neurosciences and Neurological Disorders                 | 93.853         |   | 745          | 192,211.33                  |                       |                             | 192,211.33                                     |              |                             |                   | 192,211.33             | 192,211.33                                   |
| <i>Pass-Through From:</i><br><i>University of Texas Health Science Center at San Antonio</i> |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Allergy and Infectious Diseases Research   | 93.855         |   | 506          | 55,570.01                   |                       |                             | 55,570.01                                      |              |                             |                   | 55,570.01              | 55,570.01                                    |
| <i>Pass-Through From:</i><br><i>University of Texas M.D. Anderson Cancer Center</i>          |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Allergy and Infectious Diseases Research   | 93.855         |   | 730          | 63,876.12                   |                       |                             | 63,876.12                                      |              |                             |                   | 63,876.12              | 63,876.12                                    |
| <i>Pass-Through From:</i><br><i>University of Houston</i>                                    |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Biomedical Research and Research Training  | 93.859         |   | 730          | 78,022.05                   |                       |                             | 78,022.05                                      |              |                             |                   | 78,022.05              | 78,022.05                                    |
| <i>Pass-Through From:</i><br><i>University of Houston</i>                                    |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Child Health and Human Development Extramural Research                                       | 93.865         |   | 723          | 146,917.01                  |                       |                             | 146,917.01                                     |              |                             |                   | 146,917.01             | 146,917.01                                   |
| <i>Pass-Through From:</i><br><i>University of Texas Medical Branch at Galveston</i>          |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Child Health and Human Development Extramural Research                                       | 93.865         |   | 730          | 103,148.89                  |                       |                             | 103,148.89                                     |              |                             |                   | 103,148.89             | 103,148.89                                   |
| <i>Pass-Through From:</i><br><i>University of Houston</i>                                    |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Aging Research   | 93.866         |   | 745          | 6,898.80                    |                       |                             | 6,898.80                                       |              |                             |                   | 6,898.80               | 6,898.80                                     |
| <i>Pass-Through From:</i><br><i>University of Texas Health Science Center at San Antonio</i> |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Maternal and Child Health Services Block Grant to the States                                 | 93.994         |   | 537          | 641,685.74                  |                       |                             | 641,685.74                                     |              |                             |                   | 641,685.74             | 641,685.74                                   |
| <i>Pass-Through From:</i><br><i>Department of State Health Services</i>                      |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| <b>Totals - U.S. Department of Health and Human Services</b>                                 |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
|  |                |   |              | 6,017,864.67                | 20,475,174.29         | 97,521,689.76               | 124,014,728.72                                 |              | 2,461,507.63                | 13,315,947.62     | 108,237,273.47         | 124,014,728.72                               |
| <b>U.S. Department of Housing and Urban Development</b>                                      |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Healthy Homes Technical Studies Grants   | 14.906         | Baylor College of<br>Medicine/<br>TYHHU/0043-18                 |              |                             | 25,845.46             |                             | 25,845.46                                      |              |                             |                   | 25,845.46              | 25,845.46                                    |
| <b>Totals - U.S. Department of Housing and Urban Development</b>                             |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
|  |                |   |              | 0                           | 25,845.46             | 0                           | 25,845.46                                      |              | 0                           | 0                 | 25,845.46              | 25,845.46                                    |
| <b>U.S. Department of Justice</b>  |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| Crime Victim Assistance/Discretionary Grants   | 16.582         | Medical University<br>of South Carolina/<br>2017-MU-GX-<br>K144 |              |                             | 11,046.19             |                             | 11,046.19                                      |              |                             |                   | 11,046.19              | 11,046.19                                    |
|  |                | Research<br>Foundation of<br>CUNY/<br>2018-V3-GX-K025           |              |                             | 47,521.15             |                             | 47,521.15                                      |              |                             |                   | 47,521.15              | 47,521.15                                    |
| Comprehensive Opioid, Stimulant, and Substance Abuse Program                                 | 16.838         | City of Houston/<br>2018-AR-BX-K080                             |              |                             | 106,233.69            |                             | 106,233.69                                     |              |                             |                   | 106,233.69             | 106,233.69                                   |
| <b>Direct Programs:</b>  |                |   |              |                             |                       |                             |  |              |                             |                   |                        |  |
| National Institute of Justice Research, Evaluation, and Development Project Grants           | 16.560         |   |              |                             |                       |                             | 67,033.26                                      |              |                             |                   | 67,033.26              | 67,033.26                                    |

| Federal Grantor/<br>Pass-through Grantor/<br>Program Title                                      | CFDA<br>Number | NSE Name/<br>Identifying Number | Pass-through From |                                   |                                 | Direct<br>Program<br>Amount | Total<br>PT From and<br>Direct Prog.<br>Amount | Pass-through To     |                                 |                                 | Total<br>PT To and<br>Expenditures<br>Amount |                       |
|---|----------------|---------------------------------|-------------------|-----------------------------------|---------------------------------|-----------------------------|--|---------------------|---------------------------------|---------------------------------|--|-----------------------|
|   |                |                                 | Agy/<br>Univ      | Pass-Through From<br>Agy/<br>Univ | Non-State<br>Entities<br>Amount |                             |  | Agy/<br>Univ        | Pass-Through To<br>Agy/<br>Univ | Non-State<br>Entities<br>Amount |  |                       |
| Totals - U.S. Department of Justice   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>U.S. Department of Labor</b>   |                |                                 |                   | 0                                 | 164,801.03                      | 67,033.26                   | 231,834.29                                     | 0                   | 0                               | 0                               | 231,834.29                                   | 231,834.29            |
| <b>Direct Programs:</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| International Labor Programs  | 17.401         |                                 |                   | 0                                 | 0                               | 13,987.13                   | 13,987.13                                      | 0                   | 0                               | 0                               | 13,987.13                                    | 13,987.13             |
| Totals - U.S. Department of Labor   |                |                                 |                   | 0                                 | 0                               | 13,987.13                   | 13,987.13                                      | 0                   | 0                               | 0                               | 13,987.13                                    | 13,987.13             |
| <b>U.S. Department of Veterans Affairs</b>  |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>Direct Programs:</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| U.S. Department of Veterans Affairs   | 64.000         | FY2020 FO #280-C05063           |                   |                                   |                                 | 51,621.59                   | 51,621.59                                      |                     |                                 |                                 | 51,621.59                                    | 51,621.59             |
| U.S. Department of Veterans Affairs   | 64.000         | 673-C06091                      |                   |                                   |                                 | 9,084.44                    | 9,084.44                                       |                     |                                 |                                 | 9,084.44                                     | 9,084.44              |
| Sharing Specialized Medical Resources   | 64.018         |                                 |                   |                                   |                                 | 102,168.67                  | 102,168.67                                     |                     |                                 |                                 | 102,168.67                                   | 102,168.67            |
| Totals - U.S. Department of Veterans Affairs  |                |                                 |                   | 0                                 | 0                               | 162,874.70                  | 162,874.70                                     | 0                   | 0                               | 0                               | 162,874.70                                   | 162,874.70            |
| <b>CCDF Cluster</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>U.S. Department of Health and Human Services</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>Pass-Through From:</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| Child Care and Development Block Grant  | 93.575         |                                 |                   |                                   |                                 |                             | 12,520,894.44                                  |                     |                                 |                                 | 12,520,894.44                                | 12,520,894.44         |
| <i>Pass-Through From:</i>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <i>Texas Education Agency</i>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| Totals - U.S. Department of Health and Human Services   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>Student Financial Assistance Programs Cluster</b>  |                |                                 |                   | 12,520,894.44                     | 0                               | 0                           | 12,520,894.44                                  | 0                   | 0                               | 0                               | 12,520,894.44                                | 12,520,894.44         |
| <b>U.S. Department of Education</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>Direct Programs:</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| Federal Supplemental Educational Opportunity Grants   | 84.007         |                                 |                   |                                   |                                 | 43,136.00                   | 43,136.00                                      |                     |                                 |                                 | 43,136.00                                    | 43,136.00             |
| Federal Pell Grant Program  | 84.063         |                                 |                   |                                   |                                 | 1,360,753.00                | 1,360,753.00                                   |                     |                                 |                                 | 1,360,753.00                                 | 1,360,753.00          |
| Federal Direct Student Loans  | 84.268         |                                 |                   |                                   |                                 | 50,820,648.00               | 50,820,648.00                                  |                     |                                 |                                 | 50,820,648.00                                | 50,820,648.00         |
| Totals - U.S. Department of Education   |                |                                 |                   | 0                                 | 0                               | 52,224,537.00               | 52,224,537.00                                  | 0                   | 0                               | 0                               | 52,224,537.00                                | 52,224,537.00         |
| <b>U.S. Department of Health and Human Services</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| <b>Direct Programs:</b>   |                |                                 |                   |                                   |                                 |                             |  |                     |                                 |                                 |  |                       |
| Nurse Faculty Loan Program (NFLP)   | 93.264         |                                 |                   |                                   |                                 | 16,791.00                   | 16,791.00                                      |                     |                                 |                                 | 16,791.00                                    | 16,791.00             |
| Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students | 93.342         |                                 |                   |                                   |                                 | 772,000.00                  | 772,000.00                                     |                     |                                 |                                 | 772,000.00                                   | 772,000.00            |
| Nursing Student Loans   | 93.364         |                                 |                   |                                   |                                 | 7,500.00                    | 7,500.00                                       |                     |                                 |                                 | 7,500.00                                     | 7,500.00              |
| Totals - U.S. Department of Health and Human Services   |                |                                 |                   | 0                                 | 0                               | 796,291.00                  | 796,291.00                                     | 0                   | 0                               | 0                               | 796,291.00                                   | 796,291.00            |
| <b>Total Expenditures of Federal Awards</b>   |                |                                 |                   | <b>25,946,842.91</b>              | <b>25,142,050.85</b>            | <b>166,503,338.45</b>       | <b>217,592,232.21</b>                          | <b>2,726,089.65</b> | <b>14,201,007.77</b>            | <b>200,685,134.79</b>           | <b>217,592,232.21</b>                        | <b>217,592,232.21</b> |

State of Texas — Annual Financial Reporting  
**Schedule of Expenditures of Federal Awards**  
(SEFA)

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State of Texas - Federal Activity  
SEFA Note 1

**Agency 744 - University of Texas Health Science Center at Houston**  
SEFA Note 1 - Non-Monetary Assistance, FY 2020

Comment:

No such assistance was received during the fiscal year

This agency has been certified. No modifications allowed.

# State of Texas — Annual Financial Reporting

## Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
SEFA Note 2

### Agency 744 - University of Texas Health Science Center at Houston SEFA Note 2 - Reconciliation, FY 2020

Below is a reconciliation of the total of federal pass-through and federal expenditures as reported on the Schedule of Federal Financial Assistance to the total of federal revenues and federal grant pass-through revenues as reported in the general-purpose financial statements. Generally, federal funds are not earned until expended; therefore, federal revenues equal federal expenditures for the reporting period.

Per Combined Statement of Revenues, Expenditures and Changes in Fund Balance - Governmental Funds; and Combined Statement of Revenues, Expenses and Changes in Net Assets - Proprietary Funds; and Combined Statement of Changes in Fiduciary Net Assets:

|  | AFR               | USAS Amount             | Note 2 Amount           |
|--|-------------------|-------------------------|-------------------------|
| <b>Federal Revenue</b>   |                   |                         |                         |
| Governmental Funds   | Exhibit II        | -                       |                         |
| Proprietary Funds  |                   |                         |                         |
| Operating  | Exhibit IV/SRECNA | 137,426,076.91          | 137,426,076.91          |
| Non-operating  | Exhibit IV/SRECNA | 9,062,111.84            | 9,062,111.84            |
| Capital Contributions  | Exhibit IV/SRECNA | -                       |                         |
| Fiduciary Funds  | Exhibit VII       | -                       |                         |
| <b>Total Federal Revenue</b>   |                   | <b>\$146,488,188.75</b> | <b>\$146,488,188.75</b> |
| <i>Amount per Schedule: \$191,645,389.30    Discrepancy: (\$45,157,200.55)</i> |                   |                         |                         |
| <b>Federal Pass-Through Revenue</b>  |                   |                         |                         |
| Governmental Funds   | Exhibit II        | -                       |                         |
| Proprietary Funds  |                   |                         |                         |
| Operating  | Exhibit IV/SRECNA | 23,414,013.42           | 25,946,842.91           |
| Non-operating  | Exhibit IV/SRECNA | -                       |                         |
| Capital Contributions  | Exhibit IV/SRECNA | -                       |                         |
| Fiduciary Funds  | Exhibit VII       | -                       |                         |
| <b>Total Federal Pass-Through Revenue</b>                                      |                   | <b>\$23,414,013.42</b>  | <b>\$25,946,842.91</b>  |
| <i>Amount per Schedule: \$25,946,842.91</i>                                    |                   |                         |                         |
| <b>Total Federal Revenue and Federal Pass-Through Revenue</b>                  |                   | <b>\$169,902,202.17</b> | <b>\$172,435,031.66</b> |
| <b>Reconciliation Items</b>  |                   | <b>CFDA</b>             | <b>Amount</b>           |
| <b>Non-monetary Items:</b>   |                   |                         |                         |
| ...  |                   |                         |                         |
| <b>Total Non-monetary Items</b>  |                   |                         | <b>\$0.00</b>           |
| <b>New Loans Processed: (Amounts are from Note 3a)</b>                         |                   |                         |                         |
| Federal Family Education Loans   |                   | 84.032                  | -                       |
| Federal Family Education Loan Program (FFELP)                                  |                   | 84.032L                 | -                       |
| Federal Perkins Loan Program (Perkins)   |                   | 84.038                  | -                       |
| Federal Direct Student Loans (Direct Loans)                                    |                   | 84.268                  | 50,820,648.00           |
| Health Education Assistance Loan Program (HEAL)                                |                   | 93.108                  | -                       |
| Nursing Faculty Loan Program   |                   | 93.264                  | 16,791.00               |
| Health Professions Student Loan Program    Nursing                             |                   | 93.342                  | 772,000.00              |
| Student Loan Program   |                   | 93.364                  | 7,500.00                |
| <b>Total New Loans Processed</b>   |                   |                         | <b>\$51,616,939.00</b>  |
| <b>Other Reconciling Items:</b>  |                   |                         |                         |
| <b>Add:</b>  |                   |                         |                         |
| State Unemployment Funds - State Portion                                       |                   | 17.225                  |                         |
| Other (Contact FRS if you have other reconciling items as additions items)     |                   |                         |                         |
| <b>Deduct: (Enter amounts as negative)</b>                                     |                   |                         |                         |
| Federal revenue received on the fixed fee basis contract                       |                   |                         | -489,492.61             |

Note:  
Concluded fixed fee basis contracts:  
UMH1A068636: \$281,302.84  
CMSUTPHY18: \$161,144.38  
HHS17X-d-0000038312: \$47,045.39

Federal revenue received under a vendor relationship between agency and the federal government

Note:

Federal grants *from* Texas A&M Research Foundation  
Federal grants *to* Texas A&M Research Foundation  
Medicare Part D  
Medicare Part D - Direct Subsidy  
COBRA  
Build America Bond  
Early Retirement Reinsurance Program



Other (Contact FRS if you have other reconciling items as deductions items)  
CFDA 93.498 Provider Relief Fund

-5,970,245.84

Total Other Reconciling Items

(\$6,459,738.45)

Total Reconciliation Items:

\$45,157,200.55

Total per Note 2:

\$217,592,232.21

Total Pass Through and Expenditures per Federal Schedule:

\$217,592,232.21

Difference:

\$0.00

This agency has been certified. No modifications allowed.

**State of Texas — Annual Financial Reporting**  
**Schedule of Expenditures of Federal Awards**  
**(SEFA)**

State of Texas - Federal Activity  
SEFA Note 3a

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 3a - Student Loans Processed and Administrative Cost Recovered, FY 2020

**Beginning Balance of Outstanding Loans is read only. Contact FRS analyst if this amount needs to be changed.**

| Program Name  | CFDA    | Beginning Balance of Outstanding Loans, as of 8/31/2019 | New Loans Processed, does not include Admin Cost Recovered | *CY Admin Cost Recovered, includes PYs only if applicable | Total Loans Processed and Admin Cost Recovered (From Schedule) | Repayment/Adjustment Activity (PY + CY) | ** Outstanding Balance of Loans as of 8/31/2020, includes all FYs |
|---|---------|---|--|---|--|---|---|
| Federal Family Education Loans  | 84.032  | 0.00  | 0.00   | 0.00  | 0.00   | 0.00                                    | 0.00  |
| Federal Family Education Loan Program (FFELP)   | 84.032L | 0.00  | 0.00   | 0.00  | 0.00   | 0.00                                    | 0.00  |
| Federal Perkins Loan Program (Perkins)<br>Does your university use a service organization as part of processing loans under the Perkins program? If so, please disclose the name of the company that the loans have been outsourced to: | 84.038  | 1,967,849.25  | 0.00   | 0.00  | 0.00   | 414,986.61                              | 1,552,862.64  |
| Federal Direct Student Loans (Direct Loans)   | 84.268  | 0.00  | 50,820,648.00  | 0.00  | 50,820,648.00  | 50,820,648.00                           | 0.00  |
| Health Education Assistance Loan Program (HEAL)   | 93.108  | 0.00  | 0.00   | 0.00  | 0.00   | 0.00                                    | 0.00  |
| Nursing Faculty Loan Program  | 93.264  | 106,640.88  | 16,791.00  | 0.00  | 16,791.00  | 27,187.85                               | 96,244.03   |
| Health Professions Student Loan Program Nursing   | 93.342  | 5,279,566.55  | 772,000.00   | 0.00  | 772,000.00   | 665,296.12                              | 5,386,270.43  |
| Student Loans   | 93.364  | 103,997.67  | 7,500.00   | 0.00  | 7,500.00   | 17,375.09                               | 94,122.58   |
| <b>Total</b>  |         | <b>\$7,458,054.35</b>                                   | <b>\$51,616,939.00</b>                                     | <b>\$0.00</b>   | <b>\$51,616,939.00</b>   | <b>\$51,945,493.67</b>                  | <b>\$7,129,499.68</b>   |

**This agency has been certified. No modifications allowed.**

\* Admin Cost Recovered includes administration cost and any interest subsidy related to student loans processed. \*\* Confirm on Servicer Report.

State of Texas — Annual Financial Reporting  
**Schedule of Expenditures of Federal Awards**  
 (SEFA)

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State of Texas - Federal Activity  
 SEFA Note 3b

**Agency 744 - University of Texas Health Science Center at Houston**  
 SEFA Note 3b - Federally Funded Loans Processed and Administrative Cost Recovered, FY 2020

| Program Name                                | CFDA   | Beginning Balance<br>of<br>Outstanding Loans | Cash          | New Loans<br>Processed | Admin Cost<br>Recovered | Total Loans Processed<br>and Admin Cost Recovered<br>(From Schedule) | Ending Balances of<br>PY Loans from<br>Prior FYs |
|---|--------|--|---------------|------------------------|-------------------------|--|--|
| Clean Water State Revolving Fund (CWSRF)    | 66.458 |  |               |                        |                         |  |  |
| Drinking Water State Revolving Fund (DWSRF) | 66.468 |  |               |                        |                         |  |  |
| <b>Total</b>                                |        | <b>\$0.00</b>                                | <b>\$0.00</b> | <b>\$0.00</b>          | <b>\$0.00</b>           | <b>\$0.00</b>  | <b>\$0.00</b>                                    |

***This agency has been certified. No modifications allowed.***

State of Texas — Annual Financial Reporting  
**Schedule of Expenditures of Federal Awards**  
(SEFA)

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State of Texas - Federal Activity  
SEFA Note 5

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 5 - Unemployment Insurance Funds, FY 2020

Comment:

No such assistance was received during this fiscal year.

This agency has been certified. No modifications allowed.

State of Texas — Annual Financial Reporting  
**Schedule of Expenditures of Federal Awards**  
(SEFA)

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State of Texas - Federal Activity  
SEFA Note 6

**Agency 744 - University of Texas Health Science Center at Houston**  
SEFA Note 6 - Rebates for the Special Supplemental Food Program for Women, Infants, and Children (WIC), FY 2020

Comment:

No such assistance was received during the fiscal year.

**This agency has been certified. No modifications allowed.**

State of Texas — Annual Financial Reporting

# Schedule of Expenditures of Federal Awards (SEFA)

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State of Texas - Federal Activity  
SEFA Note 7

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 7 - Federal Deferred Revenue, FY 2020

| CFDA Number                   | CFDA Title | Federal<br>Deferred Revenue<br>September 1, 2019 | Increase/(Decrease) | Federal<br>Deferred Revenue<br>August 31, 2020 |
|-------------------------------|------------|--|---------------------|--|
|                               |            | 0.00   | 0.00                | 0.00   |
| <b>Total Deferred Revenue</b> |            | 0.00   | 0.00                | 0.00   |

(Additional blank rows will appear as needed after saving)

Explain why the deferred revenue is reported:

This agency has been certified. No modifications allowed.

# State of Texas — Annual Financial Reporting

## Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
SEFA Note 8

**Agency 744 - University of Texas Health Science Center  
at Houston**  
SEFA Note 8 - Disaster Grants - Public Assistance, FY 2020 , CFDA 97.036

**Note:** If incurred eligible expenditures from a previous reporting fiscal year did not receive FEMA approval until the current reporting fiscal year, the expenditure amounts must be disclosed in the current period's SEF

| Project Name | FY Project Worksheet Approved | FEMA Disaster Declaration Declared Year - Disaster Title | Expenditure Amount Incurred Prior Fiscal Year | Amount De-obligated | Comments |
|--------------|-------------------------------|--|---|---------------------|----------|
|              |                               | <input type="text"/>                                     | <input type="text"/>                          |                     |          |
|              |                               | <input type="text"/>                                     | <input type="text"/>                          |                     |          |
|              |                               | <input type="text"/>                                     | <input type="text"/>                          |                     |          |
|              |                               | <input type="text"/>                                     | <input type="text"/>                          |                     |          |
|              |                               | <input type="text"/>                                     | <input type="text"/>                          |                     |          |

(Additional blank rows will appear as needed after saving)

OR

Not applicable. Our agency did not receive FEMA approval this current reporting fiscal year for eligible expenditures incurred in previous reporting fiscal year.

This agency has been certified. No modifications allowed.

# State of Texas — Annual Financial Reporting

## Schedule of Expenditures of Federal Awards (SEFA)

State of Texas - Federal Activity  
SEFA Note 9

Agency 744 - University of Texas Health Science Center at Houston  
SEFA Note 9 - Economic Adjustment Assistance, FY 2020, CFDA 11.307

Not applicable. Our agency does not have any current or prior years Revolving Loan Funds related to the Economic Adjustment Assistance program.

OR

| Grant         | Col A<br>Grant Amount | + | Col B<br>Matching | = | Col C<br>Total Grant Awarded |
|---------------|-----------------------|---|-------------------|---|------------------------------|
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
|               |                       | + |                   | = |                              |
| <b>Totals</b> | 0.00                  | + | 0.00              | = | 0.00                         |

| Federal Share                             |      |
|---|------|
| Grant Amount ( Col A )                    | 0.00 |
| Divided by: Total Grant Awarded ( Col C ) | 0.00 |
| Federal Share                             | 0.00 |

| Federal Awards Expended            |      |   |      |  |      |   |          |                          |        |                         |
|------------------------------------|------|---|------|--|------|---|----------|--------------------------|--------|-------------------------|
| RLF Loans Outstanding @ 08/31/2020 | Plus | Cash & Invest Balance in RLF @ 08/31/2020 | Plus | Admin Exp Paid from RLF Income during 2020 | Plus | Unpaid Principal of ALL loans written off during 2020 | Multiply | Federal Share of the RLF | Equals | Federal Awards Expended |
| 0.00                               | +    | 0.00                                      | +    | 0.00                                       | +    | 0.00  | x        | 0.00                     | =      | 0.00                    |

(Additional blank rows will appear as needed after saving) This agency has been certified. No modifications allowed.



State of Texas — Annual Financial Reporting  
**Schedule of Expenditures of Federal Awards**  
(SEFA)

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State of Texas - Federal Activity  
SEFA Note 10

Agency 744 - University of Texas Health Science Center  
at Houston  
SEFA Note 10 - 10 Percent de Minimis Indirect Cost Rate, FY 2020

Does your agency elect to use the 10 percent de minimis indirect cost rate?

Yes

No

Comment:

This agency has been certified. No modifications allowed.

# State of Texas — Annual Financial Reporting

## State Pass-Through Reporting (SPTR)

State of Texas - State Pass-through Reporting  
**Schedule 1B**  
October 5, 2020 7:09 AM

### UT HEALTH SCIENCE CENTER AT HOUSTON (744) Schedule 1B Schedule of State Grant Pass-Throughs From/To State Agencies For the Year Ended August 31, 2020

| Pass-through From  | Grant ID | Agency Number | Amount                          |
|--|----------|---------------|---------------------------------|
| RP150535 - Precision Oncology Decision Support Core<br>UT MD ANDERSON CANCER CENTER  | 506.0017 | 506           | 122,895.84<br><b>122,895.84</b> |
| RP160674 - DAMC: Comparative Effectiveness Research on Cancer<br>UT MD ANDERSON CANCER CENTER  | 506.0018 | 506           | 15,793.96<br><b>15,793.96</b>   |
| RP160710 - A Randomized Clinical Trial Platform with Transitional studies to Overcome Resistance in Triple Negative Breast Cancer<br>UT MD ANDERSON CANCER CENTER  | 506.0023 | 506           | 125,509.55<br><b>125,509.55</b> |
| PP190014 Expansion of cervical cancer prevention services to medically underserved populations through patient outreach, navigation & provider training/telementoring<br>UT MD ANDERSON CANCER CENTER    | 506.0040 | 506           | 181,049.56<br><b>181,049.56</b> |
| PP180077 - Increasing Access to Smoking Cessation and Smoke Free Home Services for Low-Income Pregnant Women in Northeast Texas<br>UT MD ANDERSON CANCER CENTER  | 506.0043 | 506           | 70,155.54<br><b>70,155.54</b>   |
| RP180166 - Molecular mechanisms of Anthracycline response in cardiomyocytes and link to genetic susceptibility to cardiotoxicity in long-term childhood cancer survivors<br>UT MD ANDERSON CANCER CENTER | 506.0051 | 506           | 51,679.83<br><b>51,679.83</b>   |
| PP170078 - Alliance for Colorectal Cancer Testing 2.0 (ACT 2.0)<br>UT MD ANDERSON CANCER CENTER  | 506.0052 | 506           | 251,908.25<br><b>251,908.25</b> |
| Family Health Services<br>DEPARTMENT OF STATE HEALTH SERVICES  | 537.0024 | 537           | 241,001.45<br><b>241,001.45</b> |
| Population Based Genetic Services<br>DEPARTMENT OF STATE HEALTH SERVICES   | 537.0025 | 537           | 13,449.14<br><b>13,449.14</b>   |
| PP160047--Competitive Continuation/Expansion - Evidence-Based Prevention Services<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0012 | 542           | 274,753.22<br><b>274,753.22</b> |
| PP170046--Evidence-Based Cancer Prevention Services<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0026 | 542           | 466,813.48<br><b>466,813.48</b> |
| PP180086--Evidence-Based Prevention Programs and Services<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0045 | 542           | 419,766.37<br><b>419,766.37</b> |
| PP180089--Expansion of Cancer Prevention Services to Rural and Medically Underserved Populations<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0046 | 542           | 514,612.52<br><b>514,612.52</b> |
| PP190041--Dissemination of CPRIT-Funded Cancer Control Interventions<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0056 | 542           | 84,751.42<br><b>84,751.42</b>   |
| PP190061--Expansion of Cancer Prevention Services to Rural and Medically Underserved Populations<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0060 | 542           | 92,981.71<br><b>92,981.71</b>   |
| RP150551--Core Facility Support Awards<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0097 | 542           | 888,786.09<br><b>888,786.09</b> |
| RP160015--Research Training Award<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0102 | 542           | 732,024.24<br><b>732,024.24</b> |
| RP160235--Individual Investigator<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0117 | 542           | 168,248.81<br><b>168,248.81</b> |
| RP170233--Individual Investigator<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0163 | 542           | 264,714.87<br><b>264,714.87</b> |
| RP170245--Bridging the Gap - Early Translational Research<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0164 | 542           | 286,675.21<br><b>286,675.21</b> |
| RP170330--Individual Investigator<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0171 | 542           | 251,038.90<br><b>251,038.90</b> |
| RP170493--Individual Investigator Research Awards for Prevention and Early Detection<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0182 | 542           | 479,670.04<br><b>479,670.04</b> |
| RP170619--High-Impact/High-Risk Research Awards<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0188 | 542           | 61,338.44<br><b>61,338.44</b>   |

|   |                 |                      |                                     |
|---|-----------------|----------------------|-------------------------------------|
| RP170668--Core Facility Support Award<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0196        | 542                  | 1,145,560.76<br><u>1,145,560.76</u> |
| RP180734--Core Facility Support Awards<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0258        | 542                  | 906,629.73<br><u>906,629.73</u>     |
| RP180812--High Impact/High Risk<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0267        | 542                  | 117,173.35<br><u>117,173.35</u>     |
| RP190019--Individual Investigator<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0279        | 542                  | 211,584.88<br><u>211,584.88</u>     |
| RP190366--Individual Investigator<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0308        | 542                  | 103,952.10<br><u>103,952.10</u>     |
| RP190542--Bridging the Gap: Early Translational Research Awards<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0323        | 542                  | 33,013.54<br><u>33,013.54</u>       |
| RP190561--Core Facility Support Awards<br>CANCER PREVENTION & RESEARCH INST OF TX   | 542.0326        | 542                  | 54,345.54<br><u>54,345.54</u>       |
| RP190570--High Impact/High Risk<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0327        | 542                  | 34,454.51<br><u>34,454.51</u>       |
| RP200181--Individual Investigator Research Awards<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0352        | 542                  | 10,625.73<br><u>10,625.73</u>       |
| RR150085--Recruitment of First-Time, Tenure-Track Faculty Members<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0393        | 542                  | 513,759.53<br><u>513,759.53</u>     |
| RR150104--Recruit-Rising Star<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0396        | 542                  | 204,849.73<br><u>204,849.73</u>     |
| RR160019--Recruitment of First-Time, Tenure-Track Faculty Members<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0399        | 542                  | 569,321.28<br><u>569,321.28</u>     |
| RR160083--Recruitment of First-Time, Tenure-Track Faculty Members<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0413        | 542                  | 477,453.28<br><u>477,453.28</u>     |
| RR170059--Recruitment of First-Time, Tenure-Track Faculty Members<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0429        | 542                  | 405,588.77<br><u>405,588.77</u>     |
| RR180012--Recruitment-Rising Star<br>CANCER PREVENTION & RESEARCH INST OF TX  | 542.0438        | 542                  | 382,929.88<br><u>382,929.88</u>     |
| Statewide Waterbody Bacterial Source Tracking Program<br>TEXAS A&M AGRILIFE RESEARCH  | 556.0017        | 556                  | 78,254.65<br><u>78,254.65</u>       |
| Early Childhood School Readiness<br>TEXAS EDUCATION AGENCY  | 701.0012        | 701                  | 3,276,212.44<br><u>3,276,212.44</u> |
| Joint Admission Medical Program (JAMP)<br>UNIVERSITY OF TEXAS SYSTEM  | 720.0002        | 720                  | 306,282.40<br><u>306,282.40</u>     |
| Lone Star Stroke Clinical Trial Network (LSS Network)<br>UNIVERSITY OF TEXAS SYSTEM   | 720.0004        | 720                  | 466,931.00<br><u>466,931.00</u>     |
| Lone Star Stroke Clinical Trial<br>Network UNIVERSITY OF TEXAS AT<br>AUSTIN   | 721.0025        | 721                  | 3,898.00<br><u>3,898.00</u>         |
| RP170593 - Computational Cancer Biology Training Program<br>UT MEDICAL BRANCH AT GALVESTON  | 723.0015        | 723                  | 11,581.31<br><u>11,581.31</u>       |
| RP190610 Development of an antibody targeting PCDH7 for lung cancer therapy<br>UT SOUTHWESTERN MEDICAL CENTER   | 729.0032        | 729                  | 51,979.78<br><u>51,979.78</u>       |
| PP180080 - HPV Vaccination in a Pediatric Minority-Based Community<br>UT HEALTH SCIENCE CENTER AT SAN ANTONIO   | 745.0009        | 745                  | 29,042.97<br><u>29,042.97</u>       |
| Family Practice Residency Program<br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0001        | 781                  | 209,112.72<br><u>209,112.72</u>     |
| TEXAS Grant Program<br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0008        | 781                  | 81,000.00<br><u>81,000.00</u>       |
| Professional Nursing Shortage Reduction Program<br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0013        | 781                  | 310,795.54<br><u>310,795.54</u>     |
| Emergency and Trauma Care<br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0038        | 781                  | 675,733.00<br><u>675,733.00</u>     |
| GME Unfilled Positions<br>TX HIGHER EDUCATION COORDINATING BOARD  | 781.0070        | 781                  | 3,825,000.00<br><u>3,825,000.00</u> |
| GME Expansion SB 18<br>TX HIGHER EDUCATION COORDINATING BOARD   | 781.0072        | 781                  | 3,750,000.00<br><u>3,750,000.00</u> |
| <b>Total Pass-Through from Other Agencies (Exh. II):</b>  |                 |                      | <b>24,306,684.86</b>                |
| <b>Pass-through To</b>  | <b>Grant ID</b> | <b>Agency Number</b> | <b>Amount</b>                       |
| Collaborative Training of a New Cadre of Innovative Cancer Prevention Researchers<br>UNIVERSITY OF TEXAS AT AUSTIN  | 744.0005        | 721                  | 84,053.48<br><u>84,053.48</u>       |
| Using Social Marketing and Mobile School-Based Vaccination Clinics to Increase HPV Vaccination Uptake in High-Risk Geographic Areas<br>UT MEDICAL BRANCH AT GALVESTON | 744.0009        | 723                  | 9,511.81<br><u>9,511.81</u>         |
| <b>Total Pass-Through to Other Agencies (Exh. II):</b>  |                 |                      | <b>93,565.29</b>                    |

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**SUPPLEMENTAL SCHEDULES**

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**SCHEDULE S-4a**  
**THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON**  
**RESTRICTED CURRENT FUNDS - FEDERAL AGENCIES**  
**For the Year Ended August 31, 2020**

|  | Indirect Cost           | Expenditures             | Total                    |
|--|-------------------------|--------------------------|--------------------------|
| <b>Instruction</b>                                       |                         |                          |                          |
| National Aeronautics and Space Administration (NASA)     | \$                      | \$ 6,544.33              | \$ 6,544.33              |
| U.S. Department of Education                             | 34.49                   | 430.98                   | 465.47                   |
| U.S. Department of Health and Human Services             | 229,497.37              | 3,715,850.12             | 3,945,347.49             |
| Subtotal - Instruction                                   | 229,531.86              | 3,722,825.43             | 3,952,357.29             |
| <b>Research</b>  |                         |                          |                          |
| Corporation for National and Community Service           | 3,297.41                | 32,975.77                | 36,273.18                |
| Department of Housing and Urban Development              | 9,062.81                | 16,782.65                | 25,845.46                |
| National Aeronautics and Space Administration (NASA)     | 61,201.27               | 206,334.73               | 267,536.00               |
| National Science Foundation (NSF)                        | 393,064.82              | 785,444.35               | 1,178,509.17             |
| U.S. Department of Agriculture                           | 416,403.00              | 1,635,111.93             | 2,051,514.93             |
| U.S. Department of Defense                               | 2,655,851.88            | 5,243,280.59             | 7,899,132.47             |
| U.S. Department of Education                             | 264,026.48              | 869,031.56               | 1,133,058.04             |
| U.S. Department of Health and Human Services             | 35,315,716.90           | 86,000,925.54            | 121,316,642.44           |
| U.S. Department of Justice                               | 53,699.53               | 178,134.76               | 231,834.29               |
| U.S. Department of Labor                                 | 4,904.66                | 9,082.47                 | 13,987.13                |
| U.S. Department of Veterans Affairs                      |                         | 162,874.70               | 162,874.70               |
| Subtotal - Research                                      | 39,177,228.76           | 95,139,979.05            | 134,317,207.81           |
| <b>Public Service</b>                                    |                         |                          |                          |
| Department of the Treasury                               | 28,145.32               | 281,453.11               | 309,598.43               |
| U.S. Department of Agriculture                           | 546,810.12              | 4,119,815.86             | 4,666,625.98             |
| U.S. Department of Health and Human Services             | 913,665.45              | 16,205,621.95            | 17,119,287.40            |
| U.S. Department of Veterans Affairs                      | 14,657.06               | 27,142.81                | 41,799.87                |
| Subtotal - Public Service                                | 1,503,277.95            | 20,634,033.73            | 22,137,311.68            |
| <b>Hospitals/Clinics</b>                                 |                         |                          |                          |
| U.S. Department of Veterans Affairs                      |                         | 1,008,125.82             | 1,008,125.82             |
| <b>Student Services</b>                                  |                         |                          |                          |
| U.S. Department of Education                             |                         | 977,271.00               | 977,271.00               |
| <b>Operation and Maintenance of Plant</b>                |                         |                          |                          |
| U.S. Department of Homeland Security                     |                         |                          |                          |
| <b>Scholarships &amp; Fellowships</b>                    |                         |                          |                          |
| U.S. Department of Education                             |                         | 2,274,625.00             | 2,274,625.00             |
| U.S. Department of Health and Human Services             | 4,282.32                | 542,593.85               | 546,876.17               |
| Subtotal - Scholarship & Fellowships                     | 4,282.32                | 2,817,218.85             | 2,821,501.17             |
| <b>TOTAL RESTRICTED CURRENT FUNDS - FEDERAL AGENCIES</b> | <b>\$ 40,914,320.89</b> | <b>\$ 124,299,453.88</b> | <b>\$ 165,213,774.77</b> |

SCHEDULE S-8  
THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
SCHEDULE OF CHANGES IN FUND BALANCES - UNEXPENDED PLANT FUNDS  
FOR THE YEAR ENDED AUGUST 31, 2020

|   | ADDITIONS                 |                                      |                  |                                    | DEDUCTIONS                       |              |   |             |                                |                |                                      | Balances<br>Aug 31, 2020 |                  |
|---|---------------------------|--------------------------------------|------------------|------------------------------------|----------------------------------|--------------|---|-------------|--------------------------------|----------------|--------------------------------------|--------------------------|------------------|
|   | Balances<br>Sept. 1, 2019 | Interest and<br>Investment<br>Income | Other            | Expenditures<br>Not<br>Capitalized | ADDITIONS TO PHYSICAL PROPERTIES |              |   |             |                                |                |                                      |                          |                  |
|   |                           |                                      |                  |                                    | Land                             | Buildings    | Facilities<br>and Other<br>Improvements | Equipment   | Construction<br>in<br>Progress | Infrastructure | Amortizable<br>Purchased<br>Software |                          | Other            |
| <b>FROM PERMANENT UNIVERSITY</b>            |                           |                                      |                  |                                    |                                  |              |   |             |                                |                |                                      |                          |                  |
| FUND BONDS ONLY                             |                           |                                      |                  |                                    |                                  |              |   |             |                                |                |                                      |                          |                  |
| Faculty Star (701-1021)                     | 1,652,871.54              | -                                    | 495,096.92 (1)   | 219,905.16                         | -                                | -            | 535,815.01                              | -           | -                              | -              | -                                    | -                        | 1,303,648.09     |
| Faculty Star (701-1023)                     | 4,465.95                  | -                                    | (23,498.69) (1)  | 28,411.92                          | -                                | -            | 42,890.38                               | -           | -                              | -              | -                                    | -                        | (1,000)          |
| Repair Star (701-1076)                      | 141,465.97                | -                                    | (100,290.91) (1) | 529,626.81                         | 0                                | 363,489.79   | 971,462.63                              | 122,022.88  | -                              | -              | -                                    | -                        | 617,632.36       |
| Faculty Star (701-1088)                     | 2,604,244.47              | -                                    | -                | 529,626.81                         | -                                | -            | 971,462.63                              | -           | -                              | -              | -                                    | -                        | 852.69           |
| Library & Equipment (701-1143)              | 852.69                    | -                                    | -                | 24,351.44                          | -                                | 100,000.00   | 333,955.16                              | -           | -                              | -              | -                                    | -                        | 730,791.12       |
| Faculty Star (701-1170)                     | 1,189,087.72              | -                                    | 750,000.00 (1)   | 139,955.80                         | -                                | 408,743.02   | 873,722.74                              | -           | -                              | -              | -                                    | -                        | 3,494,648.43     |
| Faculty Star (701-1200)                     | 4,916,469.99              | -                                    | 1,500,000.00 (1) | 236.00                             | -                                | -            | 750,000.00                              | -           | -                              | -              | -                                    | -                        | -                |
| Repair & Rehabilitation (701-1277)          | -                         | -                                    | 3,619,800.00 (1) | 57,163.95                          | -                                | 193,055.10   | 862,300.15                              | 688,605.33  | -                              | -              | -                                    | -                        | 811,158.67       |
| Repair & Rehabilitation (701-1278)          | -                         | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 2,507,280.80     |
| Faculty Star (701-1294)                     | -                         | -                                    | 6,236,103.16     | 998,651.08                         | -                                | 1,065,297.91 | 4,405,054.07                            | 810,628.21  | -                              | -              | -                                    | -                        | 9,496,012.16     |
| Total From PUF Bonds                        | 10,509,540.27             | -                                    | 6,236,103.16     | 998,651.08                         | -                                | 1,065,297.91 | 4,405,054.07                            | 810,628.21  | -                              | -              | -                                    | -                        | 9,496,012.16     |
| <b>FROM INTEREST EARNED ON CONSTRUCTION</b> |                           |                                      |                  |                                    |                                  |              |   |             |                                |                |                                      |                          |                  |
| Unallocated Interest Earned (906A)          | 1,350,946.08              | 1,177,229.99                         | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 2,527,644.57     |
| Total From Interest Earned On Construction  | 1,350,946.08              | 1,177,229.99                         | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 2,527,644.57     |
| <b>FROM UNALLOCATED OTHER SOURCES</b>       |                           |                                      |                  |                                    |                                  |              |   |             |                                |                |                                      |                          |                  |
| Unallocated Other Sources (905A)            | 8,114,978.33              | -                                    | 8,243,431.87 (6) | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 1,143,431.87 (2) |
| Total From Unallocated Other Sources        | 8,114,978.33              | -                                    | 8,243,431.87     | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 1,143,431.87     |
| <b>FROM OTHER SOURCES ONLY</b>              |                           |                                      |                  |                                    |                                  |              |   |             |                                |                |                                      |                          |                  |
| FPE Capital Improvement Funds (907A)        | 803,517.91                | -                                    | 200,000.00 (6)   | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 1,221,816.99     |
| HCPC Capital Improvement Funds (907)        | 528,811.49                | -                                    | 809,700.08 (2)   | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 1,622,294.59     |
|   |                           |                                      | 357,652.37 (6)   | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
|   |                           |                                      | 82,201.62 (2)    | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
|   |                           |                                      | 813,629.11 (4)   | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
|   |                           |                                      | 531.50 (6)       | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
|   |                           |                                      | 7,828.70         | (1,605,039.43)                     | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 1,632,039.43     |
| Henry Recovery (66537)                      | 27,000.00                 | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| Hunterke Recovery (66596)                   | 7,287.20                  | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| RAS 2nd Floor Library (907DP)               | 526,740.50                | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 117,897.53       |
| Applicant Tracking System (907DO)           | 316,881.23                | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 316,881.23       |
| HCPC Unit 2/E Renovation (907DX)            | 6,813.00                  | -                                    | -                | 6,813.00                           | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 0.00             |
| JUL 2nd Floor Renovation (907EC)            | -                         | -                                    | 1,108,206.52 (2) | 790,431.68                         | -                                | 317,774.84   | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| SCRBS Tashman Lab (907EF)                   | -                         | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| MSB Pathology LS (907EE)                    | 322,198.12                | -                                    | -                | 18,390.64                          | -                                | -            | -                                       | -           | -                              | -              | 303,083.73                           | -                        | 723.75           |
| HCPC Allscripts 17.3 (907EP)                | 326,676.40                | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| JUL Street Level Internal Medicine (907EZ)  | 125,192.00                | -                                    | 11,418.45 (2)    | -                                  | -                                | -            | -                                       | (63,389.55) | -                              | -              | -                                    | -                        | 30,292.10        |
| Contract Management System (907FC)          | 30,292.10                 | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| HCPC Pharmacy Software (907FD)              | 22,087.50                 | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| SPA Grants Management System (907FG)        | 1,596,634.56              | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |
| JUL GME 310 Renovation (907FN)              | 5,000.00                  | -                                    | 129,177.00 (5)   | 4,000.00                           | -                                | -            | -                                       | -           | -                              | -              | 24,517.86                            | -                        | 414,684.83       |
| HCPC Unit Furniture (907FV)                 | 8,888.47                  | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 3,644.00         |
| HCPC Replace MEP Equipment (907FW)          | 187,200.00                | -                                    | -                | 64,319.50                          | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | 122,880.50       |
| MSB 7th Neuro Lab Renovation (907FX)        | 141,192.00                | -                                    | 540,770.86 (2)   | 29,983.19                          | -                                | 105,172.76   | 510,787.67                              | -           | -                              | -              | -                                    | -                        | 5,071.93         |
| 5420 W Loop Renovation (907FY)              | -                         | -                                    | -                | -                                  | -                                | -            | -                                       | -           | -                              | -              | -                                    | -                        | -                |



SCHEDULE S-8 (CONTINUED)

|   | ADDITIONS                 |                                      | DEDUCTIONS TO PHYSICAL PROPERTIES |                                    |              |           |                                      |              |   | Balances<br>Aug. 31, 2020 |           |                                |                  |               |
|---|---------------------------|--------------------------------------|-----------------------------------|------------------------------------|--------------|-----------|--------------------------------------|--------------|---|---------------------------|-----------|--------------------------------|------------------|---------------|
|   | Balances<br>Sept. 1, 2019 | Interest and<br>Investment<br>Income | Other                             | ADDITIONS TO PHYSICAL PROPERTIES   |              |           | Amortizable<br>Purchased<br>Software | Other        |   |                           |           |                                |                  |               |
|   |                           |                                      |                                   | Expenditures<br>Not<br>Capitalized | Land         | Buildings |                                      |              | Facilities<br>and Other<br>Improvements |                           | Equipment | Construction<br>in<br>Progress | Infrastructure   |               |
| FROM OTHER SOURCES ONLY CONTD                               |                           |                                      |                                   |                                    |              |           |                                      |              |   |                           |           |                                |                  |               |
| TMC3 Development (907GB)                                    | -                         | -                                    | 1,970,755.33 (2)                  | 1,970,755.33                       |              |           |                                      |              |   |                           |           |                                |                  | 18,100.85     |
| SON 520 Lab Reno (907GC)                                    | 219,984.15                | -                                    | 617.00                            | 617.00                             | 201,266.30   |           |                                      |              |   |                           |           |                                |                  | 241,206.25    |
| BBS Classroom 3182 Renovation (907GD)                       | 255,000.00                | -                                    | 100,000.00 (2)                    | 94,083.87                          |              |           |                                      |              |   |                           |           |                                |                  | 5,916.13      |
| UCT Data Center Redundancy (907GE)                          | -                         | -                                    | 300,000.00 (2)                    | 146,938.53                         | 153,061.47   |           |                                      |              |   |                           |           |                                |                  | -             |
| OCB Revenue Cycle Buildout (907GF)                          | -                         | -                                    | 160,000.00 (6)                    | 112,343.01                         |              |           |                                      |              | 7,026.67                                |                           |           |                                |                  | -             |
| HCPC AV Upgrades (907GG)                                    | -                         | -                                    | 269,483.00 (1)                    | 3,120.46                           |              |           |                                      |              | 269,483.00                              |                           |           |                                |                  | 40,630.32     |
| Firewall Upgrades (907GHI)                                  | -                         | -                                    | 580,028.72 (2)                    | 3,120.46                           |              |           |                                      |              |   |                           |           |                                |                  | -             |
| Coupa Portal Implementation (907GI)                         | -                         | -                                    | 272,071.00 (6)                    | 13,086.60                          | 115,039.38   |           |                                      |              |   |                           |           |                                |                  | 31,244.99     |
| IoTIC Replace CW-Pipe (907GJK)                              | -                         | -                                    | 164,938.53 (2)                    | 13,086.60                          |              |           |                                      |              |   |                           |           |                                |                  | 143,871.00    |
| ITC Renovation (907GTL)                                     | -                         | -                                    | 154,330.00 (2)                    | 47.91                              |              |           |                                      |              |   |                           |           |                                |                  | 154,330.00    |
| SBR Keynote Hallway (907GM)                                 | -                         | -                                    | 145,330.00 (2)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 145,330.00    |
| PAHC Office Reconfiguration (907GN)                         | -                         | -                                    | 190,575.00 (6)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 189,711.09    |
| SPH Interior Renovations (907GO)                            | -                         | -                                    | 221,267.97 (6)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 193,762.97    |
| OCB Elevator Modernization (907GP)                          | -                         | -                                    | 174,000.00 (6)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 79,846.50     |
| SOD Operator Divisions (907GQ)                              | -                         | -                                    | 313,000.00 (2)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 288,231.00    |
| MSB G601 Emergency Power (907GU)                            | -                         | -                                    | 212,195.00 (2)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 212,195.00    |
| Rec Center Chiller Replacement (907FO)                      | -                         | -                                    | 164,998.00 (5)                    |                                    | 164,998.00   |           |                                      |              |   |                           |           |                                |                  | -             |
| UTP - ATH Katy Ortho Buildout (27060)                       | -                         | -                                    | 70,595.30 (2)                     |                                    |              |           |                                      |              | 70,595.30                               |                           |           |                                |                  | -             |
| UTP - ATH Admin 8th Floor (27061)                           | -                         | -                                    | 85,877.08 (2)                     |                                    |              |           |                                      |              |   |                           |           |                                |                  | -             |
| UTP - Peirland Ortho MH Suite 120 (27062)                   | -                         | -                                    | 102,494.51 (2)                    |                                    |              |           |                                      |              | 102,494.51                              |                           |           |                                |                  | -             |
| UTP - JM 6th Floor Refresh (27063)                          | -                         | -                                    | 388,693.21 (2)                    |                                    | 388,693.21   |           |                                      |              |   |                           |           |                                |                  | 388,070.01    |
| UTP - Urology Dr. Shu Plaza Refresh                         | -                         | -                                    | 189,180.23 (2)                    |                                    |              |           |                                      |              | 189,180.23                              |                           |           |                                |                  | -             |
| UTP - UT/PS NNA TMC Spine Expansion (27067)                 | -                         | -                                    | 228,599.00 (2)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 228,599.00    |
| UTP - UT/PS NNA TMC Southeast Expansion (27068)             | -                         | -                                    | 107,555.00 (2)                    |                                    |              |           |                                      |              |   |                           |           |                                |                  | 107,555.00    |
| Total From Other Sources                                    | 5,450,406.63              | -                                    | 10,816,181.85                     | 1,766,149.80                       | 1,445,005.96 | -         | -                                    | 1,149,567.38 | 1,055,582.55                            | -                         | -         | 327,601.59                     | 2,864,328.21     | 7,657,372.99  |
| FROM MULTIPLE SOURCES                                       |                           |                                      |                                   |                                    |              |           |                                      |              |   |                           |           |                                |                  |               |
| Replacement Res Facility (701-160)                          | 726,382.00                | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | 726,382.00    |
| Private Gifts - Restricted Fund                             | 726,382.00                | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | 726,382.00    |
| Total Replacement Res Facility                              | 1,452,764.00              | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | 1,452,764.00  |
| SON 4th Floor Renovation (907DL)                            | (0.00)                    | -                                    | 1,130,517.00 (1)                  | 1,130,517.00                       |              |           |                                      |              |   |                           |           |                                |                  | -             |
| RFS Bonds Proceeds (Equipment Financing (907DL))            | 367,166.00                | -                                    | 1,000,000.00 (2)                  | 374,996.86                         |              |           |                                      |              | 48,258.21                               |                           |           |                                |                  | 1,281,410.93  |
| Other Sources - Designated (907DL)                          | 80,159.30                 | -                                    | -                                 |                                    |              |           |                                      |              | 80,159.30                               |                           |           |                                |                  | -             |
| Other Sources - Restricted (907DL)                          | -                         | -                                    | -                                 |                                    |              |           |                                      |              |   |                           |           |                                |                  | -             |
| Total SON 4th Floor Renovation (907DL)                      | 447,325.30                | -                                    | 2,130,517.00                      | 1,168,013.86                       |              |           |                                      |              | 128,417.51                              |                           |           |                                |                  | 1,281,410.93  |
| Research Park Complex (701-320)                             | (10,472.20)               | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | (10,472.20)   |
| Private Gifts - Restricted Fund                             | (10,472.20)               | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | (10,472.20)   |
| Gift Pledge Revenue - Restricted Fund                       | 162,432.00                | -                                    | 81,216.00 (3)                     |                                    |              |           |                                      |              |   |                           |           |                                |                  | 243,648.00    |
| General Funds (907DS)                                       | 980,985.20                | -                                    | -                                 |                                    |              |           |                                      |              |   |                           |           |                                |                  | 980,985.20    |
| Other Sources - Designated (907DS)                          | 1,143,417.20              | -                                    | 81,216.00                         |                                    |              |           |                                      |              |   |                           |           |                                |                  | 1,224,633.20  |
| Total Campus Solutions Upgrade                              | 2,143,417.20              | -                                    | 81,216.00                         |                                    |              |           |                                      |              |   |                           |           |                                |                  | 2,224,633.20  |
| Renovation and Modernization of Ed. & Res Facilities        | 25,852,226.43             | -                                    | (15,033,886.59) (1)               | 309,324.31                         |              |           |                                      |              |   |                           |           |                                |                  | 10,818,327.88 |
| RFS Bond Proceeds (701-950)                                 | 7,100,000.00              | -                                    | 15,033,886.55 (1)                 | 309,324.31                         | 9,614,704.70 |           |                                      |              | 2,109,869.54                            |                           |           |                                |                  | 3,000,000.00  |
| PUP Bond Proceeds (701-950)                                 | 32,952,226.43             | -                                    | 3,000,000.00 (6)                  | 309,324.31                         |              |           |                                      |              | 3,000,000.00                            |                           |           |                                | 7,100,000.00 (6) | -             |
| Other Sources - Unallocated (701-950)                       | -                         | -                                    | 3,000,000.00                      | 309,324.31                         | 9,614,704.70 |           |                                      |              | 5,109,869.54                            |                           |           |                                |                  | 13,818,327.88 |
| Total Renovation and Modernization of Ed. & Res. Facilities | 7,718,749.25              | -                                    | 6,500,000.00 (1)                  | 197,803.89                         | 295,143.28   |           |                                      |              | 9,387,042.86                            |                           |           |                                |                  | 4,338,759.22  |
| Academic Extension Building Renovation                      | 7,718,749.25              | -                                    | 6,500,000.00                      | 197,803.89                         | 295,143.28   |           |                                      |              | 9,387,042.86                            |                           |           |                                |                  | 4,338,759.22  |
| RFS Bond Proceeds (701-937)                                 | -                         | -                                    | 650,668.54 (2)                    | 100,998.01                         |              |           |                                      |              | 549,670.53                              |                           |           |                                |                  | -             |
| Other Unallocated Reserves (701-937)                        | -                         | -                                    | 650,668.54                        | 100,998.01                         |              |           |                                      |              | 549,670.53                              |                           |           |                                |                  | -             |
| Total Academic Extension Building Renovation                | 7,718,749.25              | -                                    | 650,668.54                        | 100,998.01                         |              |           |                                      |              | 549,670.53                              |                           |           |                                |                  | -             |
| Interactive Classroom IT                                    | -                         | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | -             |
| Designated Funds (907FI)                                    | -                         | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | -             |
| Unallocated Construction Interest (907FI)                   | -                         | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | -             |
| Restricted Funds (907FI)                                    | -                         | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | -             |
| Total Interactive Classroom IT (907FI)                      | -                         | -                                    | -                                 | -                                  | -            | -         | -                                    | -            | -                                       | -                         | -         | -                              | -                | -             |

SCHEDULE S-8 (CONTINUED)

|  | ADDITIONS                 |                                      | DEDUCTIONS                         |           |                  |   |                 |                                |                | Balances<br>Aug. 31, 2020 |                                      |                  |
|--|---------------------------|--------------------------------------|------------------------------------|-----------|------------------|---|-----------------|--------------------------------|----------------|---------------------------|--------------------------------------|------------------|
|  | Balances<br>Sept. 1, 2019 | Interest and<br>Investment<br>Income | Expenditures<br>Not<br>Capitalized | Land      | Buildings        | Facilities<br>and Other<br>Improvements | Equipment       | Construction<br>in<br>Progress | Infrastructure |                           | Amortizable<br>Purchased<br>Software | Other            |
| FROM MULTIPLE SOURCES - Cont'd.                            |                           |                                      |                                    |           |                  |   |                 |                                |                |                           |                                      |                  |
| MSB LRC Lounge   | -                         | -                                    | 39,638.69 (5)                      | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Auxiliary Funds (907FU)                                    | -                         | -                                    | 39,638.69                          | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| MSB LRC Lounge   | -                         | -                                    | -                                  | -         | -                | -                                       | 209,215.71      | 16,876,890.29                  | -              | -                         | -                                    | -                |
| Epic Implementation (907FZ)                                | -                         | -                                    | 17,066,106.00 (1)                  | -         | -                | -                                       | -               | 3,301,758.00                   | -              | -                         | -                                    | -                |
| RFS Bond Proceeds (907FZ)                                  | -                         | -                                    | 25,000,000.00 (2)                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Designated Funds (907FZ)                                   | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Inter-Fund Transfers (907FZ)                               | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Epic Implementation  | -                         | -                                    | 42,086,106.00                      | -         | -                | -                                       | 209,215.71      | 20,178,648.29                  | -              | -                         | 35,887.16 (6)                        | 21,662,354.84    |
| Epic Implementation  | 107,962.00                | -                                    | 10,482.60                          | 99,246.04 | -                | -                                       | -               | -                              | -              | -                         | -                                    | 2,593.94         |
| EVP/UCT Office Project (907GA)                             | -                         | -                                    | 4,360.58 (2)                       | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Designated Funds (907GA)                                   | -                         | -                                    | 4,360.58                           | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| General Funds (907GA)                                      | 107,962.00                | -                                    | 10,482.60                          | 99,246.04 | -                | -                                       | -               | -                              | -              | -                         | -                                    | 2,593.94         |
| Total EVP/UCT Office Project                               | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Ancillary Epic Systems (907GJ)                             | -                         | -                                    | 913,894.00 (1)                     | -         | -                | -                                       | -               | 36,887.50                      | -              | 913,893.66                | -                                    | -                |
| RFS Bond Proceeds (907GJ)                                  | -                         | -                                    | 35,887.16 (6)                      | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Inter-fund Transfer (907GJ)                                | -                         | -                                    | 949,781.16                         | -         | -                | -                                       | -               | 36,887.50                      | -              | 913,893.66                | -                                    | -                |
| Total Ancillary Epic Systems                               | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| MSB Autoclave Replacement (907GR)                          | -                         | -                                    | 64,310.38 (2)                      | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | 64,310.38        |
| Designated Funds (907GR)                                   | -                         | -                                    | 40,000.00 (3)                      | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | 40,000.00        |
| Restricted Funds (907GR)                                   | -                         | -                                    | 104,310.38                         | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | 104,310.38       |
| MSB Autoclave Replacement (907GR)                          | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Total From Multiple Sources                                | \$ 43,085,589.98          | \$ -                                 | \$ 1,826,261.36                    | \$ -      | \$ 10,009,094.02 | \$ -                                    | \$ 887,203.75   | \$ 34,711,448.19               | \$ -           | \$ 913,893.66             | \$ 7,135,887.16                      | \$ 43,148,900.19 |
| TOTAL UNEXPENDED PLANT FUNDS                               | \$ 68,511,461.29          | \$ 1,177,229.99                      | \$ 80,842,315.23                   | \$ -      | \$ 12,520,397.89 | \$ -                                    | \$ 6,441,925.20 | \$ 36,577,638.95               | \$ -           | \$ 1,241,495.25           | \$ 14,144,178.74                     | \$ 75,014,308.24 |
| Schedule References  | B-8                       |                                      |                                    | S-11a     | S-11b            | S-11c                                   | S-11d           | S-11e                          | S-11f          | S-11g                     |                                      | B-8              |
| Analysis of Other Additions and Other Deductions:          |                           |                                      |                                    |           |                  |   |                 |                                |                |                           |                                      |                  |
| (1) Transfer From/To System Administration (Sched. B-13)   | -                         | \$ -                                 | 32,136,103.16                      | -         | -                | -                                       | -               | -                              | -              | -                         | 1,143,431.87                         | -                |
| (2) Transfer From/To Designated Funds                      | -                         | -                                    | 34,048,736.39                      | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (3) Transfer From/To Restricted Funds                      | -                         | -                                    | 121,216.00                         | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (4) Transfer From/To General Funds                         | -                         | -                                    | 813,629.11                         | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (5) Transfer From/To Auxiliary Funds                       | -                         | -                                    | 721,883.70                         | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (6) Transfers Within Fund Group                            | -                         | -                                    | 13,000,746.87                      | -         | -                | -                                       | -               | -                              | -              | -                         | 13,000,746.87                        | -                |
| (7) Gifts Contributed                                      | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (8) Gifts Pledged (Transferred From Restricted Funds) 4100 | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (9) Gifts in Kind  | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (10) Other Sources - Non-Operating                         | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (11) Other Sources - Operating                             | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (12) Transfer From/To Component Institutions               | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| (13) Schedule Corrections                                  | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Totals   | \$ 68,511,461.29          | \$ 1,177,229.99                      | \$ 80,842,315.23                   | \$ -      | \$ 12,520,397.89 | \$ -                                    | \$ 6,441,925.20 | \$ 36,577,638.95               | \$ -           | \$ 1,241,495.25           | \$ 14,144,178.74                     | \$ 75,014,308.24 |
|  |                           | Gross                                | \$ 80,842,315.23                   |           |                  |   |                 |                                |                | Gross                     | \$ 14,144,178.74                     |                  |
|  |                           | Net                                  | \$ 67,841,568.36                   |           |                  |   |                 |                                |                | Net                       | \$ 1,143,431.87                      |                  |
| RECAP:   |                           |                                      |                                    |           |                  |   |                 |                                |                |                           |                                      |                  |
| PUF Bond Proceeds  | \$ 10,509,540.27          | \$ -                                 | \$ 21,270,001.71                   | \$ -      | \$ 10,680,002.61 | \$ -                                    | \$ 4,405,054.07 | \$ 2,920,487.75                | \$ -           | \$ -                      | \$ -                                 | \$ 12,486,012.16 |
| PUF Bond Interest Proceeds                                 | -                         | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| RFS/TRB Bond Proceeds                                      | 40,670,975.68             | -                                    | 10,866,101.45                      | -         | 295,143.28       | -                                       | 209,215.71      | 26,263,933.15                  | -              | 913,893.66                | -                                    | 22,526,670.44    |
| Private Gifts  | 715,909.80                | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | 715,909.80       |
| Interest Earned on Construction Funds                      | 1,350,946.08              | 1,177,229.99                         | 35,705,465.20                      | -         | 1,545,252.00     | -                                       | 1,827,655.42    | 7,393,208.05                   | -              | 327,601.59                | 1,143,431.87                         | 2,527,644.57     |
| Other Sources  | 15,256,792.26             | -                                    | 7,297.20                           | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | 36,778,171.27    |
| Federal Grants   | 7,297.20                  | -                                    | -                                  | -         | -                | -                                       | -               | -                              | -              | -                         | -                                    | -                |
| Totals   | \$ 68,511,461.29          | \$ 1,177,229.99                      | \$ 67,841,568.36                   | \$ -      | \$ 12,520,397.89 | \$ -                                    | \$ 6,441,925.20 | \$ 36,577,638.95               | \$ -           | \$ 1,241,495.25           | \$ 1,143,431.87                      | \$ 75,014,308.24 |

SCHEDULE S-11a  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - LAND  
 FOR THE PERIOD ENDED AUGUST 31, 2020

|  | Year Acquired | Size in Acres       | Beginning Value Sept. 1, 2019 | Additions   | Disposals & Adjustments | Ending Value            | Carrying Value August 31, 2020                |                                  |                        |                        |
|--|---------------|---------------------|-------------------------------|-------------|-------------------------|-------------------------|---|----------------------------------|------------------------|------------------------|
|  |               |                     |                               |             |                         |                         | From General Tuition Revenue Bonds & Interest | From Institutional Revenue Bonds | From Private Gifts     | From Other Sources     |
| School of Public Health Site in the Texas Medical Center                                 | 1970          | 3.6920              | \$ 515,937.98                 | \$ -        | \$ -                    | \$ 515,937.98           | \$ -  | \$ -                             | \$ 515,937.98          | \$ -                   |
| Tract in the P. W. Rose Survey in the City of Houston                                    | 1970<br>2011  | 81.4050<br>(5.0800) | 1,540,876.00                  | -           | -                       | 1,540,876.00            | -   | -                                | 1,540,876.00           | -                      |
| Medical School Building Site in the Texas Medical Center                                 | 1971-1974     | 5.8353              | 815,463.00                    | -           | -                       | 815,463.00              | -   | -                                | 815,463.00             | -                      |
| Operations Center Building   | 1987          | 16.7800             | 1,389,000.00                  | -           | -                       | 1,389,000.00            | 1,389,000.00                                  | -                                | -                      | -                      |
| University Center Tower  | 1996          | 2.0430              | 3,114,755.00                  | -           | -                       | 3,114,755.00            | -   | 3,114,755.00                     | -                      | -                      |
| South Campus Expansion, Corner of El Paseo and Cambridge Street                          | 2002          | 5.1156              | 2,293,945.50                  | -           | -                       | 2,293,945.50            | -   | -                                | -                      | 2,293,945.50           |
| Reserve E. Doctors Center, 2000 Block of Galen Drive (F. Sarofim Research Building site) | 2002          | 1.9020              | 4,149,078.97                  | -           | -                       | 4,149,078.97            | -   | -                                | 4,149,078.97           | -                      |
| JUL Site in the Pleasant W. Rose Survey  | 2016          | 3.4429              | 17,314,565.86                 | -           | -                       | 17,314,565.86           | -   | 10,600,000.00                    | -                      | 6,714,565.86           |
| <b>TOTAL LAND (Schedule B-11)</b>  |               | <b>115.14</b>       | <b>\$ 31,133,622.31</b>       | <b>\$ -</b> | <b>\$ -</b>             | <b>\$ 31,133,622.31</b> | <b>\$ 1,389,000.00</b>                        | <b>\$ 13,714,755.00</b>          | <b>\$ 7,021,355.95</b> | <b>\$ 9,008,511.36</b> |

Analysis of Additions and Adjustments:  
 Total

SCHEDULE S-11b  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - BUILDINGS  
 FOR THE PERIOD ENDED AUGUST 31, 2020

| Year Completed or Acquired | Square Feet | Carrying Value August 31, 2020 |  |                         | Depreciation     |                   |                         | Net Basis |
|----------------------------|-------------|--------------------------------|--|-------------------------|------------------|-------------------|-------------------------|-----------|
|                            |             | Beginning Value Sept. 1, 2019  | Additions                                | Disposals & Adjustments | Ending Value     | Additions         | Disposals & Adjustments |           |
| 1977                       | 231,700     | \$ 26,089,147.71               | \$ 2,133,850.17 (1)<br>23,210,242.17 (2) | \$ 51,433,240.05        | \$ 1,942,130.07  | \$ 20,207,741.29  | \$ 31,225,498.76        |           |
| 1978                       | 883,000     | 182,450,817.89                 | 7,005,087.87 (1)<br>8,734,830.60 (2)     | 198,190,736.36          | 5,771,269.43     | 126,154,322.70    | 72,036,413.66           |           |
| 1984                       | 17,300      | 5,047,952.04                   |  | 5,047,952.04            | 116,687.75       | 4,396,201.87      | 651,750.17              |           |
| 1987                       | 227,100     | 18,642,861.80                  | 153,061.47 (1)                           | 18,795,923.27           | 703,736.92       | 13,335,587.26     | 5,460,336.01            |           |
| 1996                       | 792,000     | 91,376,394.39                  | 881,288.43 (1)<br>238,709.97 (2)         | 92,496,392.79           | 4,104,674.89     | 50,553,669.14     | 41,942,723.65           |           |
| 2002                       | 30,600      | 9,615,476.97                   |  | 9,615,476.97            | 391,602.22       | 6,977,582.87      | 2,637,894.10            |           |
| 2004                       | 193,400     | 72,487,080.84                  | 201,266.30 (1)<br>5,050.00 (2)           | 72,693,397.14           | 2,105,402.78     | 51,855,243.20     | 20,838,153.94           |           |
| 2005                       | 351,200     | 40,935,222.71                  | 388,693.21 (1)                           | 41,323,915.92           | 1,528,572.03     | 24,741,835.12     | 16,582,080.80           |           |
| 2005                       | 630,000     | 11,136,237.56                  |  | 11,136,237.56           | 407,064.08       | 6,490,126.68      | 4,646,110.88            |           |
| 2006                       | 256,000     | 110,200,478.20                 |  | 110,200,478.20          | 5,823,370.44     | 85,061,461.48     | 25,139,016.72           |           |
| 2008                       | 198,000     | 77,159,673.65                  |  | 77,159,673.65           | 4,062,161.12     | 53,258,738.83     | 23,900,934.82           |           |
| 2010                       | 152,000     | 73,204,499.52                  |  | 73,204,499.52           | 4,043,194.26     | 41,872,739.49     | 31,331,760.03           |           |
| 2010                       | 16,390      | 25,810,368.27                  |  | 25,810,368.27           | 1,417,359.65     | 14,604,328.51     | 11,206,039.76           |           |
| 2012                       | 251,285     | 116,677,561.01                 |  | 116,677,561.01          | 6,519,753.70     | 54,210,928.76     | 62,466,632.25           |           |
| 2012                       | 14,985      | 8,550,503.77                   |  | 8,550,503.77            | 507,595.24       | 4,229,210.30      | 4,321,293.47            |           |
| 2013                       | 10,519      | 2,317,156.46                   |  | 2,317,156.46            | 120,594.73       | 944,658.73        | 1,372,497.73            |           |
| 2016                       | 160,000     | 18,665,144.14                  | 1,592,152.44 (1)<br>2,244,646.23 (2)     | 22,501,942.81           | 932,871.39       | 3,049,773.72      | 19,452,169.09           |           |
| Total Educational Plant    | 4,415,479   | \$ 890,366,576.93              | \$ 46,788,878.86                         | \$ 937,155,455.79       | \$ 40,497,950.70 | \$ 561,944,149.95 | \$ 375,211,305.84       |           |

SCHEDULE S-11b  
(CONTINUED)

| Year Completed or Acquired             | Square Feet      | Carrying Value August 31, 2020 |                         |                         | Depreciation               |                               |           | Net Basis |                         |                          |                          |
|--|------------------|--------------------------------|-------------------------|-------------------------|----------------------------|-------------------------------|-----------|-----------|-------------------------|--------------------------|--------------------------|
|  |                  | Beginning Value Sept. 1, 2019  | Additions               | Disposals & Adjustments | Ending Value               | Beginning Total Sept. 1, 2019 | Additions |           | Disposals & Adjustments | Annual Expense           | Ending Total             |
| <b>AUXILIARY</b>                       |                  |                                |                         |                         |                            |                               |           |           |                         |                          |                          |
| 1983                                   | 421,500          | \$ 15,685,670.23               | \$                      | \$                      | \$ 15,685,670.23           | \$ 13,613,430.77              | \$        | \$        | \$ 214,375.41           | \$ 13,827,806.18         | \$ 1,857,864.05          |
| 1984                                   | 25,700           | 5,038,108.84                   | 164,998.00 (1)          |                         | 5,203,106.84               | 3,864,988.38                  |           |           | 127,010.18              | 3,991,978.56             | 1,211,128.28             |
| 2005                                   | 387,400          | 20,958,368.88                  |                         |                         | 20,958,368.88              | 13,427,529.44                 |           |           | 837,250.68              | 14,264,780.12            | 6,693,588.76             |
| 2014                                   | 177,534          | 23,155,863.27                  |                         |                         | 23,155,863.27              | 6,110,323.02                  |           |           | 1,163,871.04            | 7,274,194.06             | 15,881,669.21            |
| 2019                                   |                  | 300,146.73                     |                         |                         | 300,146.73                 | 1,667.48                      |           |           | 20,009.78               | 21,677.26                | 278,469.47               |
| Total Auxiliary Plant                  | 1,012,134        | \$ 65,138,157.95               | \$ 164,998.00           | \$                      | \$ 65,303,155.95           | \$ 37,017,919.09              | \$        | \$        | \$ 2,362,517.09         | \$ 39,380,436.18         | \$ 25,922,719.77         |
| <b>TOTAL BUILDINGS (Schedule B-11)</b> | <b>5,427,613</b> | <b>\$ 955,504,734.88</b>       | <b>\$ 46,953,876.86</b> | <b>\$</b>               | <b>\$ 1,002,458,611.74</b> | <b>\$ 558,464,118.34</b>      | <b>\$</b> | <b>\$</b> | <b>\$ 42,860,467.79</b> | <b>\$ 601,324,586.13</b> | <b>\$ 401,134,025.61</b> |

Analysis of Additions and Adjustments:  
(1) From Unexpended Plant Funds (S-8)  
(2) From Completion of Construction in Progress (S-11e)  
Total

\$ 12,520,397.89  
\$ 34,433,478.97  
\$ 46,953,876.86

SCHEDULE S-11c  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - FACILITIES AND OTHER IMPROVEMENTS  
 FOR THE PERIOD ENDED AUGUST 31, 2020

|  | Year Constructed or Acquired | Carrying Value August 31, 2020 |                 |                         | Depreciation     |                               |           | Net Basis |                         |                 |                 |
|--|------------------------------|--------------------------------|-----------------|-------------------------|------------------|-------------------------------|-----------|-----------|-------------------------|-----------------|-----------------|
|  |                              | Beginning Value Sept. 1, 2019  | Additions       | Disposals & Adjustments | Ending Value     | Beginning Total Sept. 1, 2019 | Additions |           | Disposals & Adjustments | Annual Expense  | Ending Total    |
| EDUCATIONAL  |                              |                                |                 |                         |                  |                               |           |           |                         |                 |                 |
| Flood Protection Project (UCT)                           | 1979-1980                    | \$ 226,999.96                  | \$ -            | \$ -                    | \$ 226,999.96    | \$ 226,999.96                 | \$ -      | \$ -      | \$ -                    | \$ 226,999.96   | \$ -            |
| Inter Institution Library Enhancements (JL)              | 1989-1993                    | 136,484.60                     |                 |                         | 136,484.60       | 120,475.60                    |           |           | 4,087.40                | 124,563.00      | 11,921.60       |
| F. Sanofim Research Bldg - Fountain (SRB)                | 2006                         | 1,187,063.35                   |                 |                         | 1,187,063.35     | 791,375.58                    |           |           | 59,353.17               | 850,728.75      | 336,334.60      |
| F. Sanofim Research Bldg - Landscaping (SRB)             | 2006                         | 269,558.18                     |                 |                         | 269,558.18       | 269,558.18                    |           |           | -                       | 269,558.18      | -               |
| Medical School Building - Landscaping (MSB)              | 2006                         | 359,278.29                     |                 |                         | 359,278.29       | 359,278.29                    |           |           | -                       | 359,278.29      | -               |
| Medical School Building - Paths and Trails (MSB)         | 2006                         | 514,582.51                     |                 |                         | 514,582.51       | 514,582.51                    |           |           | -                       | 514,582.51      | -               |
| Medical School Building - Fountain (MSB)                 | 2006                         | 113,137.62                     |                 |                         | 113,137.62       | 77,310.71                     |           |           | 5,866.89                | 82,967.60       | 30,170.02       |
| Medical School Building - Parking Lot (MSB)              | 2006                         | 310,243.25                     |                 |                         | 310,243.25       | 211,999.57                    |           |           | 15,512.16               | 227,511.73      | 82,731.52       |
| Research Park Complex - Fencing and Gates (BBS)          | 2010                         | 62,283.39                      |                 |                         | 62,283.39        | 23,962.07                     |           |           | 2,491.33                | 26,453.40       | 35,829.99       |
| Research Park Complex - Landscaping (BBS)                | 2010                         | 79,946.69                      |                 |                         | 79,946.69        | 77,260.06                     |           |           | 2,686.63                | 79,946.69       | -               |
| Research Park Complex - Sprinkler System (BBS)           | 2010                         | 66,457.31                      |                 |                         | 66,457.31        | 42,748.17                     |           |           | 4,430.50                | 47,178.67       | 19,278.64       |
| Research Park Complex - Paths and Trails (BBS)           | 2010                         | 373,302.35                     |                 |                         | 373,302.35       | 328,024.52                    |           |           | 33,995.58               | 361,961.10      | 11,341.25       |
| Research Park Complex - Parking Lot (BBS)                | 2010                         | 1,221,670.07                   |                 |                         | 1,221,670.07     | 585,575.56                    |           |           | 61,083.50               | 646,659.06      | 575,011.01      |
| Research Park Complex - Parking Lot (BBS)                | 2012                         | 1,736,391.55                   |                 |                         | 1,736,391.55     | 636,676.92                    |           |           | 86,819.58               | 723,496.50      | 1,012,895.05    |
| Research Park Complex - Paths and Trails (BSB)           | 2012                         | 13,719.00                      |                 |                         | 13,719.00        | 9,145.99                      |           |           | 1,247.18                | 10,393.17       | 3,325.83        |
| School of Dentistry - Fencing and Gates (SOD)            | 2012                         | 57,111.52                      |                 |                         | 57,111.52        | 16,752.71                     |           |           | 2,284.46                | 19,037.17       | 38,074.35       |
| School of Dentistry - Landscaping (SOD)                  | 2012                         | 807,242.27                     |                 |                         | 807,242.27       | 591,977.67                    |           |           | 80,724.23               | 672,701.90      | 134,540.37      |
| School of Dentistry - Parking Lot (SOD) (RPC)            | 2012                         | 1,017,404.16                   |                 |                         | 1,017,404.16     | 149,686.49                    |           |           | 50,870.21               | 200,556.70      | 816,847.46      |
| School of Dentistry - Sprinkler System (SOD)             | 2012                         | 153,263.00                     |                 |                         | 153,263.00       | 74,928.57                     |           |           | 10,217.53               | 85,146.10       | 68,116.90       |
| School of Dentistry - Paths and Trails (SOD)             | 2012                         | 192,714.08                     |                 |                         | 192,714.08       | 128,476.04                    |           |           | 17,519.47               | 145,995.51      | 46,718.57       |
| Cooley Life Center - Landscaping (CLC)                   | 2012                         | 188,744.67                     |                 |                         | 188,744.67       | 123,746.10                    |           |           | 16,874.46               | 140,620.56      | 28,124.11       |
| Cooley Life Center - Sprinkler System (CLC)              | 2012                         | 61,054.56                      |                 |                         | 61,054.56        | 29,848.89                     |           |           | 4,070.30                | 33,919.19       | 27,135.37       |
| South Campus Parking Lot - SONIRAS                       | 2006                         | 618,239.83                     |                 |                         | 618,239.83       | 432,767.86                    |           |           | 30,912.00               | 463,679.86      | 154,559.97      |
| Reuel Stallones Building - Landscaping (RAS)             | 2016                         | 277,247.28                     |                 |                         | 277,247.28       | 92,415.77                     |           |           | 27,724.73               | 120,140.50      | 157,106.78      |
| Reuel Stallones Building - Facilities & Other Impr (RAS) | 2020                         | 1,643,706.04                   | (2)             |                         | 1,643,706.04     |                               |           |           | 41,092.70               | 41,092.70       | 1,602,615.34    |
| Total Educational Plant                                  |                              | \$ 10,024,139.49               | \$ 1,643,706.04 | \$ -                    | \$ 11,667,845.53 | \$ 5,915,573.79               | \$ -      | \$ -      | \$ 559,995.01           | \$ 6,475,168.80 | \$ 5,192,678.73 |

SCHEDULE S-11c (continued)

| Year Constructed or Acquired                            | Carrying Value August 31, 2020 |                 |                         |                  | Depreciation                  |           |                         |                  |                  |
|---|--------------------------------|-----------------|-------------------------|------------------|-------------------------------|-----------|-------------------------|------------------|------------------|
|   | Beginning Value Sept. 1, 2019  | Additions       | Disposals & Adjustments | Ending Value     | Beginning Total Sept. 1, 2019 | Additions | Disposals & Adjustments | Ending Total     | Net Basis        |
| AUXILIARY   |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 1984-2001   | \$ 1,148,585.25                | \$ -            | \$ -                    | \$ 1,148,585.25  | \$ 1,032,298.01               | \$ -      | \$ -                    | \$ 1,043,074.20  | \$ 105,511.05    |
| Recreational Facility (REC)                             |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2003  | 1,211,012.70                   |                 |                         | 1,211,012.70     | 388,963.45                    |           |                         | 407,428.76       | 803,583.94       |
| Recreational Facility - Swimming Pool (REC)             |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2012  | 326,115.00                     |                 |                         | 326,115.00       | 217,410.01                    |           |                         | 247,056.83       | 79,058.17        |
| Recreational Facility - Paths & Trails (REC)            |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2016  | 1,176,598.82                   |                 |                         | 1,176,598.82     | 181,392.32                    |           |                         | 240,222.26       | 936,376.56       |
| Recreational Facility - Parking Lot (REC)               |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 1983-2001   | 324,306.59                     |                 |                         | 324,306.59       | 324,306.59                    |           |                         | 324,306.59       | -                |
| Student/Faculty Apartments - Landscaping (SFA)          |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2006  | 485,129.00                     |                 |                         | 485,129.00       | 323,419.33                    |           |                         | 347,675.78       | 137,463.22       |
| South Campus Parking Lot (SFA)                          |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2012-2015   | 3,324,452.74                   |                 |                         | 3,324,452.74     | 904,688.94                    |           |                         | 1,070,911.57     | 2,253,541.17     |
| Student/Faculty Apartments - Parking Lot (SFA)          |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2005  | 189,790.20                     |                 |                         | 189,790.20       | 189,790.20                    |           |                         | 189,790.20       | -                |
| Student/Faculty Apartments - Landscaping (UHA)          |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2005  | 262,559.88                     |                 |                         | 262,559.88       | 262,559.88                    |           |                         | 262,559.88       | -                |
| Student/Faculty Apartments - Recreational Area (UHA)    |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2005  | 478,598.49                     |                 |                         | 478,598.49       | 478,598.49                    |           |                         | 478,598.49       | -                |
| Student/Faculty Apartments - Paths and Trails (UHA)     |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2005  | 118,874.85                     |                 |                         | 118,874.85       | 67,362.41                     |           |                         | 72,117.40        | 46,757.45        |
| Student/Faculty Apartments - Fencing (UHA)              |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2014  | 534,905.12                     |                 |                         | 534,905.12       | 280,825.18                    |           |                         | 334,315.69       | 200,589.43       |
| University Housing Phase III - Landscaping (UH3)        |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2014  | 115,164.96                     |                 |                         | 115,164.96       | 24,184.65                     |           |                         | 28,791.25        | 86,373.71        |
| University Housing Phase III - Fencing (UH3)            |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2014  | 233,947.02                     |                 |                         | 233,947.02       | 111,656.53                    |           |                         | 132,924.44       | 101,022.58       |
| University Housing Phase III - Paths and Trails (UH3)   |                                |                 |                         |                  |                               |           |                         |                  |                  |
| 2014  | 89,979.62                      |                 |                         | 89,979.62        | 23,619.65                     |           |                         | 28,118.63        | 61,860.99        |
| University Housing Phase III - Parking Lot (UH3)        |                                |                 |                         |                  |                               |           |                         |                  |                  |
| Total Auxiliary Plant                                   | \$ 10,000,020.24               | \$ -            | \$ -                    | \$ 10,000,020.24 | \$ 4,761,075.64               | \$ -      | \$ -                    | \$ 5,187,891.97  | \$ 4,812,128.27  |
| Cinco Ranch Health - Landscaping                        | 22,483.63                      |                 |                         | 22,483.63        | 15,363.81                     |           |                         | 17,612.17        | 4,871.46         |
| Cinco Ranch Health - Sprinkler System                   | 19,342.00                      |                 |                         | 19,342.00        | 8,811.37                      |           |                         | 10,100.83        | 9,241.17         |
| Cinco Ranch Health - Parking Lot                        | 2,147.72                       |                 |                         | 2,147.72         | 733.82                        |           |                         | 841.20           | 1,306.52         |
| TOTAL FACILITIES AND OTHER IMPROV. (Sched B-11)         | \$ 43,973.35                   | \$ -            | \$ -                    | \$ 43,973.35     | \$ 24,909.00                  | \$ -      | \$ -                    | \$ 28,554.20     | \$ 15,419.15     |
| Analysis of Additions and Adjustments:                  | \$ 20,088,133.08               | \$ 1,643,708.04 | \$ -                    | \$ 21,711,841.12 | \$ 10,701,558.43              | \$ -      | \$ -                    | \$ 11,691,614.97 | \$ 10,020,226.15 |
| (1) From Unexpended Plant Funds (S-8)                   |                                | \$ 1,643,708.04 |                         |                  |                               |           |                         |                  |                  |
| (2) From Completion of Construction in Progress (S-11e) |                                | \$ 1,643,708.04 |                         |                  |                               |           |                         |                  |                  |
| Total   |                                | \$ 1,643,708.04 |                         |                  |                               |           |                         |                  |                  |

SCHEDULE S-11d  
THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - EQUIPMENT  
FOR THE PERIOD ENDED AUGUST 31, 2020

| Class Code               | Description  | Carrying Value August 31, 2020 |                    |                          |                     |                       |
|--------------------------|--|--------------------------------|--------------------|--------------------------|---------------------|-----------------------|
|                          |  | Beginning Value                | Reclass Adjustment | Adjusted Beginning Value | Additions           | Disposals             |
|                          |  | Sept. 1, 2019                  |                    | Sept. 1, 2019            |                     |                       |
| <b>OTHER DEPRECIABLE</b> |  |                                |                    |                          |                     |                       |
| 91                       | Leasehold Improvements UTP                               | \$ 17,457,388.30               | \$                 | \$ 17,457,388.30         | \$ 766,932.04       | \$ (4,698,437.55)     |
| 91                       | Leasehold Improvements                                   | 31,176,317.83                  |                    | 31,176,317.83            | 501,562.51          | 0                     |
| 751                      | Library Books & Reference Materials-Depreciable          | 3,929,554.76                   |                    | 3,929,554.76             | 102,103.87          | (33.70)               |
| 752                      | Library Books & Reference Materials                      | 0.00                           |                    | 0.00                     |                     |                       |
| 801                      | Works of Art, Historical Treasures (Depreciable)         | 156,300.00                     |                    | 156,300.00               |                     |                       |
|                          | <b>Total Other Depreciable UTHealth</b>                  | <b>35,262,172.59</b>           | <b>0.00</b>        | <b>35,262,172.59</b>     | <b>603,666.38</b>   | <b>(33.70)</b>        |
|                          | <b>Total Other Depreciable Blended</b>                   | <b>52,719,560.89</b>           | <b>0.00</b>        | <b>52,719,560.89</b>     | <b>1,370,598.42</b> | <b>(4,698,471.25)</b> |
| <b>VEHICLES</b>          |  |                                |                    |                          |                     |                       |
| 708                      | Vans, Cargo & Passenger UTP                              | \$ 68,270.35                   | \$                 | \$ 68,270.35             |                     | \$                    |
| 701                      | Passenger Cars   | 818,383.72                     |                    | 818,383.72               |                     | (41,861.00)           |
| 708                      | Vans, Cargo & Passenger                                  | 1,435,017.33                   |                    | 1,435,017.33             | 169,824.39          |                       |
| 709                      | Light Trucks (under 8,600 lbs GVWR)                      | 847,132.96                     |                    | 847,132.96               | 0.00                |                       |
| 725                      | Marine Equipment   | 0.00                           |                    | 0.00                     |                     |                       |
|                          | <b>Total Vehicles UTHealth</b>                           | <b>3,100,534.01</b>            | <b>0.00</b>        | <b>3,100,534.01</b>      | <b>169,824.39</b>   | <b>(41,861.00)</b>    |
|                          | <b>Total Vehicles Blended</b>                            | <b>3,168,804.36</b>            | <b>0.00</b>        | <b>3,168,804.36</b>      | <b>169,824.39</b>   | <b>(41,861.00)</b>    |
| <b>EQUIPMENT</b>         |  |                                |                    |                          |                     |                       |
| 101                      | Desks  | \$ 20,552.94                   | \$                 | \$ 20,552.94             |                     | \$ -                  |
| 102                      | Tables   | 170,734.98                     |                    | 170,734.98               | -                   |                       |
| 103                      | Chairs   | 6,910.12                       |                    | 6,910.12                 |                     |                       |
| 105                      | Cases, Cabinets & Credenzas                              | 822,737.19                     |                    | 822,737.19               |                     | (5,620.00)            |
| 110                      | Other Office Furniture                                   | 42,065.64                      |                    | 42,065.64                |                     | (8,333.97)            |
| 120                      | Photocopying Equipment                                   | 36,116.43                      |                    | 36,116.43                |                     |                       |
| 129                      | Sound Systems and Other Audio Equipment                  | 379,701.41                     |                    | 379,701.41               |                     | (31,392.50)           |
| 130                      | Cameras  | 3,920,496.96                   |                    | 3,920,496.96             | 102,816.68          | (192,209.11)          |
| 131                      | Video Recorder/Laserdisk Player (TV, VCR, Camcorder)     | 226,036.72                     |                    | 226,036.72               | 0.00                | -                     |
| 132                      | Other Sound Systems/Equipment                            | 0.00                           |                    | 0.00                     |                     |                       |
| 134                      | Recreational Equipment: Bicycle, Pool Table              | 204,551.46                     |                    | 204,551.46               | -                   | (13,165.00)           |
| 135                      | Video Conferencing Equipment                             | 1,869,571.58                   |                    | 1,869,571.58             | 580,133.77          | (171,411.78)          |
| 144                      | Warehouse Equipment: Forklift                            | 138,672.54                     |                    | 138,672.54               | 12,580.91           |                       |
| 145                      | Mailroom Equipment: Folder, Inserter, Labeler, Band Tier | 28,371.44                      |                    | 28,371.44                |                     |                       |
| 150                      | Instructional Equipment                                  | 3,060,413.39                   |                    | 3,060,413.39             | 112,500.00          |                       |
| 156                      | Drills, Stationary                                       | 17,775.20                      |                    | 17,775.20                |                     |                       |
| 158                      | Grinders, Stationary                                     | 0.00                           |                    | 0.00                     |                     |                       |
| 159                      | Lathes, Stationary                                       | 0.00                           |                    | 0.00                     |                     |                       |
| 161                      | Milling Machines   | 0.00                           |                    | 0.00                     |                     |                       |
| 162                      | Pallet Trucks, Lifts, Jacks, Hydraulic                   | 65,252.09                      |                    | 65,252.09                |                     |                       |
| 163                      | Saws, Stationary   | 0.00                           |                    | 0.00                     |                     |                       |
| 165                      | Shapers, Joiners, Planers, Stationary                    | 0.00                           |                    | 0.00                     |                     |                       |
| 170                      | Tools  | 38,353.00                      |                    | 38,353.00                |                     |                       |
| 179                      | Office Machines  | 131,728.85                     |                    | 131,728.85               | 5,599.00            | (11,124.66)           |
| 180                      | Miscellaneous Machines                                   | 1,577,229.85                   |                    | 1,577,229.85             | 6,495.00            |                       |
| 190                      | Printing Machines & Bookbinding Equipment                | 1,490,880.79                   |                    | 1,490,880.79             |                     |                       |
| 195                      | Kitchen Appliances & Equipment                           | 534,571.27                     |                    | 534,571.27               | 0.00                | -                     |
| 197                      | Building Maintenance & Safety Equipment                  | 1,393,660.56                   |                    | 1,393,660.56             | -                   | (25,264.00)           |
| 198                      | Portable Building  | 0.00                           |                    | 0.00                     |                     |                       |
| 200                      | Other Furniture & Equipment                              | 1,386,612.26                   |                    | 1,386,612.26             | 7,818.65            | (180,797.59)          |
| 202                      | Mainframe Computer & Peripherals                         | 472,084.26                     |                    | 472,084.26               |                     | -                     |
| 203                      | Minicomputers, Servers                                   | 32,361,414.34                  |                    | 32,361,414.34            | 5,153,868.66        | (1,560,934.54)        |
| 204                      | Desktop CPU (not Apple)                                  | 1,653,936.29                   |                    | 1,653,936.29             | 180,090.10          | (114,846.53)          |
| 205                      | Peripheral Devices Microcomputer: Disk, Tape, Optical    | 0.00                           |                    | 0.00                     |                     |                       |
| 208                      | Printers   | 738,162.77                     |                    | 738,162.77               | 22,728.59           | -                     |
| 209                      | Terminal, Monitor  | 38,059.37                      |                    | 38,059.37                |                     |                       |
| 210                      | Controllers: Tape, Disk, Terminal                        | 0.00                           |                    | 0.00                     |                     |                       |
| 213                      | Other Computer Hardware                                  | 6,520,091.24                   |                    | 6,520,091.24             | 2,080,182.65        | (796,002.33)          |
| 214                      | CPU Desktop - Apple                                      | 0.00                           |                    | 0.00                     |                     |                       |
| 218                      | Data Projectors: 'Proxima' or Dataviewers w/o Projector  | 401,670.38                     |                    | 401,670.38               | 22,568.67           | (29,090.68)           |
| 219                      | Personal Digital Assistant                               | 6,500.00                       |                    | 6,500.00                 |                     |                       |
| 241                      | Image Scanner  | 129,080.45                     |                    | 129,080.45               | 25,321.60           | (5,180.00)            |
| 242                      | Barcode Scanner  | 0.00                           |                    | 0.00                     |                     |                       |
| 245                      | Power Supply, Battery, Generator                         | 169,608.57                     |                    | 169,608.57               | 0.00                |                       |
| 250                      | Modem, External  | 20,837.50                      |                    | 20,837.50                |                     |                       |
| 256                      | VSAT S   | 8,964.00                       |                    | 8,964.00                 |                     |                       |
| 260                      | Computer Equipment Racks, Shelving, Chassis              | 989,357.45                     |                    | 989,357.45               | -                   |                       |
| 284                      | Portable CPU (not Apple)                                 | 216,784.33                     |                    | 216,784.33               | 0.00                | (7,165.00)            |
| 294                      | Portable Apple CPU                                       | 0.00                           |                    | 0.00                     |                     |                       |



SCHEDULE S-11d  
THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - EQUIPMENT  
FOR THE PERIOD ENDED AUGUST 31, 2020

| DEPRECIATION         |                                  |                       |  |                     |                       |                      |                      |
|----------------------|----------------------------------|-----------------------|--|---------------------|-----------------------|----------------------|----------------------|
| Ending Value         | Beginning Total<br>Sept. 1, 2019 | Reclass<br>Adjustment | Adjusted<br>Beginning Value<br>Sept. 1, 2019 | Additions           | Disposals             | Ending<br>Total      | Net Basis            |
| \$ 13,525,882.79     | \$ 8,247,069.04                  | \$                    | \$ 8,247,069.04                              | \$ 2,094,675.09     | (3,469,290.94)        | \$ 6,872,453.19      | \$ 6,653,429.60      |
| 31,677,880.34        | 10,959,365.49                    |                       | 10,959,365.49                                | \$ 1,959,942.38     | 0                     | 12,919,307.87        | 18,758,572.47        |
| 4,031,624.93         | 2,518,738.15                     |                       | 2,518,738.15                                 | 169,961.98          | (33.70)               | 2,688,666.43         | 1,342,958.50         |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 156,300.00           | 127,607.29                       |                       | 127,607.29                                   | 925.00              |                       | 128,532.29           | 27,767.71            |
| <b>35,865,805.27</b> | <b>13,605,710.93</b>             | <b>0.00</b>           | <b>13,605,710.93</b>                         | <b>2,130,829.36</b> | <b>(33.70)</b>        | <b>15,736,506.59</b> | <b>20,129,298.68</b> |
| <b>49,391,688.06</b> | <b>21,852,779.97</b>             | <b>0.00</b>           | <b>21,852,779.97</b>                         | <b>4,225,504.45</b> | <b>(3,469,324.64)</b> | <b>22,608,959.78</b> | <b>26,782,728.28</b> |
| \$ 68,270.35         | \$ 32,238.77                     | \$                    | \$ 32,238.77                                 | \$ 11,378.39        | \$                    | \$ 43,617.16         | \$ 24,653.19         |
| 776,522.72           | 691,017.76                       |                       | 691,017.76                                   | 60,549.72           | (41,861.00)           | 709,706.48           | 66,816.24            |
| 1,604,841.72         | 1,322,285.44                     |                       | 1,322,285.44                                 | 54,496.18           |                       | 1,376,781.62         | 228,060.10           |
| 847,132.96           | 643,984.72                       |                       | 643,984.72                                   | 62,332.58           |                       | 706,317.30           | 140,815.66           |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| <b>3,228,497.40</b>  | <b>2,657,287.92</b>              | <b>0.00</b>           | <b>2,657,287.92</b>                          | <b>177,378.48</b>   | <b>(41,861.00)</b>    | <b>2,792,805.40</b>  | <b>435,692.00</b>    |
| <b>3,296,767.75</b>  | <b>2,689,526.69</b>              | <b>0.00</b>           | <b>2,689,526.69</b>                          | <b>188,756.87</b>   | <b>(41,861.00)</b>    | <b>2,836,422.56</b>  | <b>460,345.19</b>    |
| \$ 20,552.94         | \$ 13,621.21                     | \$                    | \$ 13,621.21                                 | \$ 924.23           | \$                    | \$ 14,545.44         | \$ 6,007.50          |
| 170,734.98           | 131,854.45                       |                       | 131,854.45                                   | 7,343.91            |                       | 139,198.36           | 31,536.62            |
| 6,910.12             | 6,382.26                         |                       | 6,382.26                                     | 527.86              |                       | 6,910.12             | 0.00                 |
| 817,117.19           | 733,579.39                       |                       | 733,579.39                                   | 22,616.73           | (5,620.00)            | 750,576.12           | 66,541.07            |
| 33,731.67            | 42,065.64                        |                       | 42,065.64                                    |                     | (8,333.97)            | 33,731.67            | 0.00                 |
| 36,116.43            | 32,106.74                        |                       | 32,106.74                                    | 1,374.75            |                       | 33,481.49            | 2,634.94             |
| 348,308.91           | 324,475.80                       |                       | 324,475.80                                   | 16,456.40           | (31,392.50)           | 309,539.70           | 38,769.21            |
| 3,831,104.53         | 2,756,029.50                     |                       | 2,756,029.50                                 | 225,585.15          | (191,624.70)          | 2,789,989.95         | 1,041,114.58         |
| 226,036.72           | 95,166.88                        |                       | 95,166.88                                    | 11,921.51           | -                     | 107,088.39           | 118,948.33           |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 191,386.46           | 156,670.40                       |                       | 156,670.40                                   | 6,746.19            | (13,165.00)           | 150,251.59           | 41,134.87            |
| 2,278,293.57         | 1,010,175.52                     |                       | 1,010,175.52                                 | 283,878.56          | (171,411.78)          | 1,122,642.30         | 1,155,651.27         |
| 151,253.45           | 122,096.40                       |                       | 122,096.40                                   | 5,302.51            |                       | 127,398.91           | 23,854.54            |
| 28,371.44            | 9,535.98                         |                       | 9,535.98                                     | 2,837.15            |                       | 12,373.13            | 15,998.31            |
| 3,172,913.39         | 953,614.84                       |                       | 953,614.84                                   | 246,146.36          |                       | 1,199,761.20         | 1,973,152.19         |
| 17,775.20            | 17,775.20                        |                       | 17,775.20                                    |                     |                       | 17,775.20            | 0.00                 |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 65,252.09            | 41,251.73                        |                       | 41,251.73                                    | 5,575.22            |                       | 46,826.95            | 18,425.14            |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 38,353.00            | 15,457.04                        |                       | 15,457.04                                    | 2,702.20            |                       | 18,159.24            | 20,193.76            |
| 126,203.19           | 125,955.20                       |                       | 125,955.20                                   | 3,842.44            | (11,124.66)           | 118,672.98           | 7,530.21             |
| 1,583,724.85         | 1,115,202.27                     |                       | 1,115,202.27                                 | 55,350.41           |                       | 1,170,552.68         | 413,172.17           |
| 1,490,880.79         | 793,895.86                       |                       | 793,895.86                                   | 61,049.77           | 0.00                  | 854,945.63           | 635,935.16           |
| 534,571.27           | 461,624.62                       |                       | 461,624.62                                   | 17,446.08           | -                     | 479,070.70           | 55,500.57            |
| 1,368,396.56         | 506,837.99                       |                       | 506,837.99                                   | 139,726.55          | (25,264.00)           | 621,300.54           | 747,096.02           |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 1,213,633.32         | 1,095,376.12                     |                       | 1,095,376.12                                 | 56,076.50           | (180,547.37)          | 970,905.25           | 242,728.07           |
| 472,084.26           | 471,026.57                       |                       | 471,026.57                                   | 976.33              | -                     | 472,002.90           | 81.36                |
| 35,954,348.46        | 17,492,203.92                    |                       | 17,492,203.92                                | 5,299,308.97        | (1,339,519.07)        | 21,451,993.82        | 14,502,354.64        |
| 1,719,179.86         | 1,226,143.98                     |                       | 1,226,143.98                                 | 138,593.74          | (99,353.24)           | 1,265,384.48         | 453,795.38           |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 760,891.36           | 317,914.28                       |                       | 317,914.28                                   | 89,379.84           | -                     | 407,294.12           | 353,597.24           |
| 38,059.37            | 36,020.67                        |                       | 36,020.67                                    | 843.60              |                       | 36,864.27            | 1,195.10             |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 7,804,271.56         | 5,214,791.69                     |                       | 5,214,791.69                                 | 755,586.05          | (728,769.84)          | 5,241,607.90         | 2,562,663.66         |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 395,148.37           | 143,841.11                       |                       | 143,841.11                                   | 52,610.64           | (29,090.68)           | 167,361.07           | 227,787.30           |
| 6,500.00             | 6,500.00                         |                       | 6,500.00                                     | -                   |                       | 6,500.00             | 0.00                 |
| 149,222.05           | 125,463.70                       |                       | 125,463.70                                   | 2,017.06            | (5,180.00)            | 122,300.76           | 26,921.29            |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |
| 169,608.57           | 89,153.05                        |                       | 89,153.05                                    | 18,000.46           |                       | 107,153.51           | 62,455.06            |
| 20,837.50            | 20,837.50                        |                       | 20,837.50                                    |                     |                       | 20,837.50            | 0.00                 |
| 8,964.00             | 4,897.00                         |                       | 4,897.00                                     | 996.00              |                       | 5,893.00             | 3,071.00             |
| 989,357.45           | 820,076.76                       |                       | 820,076.76                                   | 21,408.88           |                       | 841,485.64           | 147,871.81           |
| 209,619.33           | 204,556.54                       |                       | 204,556.54                                   | 4,222.76            | (7,165.00)            | 201,614.30           | 8,005.03             |
| 0.00                 | 0.00                             |                       | 0.00   |                     |                       | 0.00                 | 0.00                 |

SCHEDULE S-11d (CONTINUED)

| Class Code  | Description                              | Carrying Value August 31, 2020 |                |                          |                         |                           |
|---|--|--------------------------------|----------------|--------------------------|-------------------------|---------------------------|
|   |  | Beginning Value                | Adjustment     | Adjusted Beginning Value | Additions               | Disposals                 |
|   |  | Sept. 1, 2019                  |                | Sept. 1, 2019            |                         |                           |
| <b>EQUIPMENT (continued)</b>                        |  |                                |                |                          |                         |                           |
| 501   | Amplifiers (all types)                   | \$ 118,788.69                  | \$             | \$ 118,788.69            | \$ 0.00                 | \$                        |
| 502   | Analyzer (all types)                     | 1,824,493.55                   |                | 1,824,493.55             | 65,000.00               | -                         |
| 503   | Autoclaves and Sterilizers               | 742,277.92                     |                | 742,277.92               | 54,946.00               |                           |
| 504   | Balance                                  | 54,838.02                      |                | 54,838.02                |                         | 0.00                      |
| 505   | Baths, Water and Shakers                 | 265,944.45                     |                | 265,944.45               | 5,359.14                | -                         |
| 506   | Animal Cages & Accessories               | 2,711,014.96                   |                | 2,711,014.96             | 183,960.50              | (42,144.10)               |
| 507   | Centrifuge                               | 4,330,717.20                   |                | 4,330,717.20             | 159,781.98              | (156,645.51)              |
| 508   | Chromatograph                            | 1,552,146.00                   |                | 1,552,146.00             | 105,699.38              | (6,912.50)                |
| 509   | Cryostat                                 | 617,716.15                     |                | 617,716.15               | 103,065.70              | (33,303.93)               |
| 510   | Counter Laboratory Assembly              | 37,095.00                      |                | 37,095.00                |                         | 0.00                      |
| 511   | Densitometer                             | 55,000.00                      |                | 55,000.00                |                         |                           |
| 512   | Electronic Module                        | 8,712.00                       |                | 8,712.00                 |                         |                           |
| 513   | Electrophoresis Apparatus                | 653,764.76                     |                | 653,764.76               |                         | (162,951.30)              |
| 514   | Evaporators                              | 77,045.04                      |                | 77,045.04                |                         | (16,308.28)               |
| 515   | Fraction Collector                       | 20,983.70                      |                | 20,983.70                |                         |                           |
| 516   | Freeze Dryers & Accessories              | 203,136.51                     |                | 203,136.51               | -                       | (12,405.28)               |
| 517   | Freezer (lab)                            | 4,415,415.56                   |                | 4,415,415.56             | 400,318.51              | (87,700.09)               |
| 518   | Homogenizer                              | 101,615.70                     |                | 101,615.70               | 98,141.75               |                           |
| 519   | Hood (all types)                         | 743,816.57                     |                | 743,816.57               | 88,212.09               | -                         |
| 521   | Incubators & Accessories                 | 1,960,799.93                   |                | 1,960,799.93             | 181,957.77              | (12,989.00)               |
| 522   | Isolator                                 | 291,323.46                     |                | 291,323.46               |                         |                           |
| 523   | Micromanipulator                         | 495,660.98                     |                | 495,660.98               | 9,813.33                | 0.00                      |
| 524   | Meters, Gauges, Indicators               | 1,709,255.88                   |                | 1,709,255.88             | 8,995.00                |                           |
| 525   | Microscopes & Accessories                | 27,419,671.22                  |                | 27,419,671.22            | 881,377.39              | (533,306.23)              |
| 526   | Micro Tomes, Diamond Knives, Sharpeners  | 839,903.61                     |                | 839,903.61               | 36,584.34               | (12,855.19)               |
| 527   | Optical Equipment                        | 452,810.10                     |                | 452,810.10               | 74,400.00               |                           |
| 528   | Oscilloscope                             | 143,716.82                     |                | 143,716.82               |                         |                           |
| 529   | Ovens and Ranges (lab)                   | 15,074.78                      |                | 15,074.78                |                         |                           |
| 530   | Pumps                                    | 120,665.50                     |                | 120,665.50               | 16,841.00               | 0.00                      |
| 531   | Recording Systems                        | 256,248.43                     |                | 256,248.43               | 103,848.92              | (55,683.74)               |
| 532   | Refrigerators (lab)                      | 259,419.61                     |                | 259,419.61               | 25,178.68               |                           |
| 533   | Rotors and Heads                         | 400,773.23                     |                | 400,773.23               | -                       |                           |
| 534   | Scan Systems                             | 699,018.15                     |                | 699,018.15               | 252,253.80              | (26,147.65)               |
| 535   | Scintillation Systems                    | 186,139.58                     |                | 186,139.58               |                         | (17,655.00)               |
| 536   | Ultrasound Equipment                     | 2,419,957.97                   |                | 2,419,957.97             | 118,990.00              | (67,409.00)               |
| 537   | Spectrofluorometer                       | 279,336.66                     |                | 279,336.66               |                         | (12,824.66)               |
| 538   | Spectrometer                             | 4,606,172.33                   |                | 4,606,172.33             | 110,443.20              |                           |
| 539   | Spectrophotometer                        | 864,804.43                     |                | 864,804.43               | 54,504.61               | (29,551.42)               |
| 540   | Stereotaxic Instrument & Accessories     | 50,087.36                      |                | 50,087.36                | 11,536.00               |                           |
| 541   | Stimulator                               | 149,594.62                     |                | 149,594.62               | 91,464.45               |                           |
| 542   | Tables, Dissecting, Operating, Balancing | 115,580.32                     |                | 115,580.32               | 7,723.50                | (8,200.00)                |
| 543   | Tanks, Containers, Chambers (all types)  | 43,629.04                      |                | 43,629.04                |                         |                           |
| 544   | Water Purification                       | 205,703.46                     |                | 205,703.46               | 33,195.55               | 0.00                      |
| 545   | X-Ray Equipment                          | 2,965,442.73                   |                | 2,965,442.73             | -                       |                           |
| 546   | Misc Lab & Scientific Equipment          | 54,002,215.77                  |                | 54,002,215.77            | 2,898,088.63            | (935,304.91)              |
| 547   | Patient Monitoring Systems               | 906,954.21                     |                | 906,954.21               | 13,571.99               | 0.00                      |
| 548   | Breathing Apparatus, Respirator          | 264,534.34                     |                | 264,534.34               | -                       |                           |
| 549   | Defibrillator                            | 195,873.00                     |                | 195,873.00               | 0.00                    |                           |
| 550   | EKG/ECG/EEG Apparatus                    | 484,033.73                     |                | 484,033.73               | 499,988.90              |                           |
| 551   | Dialysis Equipment                       | 0.00                           |                | 0.00                     |                         |                           |
| 552   | Clinical Diagnostic Instruments          | 760,105.00                     |                | 760,105.00               | 17,415.00               | 0.00                      |
| 553   | Table (exam)                             | 96,767.99                      |                | 96,767.99                | 0.00                    |                           |
| 554   | Dental Equipment                         | 1,629,142.60                   |                | 1,629,142.60             | 813,997.82              | (13,135.60)               |
| 555   | Wheelchairs                              | 0.00                           |                | 0.00                     |                         |                           |
| 556   | Miscellaneous Surgical Instruments       | 8,803.95                       |                | 8,803.95                 |                         |                           |
| 557   | Patient Care Miscellaneous               | 264,953.41                     |                | 264,953.41               | 18,700.00               | (7,382.57)                |
| 559   | DNA Sequencer & Accessories              | 435,617.58                     |                | 435,617.58               |                         |                           |
| 675   | PBX, KSU, Voice Mail, Phone System       | 4,225,116.12                   |                | 4,225,116.12             |                         | (69,381.49)               |
| 700   | Phone Equipment (other than systems)     | 72,371.50                      |                | 72,371.50                |                         | (18,507.00)               |
| 712   | Trailers                                 | 7,500.00                       |                | 7,500.00                 |                         |                           |
| 720   | Other Equipment: Forklift, Grader, Other | 95,744.65                      |                | 95,744.65                |                         | (5,501.00)                |
| <b>Total Equipment UTHealth</b>                     |  | <b>190,224,593.45</b>          | <b>0.00</b>    | <b>190,224,593.45</b>    | <b>15,858,059.21</b>    | <b>(5,496,743.14)</b>     |
| Furniture & Equipment UTP                           |  | 16,241,750.32                  |                | 16,241,750.32            | 2,473,465.97            | (616,122.67)              |
| <b>Total Equipment Blended</b>                      |  | <b>\$ 206,466,343.77</b>       | <b>\$ 0.00</b> | <b>\$ 206,466,343.77</b> | <b>\$ 18,331,525.18</b> | <b>\$ (6,112,865.81)</b>  |
| <b>Overall Total UTHealth</b>                       |  | <b>228,587,300.05</b>          | <b>0.00</b>    | <b>228,587,300.05</b>    | <b>16,631,549.98</b>    | <b>(5,538,637.84)</b>     |
| <b>Overall Total UTPhysicians</b>                   |  | <b>33,767,408.97</b>           | <b>0.00</b>    | <b>33,767,408.97</b>     | <b>3,240,398.01</b>     | <b>(5,314,560.22)</b>     |
| <b>Overall Blended Totals</b>                       |  | <b>\$ 262,354,709.02</b>       | <b>\$ 0.00</b> | <b>\$ 262,354,709.02</b> | <b>\$ 19,871,947.99</b> | <b>\$ (10,853,198.06)</b> |
| Analysis of Additions and Adjustments:              |  | Total Sld                      | Equipment      | Vehicles                 | Other Depr              |                           |
| From Auxiliary Funds                                |  | \$ 7,568.65                    | 7,568.65       |                          |                         |                           |
| From Restricted Funds                               |  | 3,704,820.46                   | 3,704,820.46   |                          |                         |                           |
| From Designated Funds                               |  | 8,783,284.04                   | 8,556,223.52   | 169,824.39               | 57,236.13               |                           |
| From Educational and General Funds                  |  | 267,515.53                     | 222,647.79     |                          | 44,867.74               |                           |
| From Unexpended Plant Funds (S-8)                   |  | 6,441,925.20                   | 5,578,092.65   |                          | 863,832.55              |                           |
| From Completion of Construction in Progress (S-11e) |  | 494,092.93                     | 89,430.93      |                          | 404,662.00              |                           |
|   |  | \$ 19,699,206.81               | 18,158,784.00  | 169,824.39               | 1,370,598.42            |                           |
| Reclass for Interagency Transfers In                |  | 172,741.18                     | 172,741.18     |                          |                         |                           |
|   |  | \$ 19,871,947.99               | 18,331,525.18  | 169,824.39               | 1,370,598.42            |                           |

SCHEDULE S-11d (CONTINUED)

| DEPRECIATION             |                               |                |  |                         |                          |                          |                         |
|--------------------------|-------------------------------|----------------|--|-------------------------|--------------------------|--------------------------|-------------------------|
| Ending Value             | Beginning Total Sept. 1, 2019 | Adjustment     | Adjusted Beginning Value Sept. 1, 2019 | Additions               | Disposals                | Ending Total             | Net Basis               |
| \$ 118,788.69            | \$ 101,419.42                 | \$             | \$ 101,419.42                          | \$ 2,830.78             | \$                       | \$ 104,250.20            | \$ 14,538.49            |
| 1,889,493.55             | 1,244,993.05                  |                | 1,244,993.05                           | 114,592.22              | -                        | 1,359,585.27             | 529,908.28              |
| 797,223.92               | 564,088.22                    |                | 564,088.22                             | 37,536.35               |                          | 601,624.57               | 195,599.35              |
| 54,838.02                | 42,378.78                     |                | 42,378.78                              | 2,327.93                |                          | 44,706.71                | 10,131.31               |
| 271,303.59               | 226,280.65                    |                | 226,280.65                             | 8,950.81                |                          | 235,231.46               | 36,072.13               |
| 2,852,831.36             | 2,123,506.76                  |                | 2,123,506.76                           | 100,264.65              | (42,144.10)              | 2,181,627.31             | 671,204.05              |
| 4,333,853.67             | 3,472,911.89                  |                | 3,472,911.89                           | 152,645.56              | (156,645.51)             | 3,468,911.94             | 864,941.73              |
| 1,650,932.88             | 1,174,951.40                  |                | 1,174,951.40                           | 113,982.42              | (6,528.53)               | 1,282,405.29             | 368,527.59              |
| 687,477.92               | 490,053.47                    |                | 490,053.47                             | 39,162.93               | (28,964.67)              | 500,251.73               | 187,226.19              |
| 37,095.00                | 34,029.73                     |                | 34,029.73                              | 735.66                  | 0.00                     | 34,765.39                | 2,329.61                |
| 55,000.00                | 33,916.67                     |                | 33,916.67                              | 5,500.00                |                          | 39,416.67                | 15,583.33               |
| 8,712.00                 | 6,553.92                      |                | 6,553.92                               | 551.00                  |                          | 7,104.92                 | 1,607.08                |
| 490,813.46               | 627,562.16                    |                | 627,562.16                             | 8,874.39                | (162,951.30)             | 473,485.25               | 17,328.21               |
| 60,736.76                | 57,054.53                     |                | 57,054.53                              | 3,271.00                | (16,308.28)              | 44,017.25                | 16,719.51               |
| 20,983.70                | 12,019.96                     |                | 12,019.96                              | 1,317.81                |                          | 13,337.77                | 7,645.93                |
| 190,731.23               | 125,760.89                    |                | 125,760.89                             | 12,665.83               | (11,026.94)              | 127,399.78               | 63,331.45               |
| 4,728,033.98             | 2,632,117.57                  |                | 2,632,117.57                           | 335,386.33              | (75,184.38)              | 2,892,319.52             | 1,835,714.46            |
| 199,757.45               | 41,379.86                     |                | 41,379.86                              | 10,623.77               |                          | 52,003.63                | 147,753.82              |
| 832,028.66               | 406,146.79                    |                | 406,146.79                             | 37,891.07               |                          | 444,037.86               | 387,990.80              |
| 2,129,768.70             | 1,137,069.56                  |                | 1,137,069.56                           | 121,283.58              | (12,989.00)              | 1,245,364.14             | 884,404.56              |
| 291,323.46               | 183,969.43                    |                | 183,969.43                             | 38,536.49               |                          | 222,505.92               | 68,817.54               |
| 505,474.31               | 427,702.60                    |                | 427,702.60                             | 20,912.79               | 0.00                     | 448,615.39               | 56,858.92               |
| 1,718,250.88             | 1,216,070.65                  |                | 1,216,070.65                           | 87,108.01               |                          | 1,303,178.66             | 415,072.22              |
| 27,767,742.38            | 15,199,966.79                 |                | 15,199,966.79                          | 1,589,624.00            | (533,306.23)             | 16,256,284.56            | 11,511,457.82           |
| 863,632.76               | 373,945.08                    |                | 373,945.08                             | 46,737.65               | (11,324.82)              | 409,357.91               | 454,274.85              |
| 527,210.10               | 312,262.93                    |                | 312,262.93                             | 34,026.83               |                          | 346,289.76               | 180,920.34              |
| 143,716.82               | 140,931.69                    |                | 140,931.69                             | 557.03                  |                          | 141,488.72               | 2,228.10                |
| 15,074.78                | 15,074.78                     |                | 15,074.78                              |                         |                          | 15,074.78                | 0.00                    |
| 137,506.50               | 102,670.90                    |                | 102,670.90                             | 4,871.65                | 0.00                     | 107,542.55               | 29,963.95               |
| 304,413.61               | 201,516.02                    |                | 201,516.02                             | 14,922.40               | (55,683.74)              | 160,754.68               | 143,658.93              |
| 284,598.29               | 157,746.98                    |                | 157,746.98                             | 14,897.96               |                          | 172,644.94               | 111,953.35              |
| 400,773.23               | 215,297.74                    |                | 215,297.74                             | 26,134.40               |                          | 241,432.14               | 159,341.09              |
| 925,124.30               | 364,576.59                    |                | 364,576.59                             | 66,297.39               | (26,147.65)              | 404,726.33               | 520,397.97              |
| 168,484.58               | 163,084.21                    |                | 163,084.21                             | 4,644.07                | (17,655.00)              | 150,073.28               | 18,411.30               |
| 2,471,538.97             | 1,470,189.65                  |                | 1,470,189.65                           | 177,052.67              | (18,553.92)              | 1,628,688.40             | 842,850.57              |
| 266,512.00               | 216,695.09                    |                | 216,695.09                             | 14,969.79               | (12,824.66)              | 218,840.22               | 47,671.78               |
| 4,716,615.53             | 3,073,266.32                  |                | 3,073,266.32                           | 303,568.30              |                          | 3,376,834.62             | 1,339,780.91            |
| 889,757.62               | 694,635.08                    |                | 694,635.08                             | 30,620.91               | (27,406.88)              | 697,849.11               | 191,908.51              |
| 61,623.36                | 25,486.15                     |                | 25,486.15                              | 2,893.51                |                          | 28,379.66                | 33,243.70               |
| 241,059.07               | 114,387.48                    |                | 114,387.48                             | 16,579.85               |                          | 130,967.33               | 110,091.74              |
| 115,103.82               | 60,901.88                     |                | 60,901.88                              | 7,726.01                | (8,200.00)               | 60,427.89                | 54,675.93               |
| 43,629.04                | 33,997.53                     |                | 33,997.53                              | 2,035.81                |                          | 36,033.34                | 7,595.70                |
| 238,899.01               | 151,041.12                    |                | 151,041.12                             | 9,702.16                | 0.00                     | 160,743.28               | 78,155.73               |
| 2,965,442.73             | 2,356,094.88                  |                | 2,356,094.88                           | 167,005.59              |                          | 2,523,100.47             | 442,342.26              |
| 55,964,999.49            | 43,083,623.22                 |                | 43,083,623.22                          | 2,988,917.78            | (920,228.93)             | 45,152,312.07            | 10,812,687.42           |
| 920,526.20               | 863,615.44                    |                | 863,615.44                             | 8,842.25                | -                        | 872,457.69               | 48,068.51               |
| 264,534.34               | 115,472.59                    |                | 115,472.59                             | 17,088.46               |                          | 132,561.05               | 131,973.29              |
| 195,873.00               | 57,837.34                     |                | 57,837.34                              | 13,423.87               |                          | 71,261.21                | 124,611.79              |
| 984,022.63               | 353,314.77                    |                | 353,314.77                             | 38,058.58               |                          | 391,373.35               | 592,649.28              |
| 0.00                     | 0.00                          |                | 0.00                                   |                         |                          | 0.00                     | 0.00                    |
| 777,520.00               | 656,178.32                    |                | 656,178.32                             | 28,281.48               | -                        | 684,459.80               | 93,060.20               |
| 96,767.99                | 69,967.07                     |                | 69,967.07                              | 2,935.76                |                          | 72,902.83                | 23,865.16               |
| 2,430,004.82             | 795,636.18                    |                | 795,636.18                             | 183,118.13              | (7,100.03)               | 971,654.28               | 1,458,350.54            |
| 0.00                     | 0.00                          |                | 0.00                                   |                         |                          | 0.00                     | 0.00                    |
| 8,803.95                 | 8,803.95                      |                | 8,803.95                               | -                       |                          | 8,803.95                 | 0.00                    |
| 276,270.84               | 134,713.98                    |                | 134,713.98                             | 20,104.43               | (7,382.57)               | 147,435.84               | 128,835.00              |
| 435,617.58               | 130,731.33                    |                | 130,731.33                             | 87,123.52               |                          | 217,854.85               | 217,762.73              |
| 4,155,734.63             | 4,175,673.78                  |                | 4,175,673.78                           | 46,880.94               | (69,381.49)              | 4,153,173.23             | 2,561.40                |
| 53,864.50                | 66,022.90                     |                | 66,022.90                              | 4,232.40                | (17,448.88)              | 52,806.42                | 1,058.08                |
| 7,500.00                 | 5,104.17                      |                | 5,104.17                               | 1,250.00                |                          | 6,354.17                 | 1,145.83                |
| 90,243.65                | 84,869.98                     |                | 84,869.98                              | 5,450.76                | (5,501.00)               | 84,819.74                | 5,423.91                |
| <b>200,585,909.52</b>    | <b>129,161,449.68</b>         | <b>0.00</b>    | <b>129,161,449.68</b>                  | <b>14,794,902.53</b>    | <b>(5,098,450.32)</b>    | <b>138,857,901.89</b>    | <b>61,728,007.63</b>    |
| 18,099,093.62            | 9,248,046.92                  |                | 9,248,046.92                           | 1,505,497.90            | (538,222.87)             | 10,215,321.95            | 7,883,771.67            |
| <b>\$ 218,685,003.14</b> | <b>\$ 138,409,496.60</b>      | <b>\$ 0.00</b> | <b>\$ 138,409,496.60</b>               | <b>\$ 16,300,400.43</b> | <b>\$ (5,636,673.19)</b> | <b>\$ 149,073,223.84</b> | <b>\$ 69,611,779.30</b> |
| <b>239,680,212.19</b>    | <b>145,424,448.53</b>         | <b>0.00</b>    | <b>145,424,448.53</b>                  | <b>17,103,110.37</b>    | <b>(5,140,345.02)</b>    | <b>157,387,213.88</b>    | <b>82,292,998.31</b>    |
| 31,693,246.76            | 17,527,354.73                 | 0.00           | 17,527,354.73                          | 3,611,551.38            | (4,007,513.81)           | 17,131,392.30            | 14,561,854.46           |
| <b>\$ 271,373,458.95</b> | <b>\$ 162,951,803.26</b>      | <b>\$ 0.00</b> | <b>\$ 162,951,803.26</b>               | <b>\$ 20,714,661.75</b> | <b>\$ (9,147,858.83)</b> | <b>\$ 174,518,606.18</b> | <b>\$ 96,854,852.77</b> |

SCHEDULE S-11e  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF INVESTMENT IN PLANT - CONSTRUCTION IN PROGRESS  
 FOR THE YEAR ENDED AUGUST 31, 2020

|   | Carrying Value<br>Sept. 1, 2019 | Additions    | Adjustments | Adjusted Carrying Value | Deductions                    |  |                          |                         |                               | Carrying Value<br>Aug. 31, 2020<br>67388 |
|---|---------------------------------|--------------|-------------|-------------------------|-------------------------------|--|--------------------------|-------------------------|-------------------------------|--|
|   |                                 |              |             |                         | Building Completions<br>67347 | Facilities and Other Improvements<br>67336 | Equipment<br>67344/67373 | Infrastructure<br>67348 | Intangible Assets<br>67390/95 |  |
| <b>UNEXPENDED PLANT FUNDS</b>                       |                                 |              |             |                         |                               |  |                          |                         |                               |  |
| FROM PUF BOND PROCEEDS ONLY                         |                                 |              |             |                         |                               |  |                          |                         |                               |  |
| Faculty Star (701-1025)                             | 5,601.18                        | 0.00         |             | 5,601.18                |                               |  | 5,601.18                 |                         |                               | 0.00                                     |
| Faculty Star (701-1088)                             | 0.00                            | 122,022.88   |             | 122,022.88              |                               |  |                          |                         |                               | 122,022.88                               |
| Library & Equipment (701-1143)                      | 130,992.75                      | 0.00         |             | 130,992.75              |                               |  |                          |                         | 130,992.75                    | 0.00                                     |
| Faculty Star (701-1230)                             | 72,649.88                       | 0.00         |             | 72,649.88               | 72,649.88                     |  |                          |                         |                               | 0.00                                     |
| Repair & Rehabilitation (701-1278)                  | 0.00                            | 688,605.33   |             | 688,605.33              |                               |  |                          |                         |                               | 688,605.33                               |
| Total From PUF Bond Proceeds                        | 209,243.81                      | 810,628.21   | 0.00        | 1,019,872.02            | 72,649.88                     | 0.00                                       | 5,601.18                 | 0.00                    |                               | 810,628.21                               |
| <b>INTEREST EARNED ON CONSTRUCTION FUNDS - ONLY</b> |                                 |              |             |                         |                               |  |                          |                         |                               |  |
| Total From Interest Earned on Construction Funds    | 0.00                            | 0.00         | 0.00        | 0.00                    | 0.00                          | 0.00                                       | 0.00                     | 0.00                    | 0.00                          | 0.00                                     |
| <b>FROM OTHER SOURCES</b>                           |                                 |              |             |                         |                               |  |                          |                         |                               |  |
| JUL 2nd Floor Renovation (907EC)                    | 2,171,996.35                    | 0.00         |             | 2,171,996.35            | 2,171,996.35                  |  |                          |                         |                               | 0.00                                     |
| SCRB3 Tashman Lab (907EF)                           | 632,478.00                      | 0.00         |             | 632,478.00              |                               |  |                          |                         |                               | 632,478.00                               |
| MSB Pathology LIS (907EI)                           | 540,945.37                      |              |             | 540,945.37              |                               |  |                          | 540,945.37              |                               | 0.00                                     |
| JUL Street Level Internal Medicine (907EZ)          | 74,808.00                       | (63,389.55)  |             | 11,418.45               |                               |  |                          |                         |                               | 11,418.45                                |
| HPC-Pharmacy Software (907FD)                       | 78,082.50                       | (78,082.50)  |             | 0.00                    |                               |  |                          |                         |                               | 0.00                                     |
| SPA Grants management System (907FG)                | 852,548.24                      |              |             | 852,548.24              |                               |  |                          | 852,548.24              |                               | 0.00                                     |
| JUL GIME 310 Renovation (907FN)                     | 23,370.00                       | 130,533.00   |             | 153,903.00              |                               |  |                          |                         |                               | 153,903.00                               |
| HPC Replace MEP Equipment (907FW)                   | 2,800.00                        |              |             | 2,800.00                |                               |  |                          |                         |                               | 2,800.00                                 |
| MSB 7th Floor Neuro Lab Remodel (907FX)             | 800.00                          |              |             | 800.00                  | 800.00                        |  |                          |                         |                               | 0.00                                     |
| SON 520 Lab Reno (907GC)                            | 5,050.00                        |              |             | 5,050.00                | 5,050.00                      |  |                          |                         |                               | 0.00                                     |
| BBS Classroom 3182 Renovation (907GD)               | 0.00                            | 13,793.75    |             | 13,793.75               |                               |  |                          |                         |                               | 13,793.75                                |
| Coupa Portal Implementation (907GI)                 | 0.00                            | 576,908.26   |             | 576,908.26              |                               |  |                          |                         |                               | 576,908.26                               |
| RAHC Replace CW Pipe (907GK)                        | 0.00                            | 240,826.01   |             | 240,826.01              |                               |  |                          |                         |                               | 240,826.01                               |
| SRB Knuckle Chilled Water Coils (907GM)             | 0.00                            | 1,853.00     |             | 1,853.00                |                               |  |                          |                         |                               | 1,853.00                                 |
| RAHC Office Reconfiguration (907GN)                 | 0.00                            | 816.00       |             | 816.00                  |                               |  |                          |                         |                               | 816.00                                   |
| SPH Interior Renovations (907GO)                    | 0.00                            | 27,505.00    |             | 27,505.00               |                               |  |                          |                         |                               | 27,505.00                                |
| OCB Elevator Modernization (907GP)                  | 0.00                            | 94,153.50    |             | 94,153.50               |                               |  |                          |                         |                               | 94,153.50                                |
| SOD Operator Divisions (907GQ)                      | 0.00                            | 24,769.00    |             | 24,769.00               |                               |  |                          |                         |                               | 24,769.00                                |
| UTP - ATH Katy Ortho Buildout (27060)               | 404,662.00                      |              |             | 404,662.00              |                               |  | 404,662.00               |                         |                               | 0.00                                     |
| UTP - Binz Admin 8th Floor (27061)                  | 0.00                            | 85,877.08    |             | 85,877.08               |                               |  |                          |                         |                               | 85,877.08                                |
| Total From Other Sources                            | 4,787,540.46                    | 1,055,562.55 | -           | 5,843,103.01            | 2,177,846.35                  | -  | 404,662.00               | -                       | 1,383,493.61                  | 1,867,101.05                             |

SCHEDULE S-11e (CONTINUED)

|   | Carrying Value Sept. 1, 2019 | Additions               | Adjustments    | Adjusted Carrying Value | Deductions              |                                   |                |                | Expenditures Not Capitalized | Carrying Value Aug. 31, 2020 |
|---|------------------------------|-------------------------|----------------|-------------------------|-------------------------|-----------------------------------|----------------|----------------|------------------------------|------------------------------|
|   |                              |                         |                |                         | Building Completions    | Facilities and Other Improvements | Equipment      | Infrastructure |                              |                              |
| <b>FROM MULTIPLE SOURCES</b>  |                              |                         |                |                         |                         |                                   |                |                |                              |                              |
| Renovation and Modernization of Ed. & Res Facilities<br>Tuition Revenue Bond Proceeds (701-950) | 33,806,599.78                | -                       | -              | 33,806,599.78           | 32,156,146.74           | 1,643,708.04                      | -              | -              | -                            | 6,745.00                     |
| RFS Bond Proceeds (701-950)   | -                            | 5,109,869.54            | -              | 5,109,869.54            | -                       | -                                 | -              | -              | -                            | 0.00                         |
| PUF Bond Proceeds (701-950)   | -                            | -                       | -              | -                       | -                       | -                                 | -              | -              | -                            | 0.00                         |
| Other Sources - Designated (701-950)  | -                            | -                       | -              | -                       | -                       | -                                 | -              | -              | -                            | 0.00                         |
| <b>Total Renovation and Modernization of Ed. &amp; Res. Facilities</b>                          | <b>33,806,599.78</b>         | <b>5,109,869.54</b>     | <b>-</b>       | <b>38,916,469.32</b>    | <b>32,156,146.74</b>    | <b>1,643,708.04</b>               | <b>-</b>       | <b>-</b>       | <b>-</b>                     | <b>5,116,614.54</b>          |
| Academic Extension Building Renovation  | 11,876,092.25                | 6,387,042.86            | -              | 18,263,135.11           | -                       | -                                 | -              | -              | -                            | 18,263,135.11                |
| RFS Bond Proceeds (701-937)   | -                            | 3,000,000.00            | -              | 3,000,000.00            | -                       | -                                 | -              | -              | -                            | 3,000,000.00                 |
| Other Sources - Designated (701-937)  | -                            | -                       | -              | -                       | -                       | -                                 | -              | -              | -                            | -                            |
| <b>Total Academic Extension Building Renovation</b>   | <b>11,876,092.25</b>         | <b>9,387,042.86</b>     | <b>-</b>       | <b>21,263,135.11</b>    | <b>0.00</b>             | <b>-</b>                          | <b>-</b>       | <b>-</b>       | <b>-</b>                     | <b>21,263,135.11</b>         |
| EVP UCT Office Project  | 26,836.00                    | -                       | -              | 26,836.00               | 26,836.00               | -                                 | -              | -              | -                            | 0.00                         |
| Designated Funds (907GA)  | -                            | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| General Funds (907GA)   | -                            | -                       | -              | -                       | -                       | -                                 | -              | -              | -                            | -                            |
| <b>Total EVP UCT Office Project</b>   | <b>26,836.00</b>             | <b>0.00</b>             | <b>0.00</b>    | <b>26,836.00</b>        | <b>26,836.00</b>        | <b>0.00</b>                       | <b>0.00</b>    | <b>0.00</b>    | <b>0.00</b>                  | <b>0.00</b>                  |
| Epic Implementation (907FZ)   | -                            | 16,876,890.29           | -              | 16,876,890.29           | -                       | -                                 | -              | -              | -                            | 16,876,890.29                |
| RFS Bond Proceeds (907FZ)   | -                            | 3,301,758.00            | -              | 3,301,758.00            | -                       | -                                 | -              | -              | -                            | 3,301,758.00                 |
| Designated Funds (907FZ)  | -                            | -                       | -              | -                       | -                       | -                                 | -              | -              | -                            | -                            |
| <b>Total Epic Implementation</b>  | <b>-</b>                     | <b>20,178,648.29</b>    | <b>-</b>       | <b>20,178,648.29</b>    | <b>-</b>                | <b>-</b>                          | <b>-</b>       | <b>-</b>       | <b>-</b>                     | <b>20,178,648.29</b>         |
| Ancillary Epic Systems (907GJ)  | -                            | 35,887.50               | -              | 35,887.50               | -                       | -                                 | -              | -              | -                            | 35,887.50                    |
| RFS Bond Proceeds (907GJ)   | -                            | -                       | -              | -                       | -                       | -                                 | -              | -              | -                            | -                            |
| Inter-fund Transfer (907GJ)   | -                            | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| <b>Total Ancillary Epic Systems</b>   | <b>-</b>                     | <b>35,887.50</b>        | <b>0.00</b>    | <b>35,887.50</b>        | <b>0.00</b>             | <b>0.00</b>                       | <b>0.00</b>    | <b>0.00</b>    | <b>0.00</b>                  | <b>35,887.50</b>             |
| <b>Total From Multiple Sources</b>  | <b>\$ 45,709,528.03</b>      | <b>\$ 34,711,448.19</b> | <b>\$ 0.00</b> | <b>\$ 80,420,976.22</b> | <b>\$ 32,182,982.74</b> | <b>\$ 1,643,708.04</b>            | <b>\$ 0.00</b> | <b>\$ 0.00</b> | <b>\$ 0.00</b>               | <b>\$ 46,594,285.44</b>      |
| <b>Total from Unexpended Plant Funds</b>  | <b>\$ 50,706,312.30</b>      | <b>\$ 36,577,638.95</b> | <b>\$ 0.00</b> | <b>\$ 87,283,951.25</b> | <b>\$ 34,433,478.97</b> | <b>\$ 1,643,708.04</b>            | <b>\$ 0.00</b> | <b>\$ 0.00</b> | <b>\$ 0.00</b>               | <b>\$ 49,272,014.70</b>      |
| <b>OTHER THAN UNEXPENDED PLANT FUNDS</b>  |                              |                         |                |                         |                         |                                   |                |                |                              |                              |
| CIP - Equipment - Designated  | 61,917.50                    | 0.00                    | -              | 61,917.50               | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Equipment - Gifts (58000)   | 0.00                         | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Equipment - General Funds (40000)   | 0.00                         | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Equipment - Auxiliary Funds   | 0.00                         | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Equipment - State Agency (53040)  | 0.00                         | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Equipment - Federal (50032)   | 21,912.25                    | 0.00                    | -              | 21,912.25               | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Computer Software Int. Dev. - Designated (33252)  | 0.00                         | 252,600.00              | -              | 252,600.00              | -                       | -                                 | -              | -              | -                            | 252,600.00                   |
| CIP - Computer Software Int. Dev. - Designated (UTP)  | 0.00                         | 973,130.89              | -              | 973,130.89              | -                       | -                                 | -              | -              | -                            | 973,130.89                   |
| CIP - Computer Software Int. Dev. - Federal (50013803)  | 38,891.54                    | 1,125,565.00            | -              | 1,164,456.54            | -                       | -                                 | -              | -              | -                            | 1,125,565.00                 |
| CIP - Computer Software Int. Dev. - State Agency (53010838)                                     | 6,283.46                     | 150,000.00              | -              | 156,283.46              | -                       | -                                 | -              | -              | -                            | 150,000.00                   |
| CIP - Computer Software Int. Dev. - Disc. Unrestricted (58001)                                  | 0.00                         | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Computer Software Int. Dev. - Gifts (58000)   | 0.00                         | 0.00                    | -              | 0.00                    | -                       | -                                 | -              | -              | -                            | 0.00                         |
| CIP - Computer Software Int. Dev. - Endow (60564)   | 900.00                       | 0.00                    | -              | 900.00                  | -                       | -                                 | -              | -              | -                            | 0.00                         |
| <b>Total from Other Than Unexpended Plant Funds</b>   | <b>\$ 129,904.75</b>         | <b>\$ 2,501,295.89</b>  | <b>\$ 0.00</b> | <b>\$ 2,631,200.64</b>  | <b>\$ 0.00</b>          | <b>\$ 83,829.75</b>               | <b>\$ 0.00</b> | <b>\$ 0.00</b> | <b>\$ 0.00</b>               | <b>\$ 2,501,295.89</b>       |
| <b>TOTAL CONSTRUCTION IN PROGRESS (Schedule E-11)</b>   | <b>\$ 50,836,217.05</b>      | <b>\$ 39,078,934.84</b> | <b>\$ 0.00</b> | <b>\$ 89,915,151.89</b> | <b>\$ 34,433,478.97</b> | <b>\$ 1,643,708.04</b>            | <b>\$ 0.00</b> | <b>\$ 0.00</b> | <b>\$ 0.00</b>               | <b>\$ 51,773,310.59</b>      |

SCHEDULE S-11e (CONTINUED)

|   | Carrying Value<br>Sept. 1, 2019 | Additions        | Adjustments | Adjusted Carrying Value | Deductions           |                                   |               |                |                 | Intangible Assets | Expenditures Not Capitalized | Carrying Value<br>Aug. 31, 2020 |
|---|---------------------------------|------------------|-------------|-------------------------|----------------------|-----------------------------------|---------------|----------------|-----------------|-------------------|------------------------------|---------------------------------|
|   |                                 |                  |             |                         | Building Completions | Facilities and Other Improvements | Equipment     | Infrastructure |                 |                   |                              |                                 |
| RECAPITULATION BY FUND SOURCE:                            |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| Expenditures Unexpended Plant Funds:                      |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| Permanent University Fund Bond Proceeds                   | \$ 209,243.81                   | \$ 5,920,497.75  | \$ 0.00     | \$ 6,129,741.56         | \$ 72,849.88         | \$ 0.00                           | \$ 5,801.18   | \$ 0.00        | \$ 130,992.75   | \$ 0.00           | \$ 5,920,497.75              |                                 |
| PUF Bond Interest Proceeds                                | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| Revenue Financing System Bond Proceeds                    | 11,876,092.25                   | 23,299,820.65    | 0.00        | 35,175,912.90           | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 35,175,912.90                |                                 |
| Tuition Revenue Bond Proceeds                             | 33,806,598.78                   | 0.00             | 0.00        | 33,806,598.78           | 32,156,146.74        | 1,643,708.04                      | 0.00          | 0.00           | 0.00            | 0.00              | 6,745.00                     |                                 |
| Federal Grants  | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| Interest Earned on Construction Funds                     | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| Private Gifts   | 4,814,376.46                    | 7,357,320.55     | 0.00        | 12,171,697.01           | 2,204,682.35         | 0.00                              | 404,662.00    | 0.00           | 1,383,493.61    | 0.00              | 8,168,859.05                 |                                 |
| Other Sources   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| Sub-Total - Expenditures from Unexpended Plant Funds      | \$ 50,706,312.30                | \$ 36,577,638.95 | \$ 0.00     | \$ 87,283,951.25        | \$ 34,433,478.97     | \$ 1,643,708.04                   | \$ 410,263.18 | \$ 0.00        | \$ 1,524,486.36 | \$ 0.00           | \$ 49,272,014.70             |                                 |
| Schedule References                                       |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| S-8   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| Expenditures from Other than Unexpended Plant Funds:      |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| From Designated Funds                                     | \$ 61,917.50                    | \$ 1,225,730.89  | \$ 0.00     | \$ 1,287,648.39         | \$ 0.00              | \$ 0.00                           | \$ 61,917.50  | \$ 0.00        | \$ 0.00         | \$ 0.00           | \$ 1,225,730.89              |                                 |
| From Private Gifts Restricted                             | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| From General Funds  | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| From Auxiliary funds                                      | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| From Discretionary Unrestricted                           | 0.00                            | 0.00             | 0.00        | 0.00                    | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 0.00                         |                                 |
| From Private Restricted                                   | 900.00                          | 0.00             | 0.00        | 900.00                  | 0.00                 | 0.00                              | 0.00          | 0.00           | 0.00            | 0.00              | 900.00                       |                                 |
| From Federal Funds  | 60,803.79                       | 1,125,565.00     | 0.00        | 1,186,369.79            | 0.00                 | 0.00                              | 21,912.25     | 0.00           | 38,891.54       | 0.00              | 1,125,565.00                 |                                 |
| From State Restricted Funds                               | 6,283.46                        | 150,000.00       | 0.00        | 156,283.46              | 0.00                 | 0.00                              | 0.00          | 0.00           | 6,283.46        | 0.00              | 150,000.00                   |                                 |
| Sub-Total - Expenditures from Other than Unexpended Plant | \$ 129,904.75                   | \$ 2,501,295.89  | \$ 0.00     | \$ 2,631,200.64         | \$ 0.00              | \$ 0.00                           | \$ 83,829.75  | \$ 0.00        | \$ 46,075.00    | \$ 0.00           | \$ 2,501,295.89              |                                 |
| Total Construction in Progress (Schedule B-1)             | \$ 50,836,217.05                | \$ 39,078,924.84 | \$ 0.00     | \$ 89,915,151.89        | \$ 34,433,478.97     | \$ 1,643,708.04                   | \$ 494,092.93 | \$ 0.00        | \$ 1,570,561.36 | \$ 0.00           | \$ 51,775,310.59             |                                 |
| Schedule References                                       |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| S-11a   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| S-11b   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| S-11c   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| S-11d   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |
| S-11e   |                                 |                  |             |                         |                      |                                   |               |                |                 |                   |                              |                                 |

SCHEDULE S-11f  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - INFRASTRUCTURE  
 FOR THE PERIOD ENDED AUGUST 31, 2020

| Year<br>Constructed<br>or Acquired                      | Carrying Value August 31, 2020      |             |                            |                        | Depreciation                        |                            |                     |                      | Net Basis              |
|---|-------------------------------------|-------------|----------------------------|------------------------|-------------------------------------|----------------------------|---------------------|----------------------|------------------------|
|   | Beginning<br>Value<br>Sept. 1, 2019 | Additions   | Disposals &<br>Adjustments | Ending<br>Value        | Beginning<br>Total<br>Sept. 1, 2019 | Disposals &<br>Adjustments | Annual<br>Expense   | Ending<br>Total      |                        |
| BREF Tunnel (BBT)                                       | \$ 2,889,204.00                     | \$ -        | \$ -                       | \$ 2,889,204.00        | \$ 518,986.62                       | \$ -                       | \$ 64,204.53        | \$ 583,191.15        | \$ 2,306,012.85        |
| Flood/Storm Mitigation (MSB)                            | \$ 502,367.42                       | \$ -        | \$ (1)                     | \$ 502,367.42          | \$ 9,660.91                         | \$ -                       | \$ 19,321.82        | \$ 28,982.73         | \$ 473,384.69          |
|   |                                     |             | (2)                        |                        |                                     |                            |                     |                      |                        |
| <b>TOTAL INFRASTRUCTURE (Sched B-11)</b>                | <b>\$ 3,391,571.42</b>              | <b>\$ -</b> | <b>\$ -</b>                | <b>\$ 3,391,571.42</b> | <b>\$ 528,647.53</b>                | <b>\$ -</b>                | <b>\$ 83,526.35</b> | <b>\$ 612,173.88</b> | <b>\$ 2,779,397.54</b> |
| Analysis of Additions and Adjustments:                  |                                     |             |                            |                        |                                     |                            |                     |                      |                        |
| (1) From Unexpended Plant Funds (S-8)                   | \$ -                                |             |                            |                        |                                     |                            |                     |                      |                        |
| (2) From Completion of Construction in Progress (S-11e) | \$ -                                |             |                            |                        |                                     |                            |                     |                      |                        |
| Total   | \$ -                                |             |                            |                        |                                     |                            |                     |                      |                        |

SCHEDULE S-11g  
 THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON  
 SCHEDULE OF CHANGES IN INVESTMENT IN PLANT - INTANGIBLE ASSETS  
 FOR THE PERIOD ENDED AUGUST 31, 2020

|   | Carrying Value August 31, 2020 |  |                         | Amortization            |                         |                        | Ending Total            | Net Basis              |
|---|--------------------------------|--|-------------------------|-------------------------|-------------------------|------------------------|-------------------------|------------------------|
|   | Beginning Value Sept. 1, 2019  | Additions  | Disposals & Adjustments | Ending Value            | Disposals & Adjustments | Annual Expense         |                         |                        |
| <b>NONAMORTIZABLE INTANGIBLE ASSETS</b>             |                                |  |                         |                         |                         |                        |                         |                        |
| Perpetual Lease                                     |                                |  |                         |                         |                         |                        |                         |                        |
| <b>TOTAL NONAMORTIZABLE INTANGIBLE ASSETS</b>       |                                |  |                         |                         |                         |                        |                         |                        |
| <b>AMORTIZABLE INTANGIBLE ASSETS</b>                |                                |  |                         |                         |                         |                        |                         |                        |
| Land Use Rights                                     |                                |  |                         |                         |                         |                        |                         |                        |
| <b>TOTAL LAND USE RIGHTS</b>                        |                                |  |                         |                         |                         |                        |                         |                        |
| Purchased Computer Software (307) (310)             | 15,247,914.15                  | 2,765,981.61 (1)<br>115,095.00 (2)<br>- (3)<br>- (4) | (302,194.57)            | 17,826,796.19           | (125,914.40)            | 1,829,023.22           | 13,772,363.73           | 4,054,432.46           |
| Purchased Computer Software UTP (307)               | 3,991,019.42                   | 534,797.50 (2)                                       | -                       | 4,525,816.92            | -                       | 465,270.02             | 3,770,322.14            | 755,494.78             |
| <b>TOTAL PURCHASED COMPUTER SOFTWARE</b>            | <u>\$ 19,238,933.57</u>        | <u>\$ 3,415,874.11</u>                               | <u>\$ (302,194.57)</u>  | <u>\$ 22,352,613.11</u> | <u>\$ (125,914.40)</u>  | <u>\$ 2,294,293.24</u> | <u>\$ 17,542,685.87</u> | <u>\$ 4,809,927.24</u> |
| Internally Developed Computer Software (308)        | 22,558,158.68                  | 225,245.00 (3)                                       | -                       | 22,783,403.68           | -                       | 2,272,753.44           | 18,522,859.55           | 4,260,544.13           |
| <b>TOTAL INTERNALLY DEVELOPED COMPUTER SOFTWARE</b> | <u>\$ 22,558,158.68</u>        | <u>\$ 225,245.00</u>                                 | <u>\$ -</u>             | <u>\$ 22,783,403.68</u> | <u>\$ -</u>             | <u>\$ 2,272,753.44</u> | <u>\$ 18,522,859.55</u> | <u>\$ 4,260,544.13</u> |
| <b>TOTAL AMORTIZABLE INTANGIBLE ASSETS</b>          | <u>\$ 41,797,092.25</u>        | <u>\$ 3,641,119.11</u>                               | <u>\$ (302,194.57)</u>  | <u>\$ 45,136,016.79</u> | <u>\$ (125,914.40)</u>  | <u>\$ 4,567,046.68</u> | <u>\$ 36,065,545.42</u> | <u>\$ 9,070,471.37</u> |
| <b>TOTAL INTANGIBLE ASSETS (Sched B-11)</b>         | <u>\$ 41,797,092.25</u>        | <u>\$ 3,641,119.11</u>                               | <u>\$ (302,194.57)</u>  | <u>\$ 45,136,016.79</u> | <u>\$ (125,914.40)</u>  | <u>\$ 4,567,046.68</u> | <u>\$ 36,065,545.42</u> | <u>\$ 9,070,471.37</u> |

Analysis of Additions and Adjustments:

|   |                        |
|---|------------------------|
| (1) From Unexpended Plant Funds (S-8)                   | \$ 1,241,495.25        |
| (2) From Designated Funds                               | 649,892.50             |
| (3) From Restricted Funds                               | 179,170.00             |
| (4) From Completion of Construction in Progress (S-11e) | 1,570,561.36           |
| <b>Total</b>  | <u>\$ 3,641,119.11</u> |